

Coconino County Environmental Services Code, Chapter 8, Food & Drink Rules and Regulations Of the Coconino County Public Health Services District Draft 8/14/2014

Coconino County Public Health Services <u>DISTRICT</u> is in the process of revising and updating Chapter 8 of the Environmental Services Code (Food Code). If approved, the existing Chapter 8 will be repealed and replaced by the 2013 Food and Drug Administration (FDA) Model Food Code. Additions and deletions to the 2013 model food code are explained in the documents described below. *Terms defined in this Code are in identified by using SMALL CAPS.* (Note: Underlined words and phrases were added to the 2013 FDA Food Code to indicate proposed added language; *strikeout* is used to reflect proposed deletions. The Code was updated to include the term "license" in addition to permit and the term "critical" was added next to each code item that is a priority or priority foundation item which is indicated as: <sup>p and pf</sup>.)

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#### **Previous Editions of the Coconino County Food Code**

#### **Previous Editions of Codes**

- 1974 The first County Sanitary Code was adopted including Chapter 8 the Food Code was adopted (as recommended by the Arizona Department of Health Services)
- 1978 Ordinance 78-2 was adopted amending Regulations 9-8-160 Definition, 9-8-165 Ice making and dispensing equipment 9-8-181 Definition, R9-8-182 General, 9-8-183 Physical Plant, 9-8-184 Toilet & lavatory, 9-8-185 Water supply, 9-8-186 Utensils and equipment, 9-8-187 9-8-187 Refrigeration; packaging; transportation, 9-8-188 Processed meat and meat food product requirements for retail meat establishments.
- 1979 Ordinance 79-4 was adopted amending Regulations 9-8-111 Scope and legal authority, 9-8-112 Definitions, 9-8-131Food service establishments, 9-8-132 Food care, 9-8-133 Personnel, 9-8-134 Equipment and utensils, 9-8-135 Cleaning, sanitation and storage of equipment and utensils, 908-136 Sanitary facilities and controls, 9-8-137 Construction and maintenance of physical facilities, 9-8-138 Mobile food service, 9-8-139 Temporary food service, 9-8-140 Compliance.1980 Ordinance 80-10 was adopted amending Regulation 9-8-133 Personnel.
- 1985 Ordinance 85-4 was adopted to adopt the latest State Food Code based on the latest federal Food Code.
- 2001 Adopted latest State Food Code by reference through delegation agreement based on 1999 FDA Food Code.
- Ordinance 2011-05 Chapter 8-Section 18 the Medical Marijuana Edibles Regulation was adopted and added to.

## Introduction

The Coconino County Public Health Services DISTRICT is pleased to announce the adoption of the newly revised Food Code, which is based on the 2013 Food and Drug Administration Food Code. Food safety practices at food establishments play a critical role in preventing foodborne illnesses. The Food Code establishes practical, science-based guidance for mitigating risk factors that are known to cause or contribute to foodborne illness outbreaks associated with retail and food service establishments and is an important part of strengthening our food protection system.

## **Preface**

#### FOODBORNE ILLNESS ESTIMATES, RISK FACTORS, AND INTERVENTIONS

Foodborne illness in the United States is a major cause of personal distress, preventable death and avoidable economic burden. It is estimated that foodborne diseases cause approximately 48 million illnesses, 128,000 hospitalizations, and 3,000 deaths in the United States each year. The occurrence of approximately 1,000 reported disease outbreaks (local, regional, and national) each year highlights the challenges of preventing these infections.

Most foodborne illnesses occur in persons who are not part of recognized outbreaks. For many victims, foodborne illness results only in discomfort or lost time from the job. For some, especially preschool age children, older adults in health care facilities, and those with impaired immune systems, foodborne illness is more serious and may be life threatening.

The annual cost of foodborne illness in terms of pain and suffering, reduced productivity; and medical costs are estimated to be \$10 - \$83 billion. As stated by Meade et. al., the nature of food and foodborne illness has changed dramatically in the United States over the last century. While technological advances such as pasteurization and proper canning have all but eliminated some disease, new causes of foodborne illness have been identified. Surveillance of foodborne illness is complicated by several factors. The first is underreporting. Although foodborne illnesses can be severe or even fatal, milder cases are often not detected through routine surveillance. Second, many pathogens transmitted through food are also spread through water or from person to person, thus obscuring the role of foodborne transmission. Finally, pathogens or agents that have not yet been identified and thus cannot be diagnosed cause some proportion of foodborne illness.

Epidemiological outbreak data repeatedly identify five major risk factors related to employee behaviors and preparation practices in retail and food service establishments as contributing to foodborne illness:

- Improper holding temperatures,
- Inadequate cooking, such as undercooking raw shell eggs,
- Contaminated equipment,
- Food from unsafe sources, and
- Poor personal hygiene

The Food Code addresses controls for risk factors and further establishes 5 key public health interventions to protect consumer health. Specifically, these interventions are: demonstration of knowledge, employee health controls, controlling hands as a vehicle of contamination, time and temperature parameters for controlling pathogens, and the consumer advisory. The first two interventions are found in Section 8-2 and the last three in Section 8-3.

Healthy People 2010 and Healthy People 2020 are national initiatives that work through the cooperative federal-state-private sector and which establish 10-year objectives to improve the health of all Americans through prevention. Food Safety Objective 10-6 in Healthy People 2010 is: *Improve food employee behaviors and food preparation practices that directly relate to foodborne illness in retail food establishments*. This includes food operations such as retail food stores, food service establishments, health care facilities, schools and other "food establishments" as defined in the Food Code.

# **Section 8-1 Purpose and Definitions**

#### **Parts**

- 8-1-1 Title, Intent, Scope
- **8-1-2 Definitions**

# 1-1 Title, Intent, Scope, <u>Legal Authority</u>, and <u>Applicability</u>

#### **Subparts**

8-1-101 Title

8-1-102 Intent

8-1-103 Scope and Legal Authority

8-1-104 Applicability (*ADHS R9-8-102*)

#### **Title**

#### 8-1-101.10 Food Code.

These provisions shall be known as the Food Code, hereinafter referred to as "this Code."

#### Intent

8-1-102.10 Food Safety, Illness Prevention, and Honest Presentation.

The purpose of this Code is to safeguard public health and provide to CONSUMERS FOOD that is safe, UNADULTERATED, and honestly presented.

#### 8-1-103.10 Scope and Legal Authority

This Code establishes definitions; sets standards for management and personnel, FOOD operations, and EQUIPMENT and facilities; and provides for FOOD ESTABLISHMENT plan review, PERMIT/<u>LICENSE</u> issuance, inspection, EMPLOYEE RESTRICTION, and PERMIT/<u>LICENSE</u> suspension.

The Regulations in this code are adopted pursuant to the authority granted by A.R.S. 36-132, 36-136, 36-911,

36-182, 36.183.02, 36-183.03, 36-183.04, 36-183.05, 36-183.06, and 36-183.07

#### **8-1-104.10 Applicability**

This Article does not apply to the following:

- 1. Beneficial use of wildlife meat authorized in A.R.S. § 17-240 and 12 A.A.C. 4, Article 1;
- 2. Group homes, as defined in A.R.S. Title 36, Chapter 5.1, Article 1;
- 3. Child care group homes, as defined in A.R.S. Title 36, Chapter 7.1, Article 4;
- 4. Residential group care facilities, as defined in 6 A.A.C. 5, Article 74, that have 20 or fewer clients;
- 5. Assisted living homes, as defined in 9 A.A.C. 10, Article 7;
- <u>6. Adult day health care services, as defined in 9 A.A.C. 10, Article 7, that have 15 or fewer clients;</u>
- 7. Behavioral health service agencies, licensed under 9 A.A.C. 20, that provide residential or partial care services for 10 or fewer clients; and
- 8. Hospice inpatient facilities, licensed under 9 A.A.C. 10, Article 8,that have 20 or fewer patients.
- 9. Producers of fruit or vegetables as defined in Arizona revised Statute Article 4-3-481(11).

### 8-1-2 Definitions

**Subpart** 

#### 8-1-201 Terms Defined

#### Terms Defined

#### 8-1-201.10 Statement of Application and Listing of Terms.

(A) The following definitions shall apply in the interpretation and application of this Code.

(B) Terms Defined. As used in this Code, each of the terms listed in  $\P$  1-201.10(B) shall have the meaning stated below.

#### (1) Accredited Program.

- (a) "Accredited program" means a food protection manager certification program that has been evaluated and listed by an accrediting agency as conforming to national standards for organizations that certify individuals.
- (b) "Accredited program" refers to the certification process and is a designation based upon an independent evaluation of factors such as the sponsor's mission; organizational structure; staff resources; revenue sources; policies; public information regarding program scope, eligibility requirements, re-certification, discipline and grievance procedures; and test development and administration.
- (c) "Accredited program" does not refer to training functions or educational programs.

#### (2) Additive.

(a) **"Food additive"** has the meaning stated in the <u>Arizona Pure Food Statute 36-901</u> (Federal Food, Drug, and Cosmetic Act, § 201(s) and 21 CFR 170.3(e) (1) states that:

Any substance, the intended use of which results or may be reasonably expected to result, directly or indirectly, in its becoming a component of or otherwise affecting the characteristics of any food, including any substance intended for use in producing, manufacturing, packing, processing, preparing, treating, packaging, transporting or holding food, and including any source of radiation intended for any use if the substance is not generally recognized among experts qualified by scientific training and experience to evaluate its safety as having been adequately shown through scientific procedures, or in the case of a substance used in a food prior to January 1, 1958 through either scientific procedures or experience based on common use in food, to be safe under the conditions of its intended use. Food additive does not include:

- (i) A pesticide chemical in or on a raw agricultural commodity.
- (ii) A pesticide chemical to the extent that it is intended for use or is used in the production, storage or transportation of any raw agricultural commodity.

- (iii) A color additive.
- (iv) Any substance used in accordance with a sanction or approval granted pursuant to laws or regulations administered by the federal food and drug administration.
- (b) "Color additive" has the meaning stated in the <u>Arizona Pure Food Statute 36-901</u> (Appendix) (Federal Food, Drug, and Cosmetic Act § 201(t) and 21 CFR 70.3(f)) <u>a material that both:</u>
  - (i) Is a dye, pigment, or other substance made by a process of synthesis or similar artifice, or extracted, isolated, or otherwise derived, with or without intermediate or final change of identity from a vegetable, animal, mineral, or other source.
  - (ii) When added or applied to a food is capable, alone or through reaction with other substance, of imparting color to that food, except that color additive does not include any material that has been exempted under the federal act. The term "color" includes, but is not limited to, black, white, and intermediate grays. This paragraph shall not be construed to apply to any pesticide chemical, soil or plant nutrient, or other agricultural chemical solely because of its effect in aiding, retarding or otherwise affecting, directly or indirectly, the growth or other natural physiological process of produce of the soil, and thereby affecting its color, whether before or after harvest.
- (3) **Adulterated** has the meaning stated in the <u>Arizona Pure Food Statute 36-904</u> (Federal Food, Drug, and Cosmetic Act, § 402):
- (A) A food is adulterated if one or more of the following conditions exist:
  - (i) It bears or contains any poisonous or deleterious substance which may render it injurious to health, but if the substance is not an added substance such food shall not be considered adulterated under this paragraph if the quantity of such substance in such food does not ordinarily render it injurious to health.
  - (ii) It is a raw agricultural commodity and it bears or contains a pesticide chemical which is unsafe within the meaning of section 36-905, subsection A.
  - (iii) It is, or it bears or contains, any food additive which is unsafe within the meaning of section 36-905, provided that where a pesticide chemical has been used in or on a raw agricultural commodity in conformity with an exemption granted or a tolerance prescribed under section 36-905 and

such raw agricultural commodity has been subjected to processing such as canning, cooking, freezing, dehydrating or milling, the residue of such pesticide chemical remaining in or on such processed food shall, notwithstanding the provisions of section 36-905, not be deemed unsafe if such residue in or on the raw agricultural commodity has been removed to the extent possible in good manufacturing practice and the concentration of such residue in the processed food when ready-to-eat is not greater than the tolerance prescribed for the raw agricultural commodity.

- (iv) It consists in whole or in part of a diseased, contaminated, filthy, putrid or decomposed substance, or it is otherwise unfit for food.
- (v) It has been produced, prepared, packed or held under insanitary conditions whereby it may have become contaminated with filth, or whereby it may have been rendered diseased, unwholesome or injurious to health.
- (vi) It is the product of a diseased animal or an animal, other than wildlife, which has died other than by slaughter, or which has been fed uncooked garbage, except bakery and creamery products, commercial vegetables and all fruits, or offal from a slaughterhouse, with the exception of paunch wastes.
- (vii) Its container is composed in whole or in part of any poisonous or deleterious substance which may render the contents injurious to health.
- (viii) Any required constituent has been omitted or abstracted in whole or in part.
- (ix) Any substance has been substituted in whole or in part for a substance normally found in the food or required to be present in the food by rules adopted under section 36-903.
- (x) Damage or inferiority has been concealed in any manner.
- (xi) Any substance has been added to the food or mixed or packed with the food so as to increase its bulk or weight or reduce its quality or strength or make it appear better or of greater value than it is.
- (xii) It is confectionery and it bears or contains any alcohol or nonnutritive article or substance except harmless coloring, harmless flavoring, harmless resinous glaze not in excess of four-tenths of one per cent, harmless natural wax not in excess of four-tenths of one per cent, harmless natural gum, and pectin. This paragraph shall not apply to any confectionery by reason of its containing less than five per cent by weight of alcohol or to

- any chewing gum by reason of its containing harmless nonnutritive masticatory substances.
- (xiii) It is or bears or contains any color additive which is unsafe within the meaning of section 36-905, subsection A.
- B. Commercial feed and whole cottonseed which contains the levels of aflatoxin prescribed by section 3-2611.01 shall not be deemed adulterated.
- C. Notwithstanding this section, whole cottonseed or cottonseed products deemed unadulterated pursuant to section 3-2611.01 and food additives and resulting substances used in accordance with a sanction or approval granted pursuant to laws or regulations administered by the federal food and drug administration are not deemed adulterated for purposes of this section.
- **(4)** Advisory Board means the Coconino County Public Health Services DISTRICT Advisory Board.
- (5) <u>Agency</u> means any board, commission, department, office, or other administrative unit of the federal government, the state, or a political subdivision of the state
- (6) <u>Applicant</u> means a PERSON requesting a LICENSE/PERMIT that includes the following:
  - a. An individual, the individual who owns the FOOD ESTABLISHMENT;
  - b. A corporation, any officer of the corporation;
  - c. A limited liability company, the designated manager or, if no manager is designated, any member of the limited liability company;
  - d. A partnership, any two of the partners;
  - e. A joint venture, any two individuals who signed the joint venture agreement;
  - f. A trust, the trustee of the trust;
  - g. A religious or nonprofit organization, the individual in the senior leadership position within the organization.
  - h. A school DISTRICT, the superintendent of the DISTRICT;
  - i. An agency, the individual in the senior leadership position within the agency; or
  - j. A county, municipality, or other political subdivision of the state, the individual in the senior leadership position within the county, municipality, or political subdivision.
- (7) **Approved** means acceptable to the <del>regulatory authority</del> <u>DISTRICT</u> based on a determination of conformity with principles, practices, and generally recognized standards that protect public health.
- (8) Asymptomatic.

- (a) "Asymptomatic" means without obvious symptoms; not showing or producing indications of a disease or other medical condition, such as an individual infected with a pathogen but not exhibiting or producing any signs or symptoms of vomiting, diarrhea, or jaundice.
- (b) "Asymptomatic" includes not showing symptoms because symptoms have resolved or subsided, or because symptoms never manifested.
- (9) Backcountry Food Operation means an operation responsible for clients during wilderness outings including backpacking trips and commercial river trips. Backcountry operations are responsible for feeding and providing basic accommodations such as storing, transporting and preparing food, drinking water (water purification), toilet, solid waste and sanitary facilities.
- (10) Balut means an embryo inside a fertile EGG that has been incubated for a period sufficient for the embryo to reach a specific stage of development after which it is removed from incubation before hatching.
- (11) Beverage means a liquid for drinking, including water.
- (12) <u>Board</u> means the Coconino County Public Health Services DISTRICT Board of Directors
- (13) Bottled drinking water means water that is SEALED in bottles, packages, or other containers and offered for sale <u>or distribution</u> for human consumption, including bottled mineral water.
- (14) <u>Bulk Food</u> means unpackaged and unwrapped food in aggregate containers from which quantities are withdrawn by the consumer, excluding fresh fruits, fresh vegetables, nuts in shells, and food in salad bars.
- (15) Casing means a tubular container for sausage products made of either natural or artificial (synthetic) material.
- (16) <u>Certification</u> means an approved DISTRICT training program including Food Managers' certification, Food Handlers' certification, or Backcountry certification that certifies an individuals' completion in food safety. Certification cannot be used as a substitute for a health license or permit.
- (17) Certification number means a unique combination of letters and numbers assigned by a SHELLFISH CONTROL AUTHORITY to a MOLLUSCAN SHELLFISH DEALER according to the provisions of the National Shellfish Sanitation Program.

- (18) CFR means CODE OF FEDERAL REGULATIONS. Citations in this Code to the CFR refer sequentially to the Title, Part, and Section numbers, such as 40 CFR 180.194 refers to Title 40, Part 180, Section 194.
- (19) <u>Chief Health Officer</u> means the Director of the Coconino County Public Health Services DISTRICT or his/her authorized agent.

#### (20) Cleaned-in-Place (CIP).

- (a) "CIP" means cleaned-in-place by the circulation, or flowing, by mechanical means through a piping system of a detergent solution, water rinse, and SANITIZING solution onto or over EQUIPMENT surfaces that require cleaning, such as the method used, in part, to clean and SANITIZE a frozen dessert machine.
- (b) "CIP" does not include the cleaning of EQUIPMENT such as band saws, slicers, or mixers that are subjected to in-place manual cleaning without the use of a CIP system.

#### (21) Commingle means:

- (a) To combine SHELLSTOCK harvested on different days or from different growing areas as identified on the tag or label, or
- (b) To combine SHUCKED SHELLFISH from containers with different container codes or different shucking dates; or
- (c) <u>To combine, mingle, or blend different foods that were prepared on different dates, or from different container codes</u>

#### (22) Comminuted.

- (a) "Comminuted" means reduced in size by methods including chopping, flaking, grinding, or mincing.
- (b) "Comminuted" includes FISH or MEAT products that are reduced in size and restructured or reformulated such as gefilte FISH, gyros, ground beef, and sausage; and a mixture of 2 or more types of MEAT that have been reduced in size and combined, such as sausages made from 2 or more MEATS.
- (23) Commissary means a catering establishment, restaurant, or any approved location in which food, food containers, or food supplies are kept, handled, prepared, packaged, sanitized, served or stored
- **(24) Conditional employee** means a potential FOOD EMPLOYEE to whom a job offer is made, conditional on responses to subsequent medical questions or examinations designed to identify potential FOOD EMPLOYEES who may be

suffering from a disease that can be transmitted through FOOD and done in compliance with Title 1 of the Americans with Disabilities Act of 1990.

- (25) Confirmed disease outbreak means a FOODBORNE DISEASE OUTBREAK in which laboratory analysis of appropriate specimens identifies a causative agent and epidemiological analysis implicates the FOOD as the source of the illness.
- **(26) Consumer** means a PERSON who is a member of the public, takes possession of FOOD, is not functioning in the capacity of an operator of a FOOD ESTABLISHMENT OF FOOD PROCESSING PLANT, and does not offer the FOOD for resale.

#### (27) Core Item.

- (a) "Core item" means a provision in this Code that is a non-critical item, not designated as a PRIORITY ITEM OR A PRIORITY FOUNDATION ITEM.
- (b) "Core item" includes an item that usually relates to general sanitation, operational controls, sanitation standard operating procedures (SSOPs), facilities or structures, equipment design, or general maintenance.
- (28) Corrosion-resistant material means a material that maintains acceptable surface cleanability characteristics under prolonged influence of the FOOD to be contacted, the normal use of cleaning compounds and SANITIZING solutions, and other conditions of the use environment.
- **(29) Counter-mounted equipment** means EQUIPMENT that is not portable and is designed to be mounted off the floor on a table, counter, or shelf.
- (30) Critical control point means a point or procedure in a specific FOOD system where loss of control may result in an unacceptable health RISK.
- (31) <u>Critical</u> <u>item</u> means a provision of this Code that, if in noncompliance, is more likely than other violations to contribute to FOOD contamination, illness, or environmental health HAZARD and is denoted in this Code as *Critical*, or as a Priority Item <sup>(P)</sup>, or Priority Foundation Item <sup>(PF)</sup>.
- (32) Critical limit means the maximum or minimum value to which a physical, biological, or chemical parameter must be controlled at a CRITICAL CONTROL POINT to minimize the RISK that the identified FOOD safety HAZARD may occur.
- (33) <u>Cultivation Site</u> means the one additional location where marijuana will be cultivated by and for a dispensary. A cultivation site may be used for the

cultivation, harvest, preparation, packaging storage and infusion of medical marijuana into a food product.

- (34) Cut leafy greens means fresh leafy greens whose leaves have been cut, shredded, sliced, chopped, or torn. The term "leafy greens" includes iceberg lettuce, romaine lettuce, leaf lettuce, butter lettuce, baby leaf lettuce (i.e., immature lettuce or leafy greens), escarole, endive, spring mix, spinach, cabbage, kale, arugula and chard. The term "leafy greens" does not include herbs such as cilantro or parsley.
- (35) <u>Damaged food</u> means any food, whether or not packaged, which has been subjected to deleterious or destructive influences from fire, heat, freezing, radiation, physical damage, total or partial immersion in sewage, contaminated liquids or water, excessive shelf life or storage, or from any other circumstances and which is held, offered for sale, sold or given away for human consumption.
- (36) **Department** means the Arizona Department of Health Services.
- (37) **Disclosure** means a written statement that clearly identifies the animal-derived FOODS which are, or can be ordered, raw, undercooked, or without otherwise being processed to eliminate pathogens, or items that contain an ingredient that is raw, undercooked, or without otherwise being processed to eliminate pathogens.
- (38) **DISTRICT** means the Coconino County Public Health Services DISTRICT.
- (39) Drinking Water.
  - (a) "**Drinking water**" means water that meets criteria as specified in 40 CFR 141 National Primary Drinking Water Regulations.
  - (b) "**Drinking water**" is traditionally known as "potable water."
  - (c) "Drinking water" includes the term "water" except where the term used connotes that the water is not potable, such as "boiler water," "mop water," "rainwater," "wastewater," and "nondrinking" water.
- (40) Dry storage area means a room or area designated for the storage of PACKAGED or containerized bulk FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) and dry goods such as SINGLE-SERVICE items.
- (41) Easily Cleanable.
  - (a) "Easily cleanable" means a characteristic of a surface that:

- (i) Allows effective removal of soil by normal cleaning methods;
- (ii) Is dependent on the material, design, construction, and installation of the surface; and
- (iii) Varies with the likelihood of the surface's role in introducing pathogenic or toxigenic agents or other contaminants into FOOD based on the surface's APPROVED placement, purpose, and use.
- (b) "Easily cleanable" includes a tiered application of the criteria that qualify the surface as EASILY CLEANABLE as specified in Subparagraph (1) of this definition to different situations in which varying degrees of cleanability are required such as:
  - (i) The appropriateness of stainless steel for a FOOD preparation surface as opposed to the lack of need for stainless steel to be used for floors or for tables used for CONSUMER dining; or
  - (ii) The need for a different degree of cleanability for a utilitarian attachment or accessory in the kitchen as opposed to a decorative attachment or accessory in the CONSUMER dining area.

#### (42) Easily movable means:

- (a) Portable; mounted on casters, gliders, or rollers; or provided with a mechanical means to safely tilt a unit of EQUIPMENT for cleaning; and
- (b) Having no utility connection, a utility connection that disconnects quickly, or a flexible utility connection line of sufficient length to allow the EQUIPMENT to be moved for cleaning of the EQUIPMENT and adjacent area.
- (43) <u>Edible Food Product</u> means a substance, beverage, or ingredient that is infused with approved Medical Marijuana for human consumption.
- (44) <u>Edible Food Products Infused with Medical Marijuana Establishment</u> means an approved facility where food products that are infused with Medical Marijuana are stored, prepared, packaged or sold.

#### (45) Egg.

(a) "Egg" means the shell EGG of avian species such as chicken, duck, goose, guinea, quail, RATITES or turkey.

- (b) "Egg" does not include:
  - (i) A BALUT;
  - (ii) The egg of reptile species such as alligator; or
  - (iii) An EGG PRODUCT.

#### (46) Egg Product.

- (a) "Egg Product" means all, or a portion of, the contents found inside EGGS separated from the shell and pasteurized in a FOOD PROCESSING PLANT, with or without added ingredients, intended for human consumption, such as dried, frozen or liquid eggs.
- (b) "Egg Product" does not include FOOD which contains EGGS only in a relatively small proportion such as cake mixes.
- **(47) Employee** means the <u>LICENSE</u>/PERMIT HOLDER, PERSON IN CHARGE, FOOD EMPLOYEE, PERSON having supervisory or management duties, PERSON on the payroll, family member, volunteer, PERSON performing work under contractual agreement, or other PERSON working in a FOOD ESTABLISHMENT.
- (48) **EPA** means the U.S. Environmental Protection Agency.
- (49) Equipment.
  - (a) "Equipment" means an article that is used in the operation of a FOOD ESTABLISHMENT such as a freezer, grinder, hood, ice maker, MEAT block, mixer, oven, reach-in refrigerator, scale, sink, slicer, stove, table, TEMPERATURE MEASURING DEVICE for ambient air, VENDING MACHINE, or WAREWASHING machine.
  - (b) "Equipment" does not include apparatuses used for handling or storing large quantities of PACKAGED FOODS that are received from a supplier in a cased or overwrapped lot, such as hand trucks, forklifts, dollies, pallets, racks, and skids.
- (50) Exclude means to prevent a PERSON from working as an EMPLOYEE in a FOOD ESTABLISHMENT or entering a FOOD ESTABLISHMENT as an EMPLOYEE.
- (51) **FDA** means the U.S. Food and Drug Administration.
- (52) Fish.

- (a) "Fish" means fresh or saltwater finfish, crustaceans and other forms of aquatic life (including alligator, frog, aquatic turtle, jellyfish, sea cucumber, and sea urchin and the roe of such animals) other than birds or mammals, and all mollusks, if such animal life is intended for human consumption.
- (b) "Fish" includes an edible human FOOD product derived in whole or in part from FISH, including FISH that have been processed in any manner.
- (53) Food means a raw, cooked, or processed edible substance, ice, BEVERAGE, or ingredient used or intended for use or for sale in whole or in part for human consumption, or chewing gum.
- (54) Foodborne disease outbreak means the occurrence of two or more cases of a similar illness resulting from the ingestion of a common FOOD.

#### (55) Food-contact surface means:

- (a) A surface of EQUIPMENT or a UTENSIL with which FOOD normally comes into contact; or
- (b) A surface of EQUIPMENT or a UTENSIL from which FOOD may drain, drip, or splash:
  - (i) Into a FOOD, or
  - (ii) Onto a surface normally in contact with FOOD.
- **(56) Food employee** means an individual working with UNPACKAGED FOOD, FOOD EQUIPMENT or UTENSILS, or FOOD-CONTACT SURFACES.

#### (57) Food Establishment.

- (a) **"Food establishment"** means an operation that:
  - (i) provides food to the public including establishments that sell or give food away.
  - (ii) stores, prepares, packages, serves, vends food directly to the consumer, or otherwise provides FOOD for human consumption such as a restaurant; satellite or catered feeding location; catering operation if the operation provides FOOD directly to a CONSUMER or to a conveyance used to transport people; market; vending location; conveyance used to transport people; institution; or FOOD bank; and

(iii) relinquishes possession of FOOD to a CONSUMER directly, or indirectly through a delivery service such as home delivery of grocery orders or restaurant takeout orders, or delivery service that is provided by common carriers.

#### (b) "Food establishment" includes:

- (i) An element of the operation such as a transportation vehicle or a central preparation facility that supplies a vending location or satellite feeding location *unless the vending or feeding location is permitted by the REGULATORY AUTHORITY* DISTRICT; and
- (ii) An operation that is conducted in a mobile, stationary, temporary, or permanent facility or location; where consumption is on or off the PREMISES; and regardless of whether there is a charge for the FOOD.

#### (c) "Food establishment" does not include:

- (i) An establishment that offers only prepackaged foods that are not potentially hazardous (TIME/TEMPERATURE CONTROL FOR SAFETY) FOODS;
- (ii) A produce stand that only offers whole, uncut fresh fruits and vegetables;
- (iii) A FOOD PROCESSING PLANT; including those that are located on the PREMISES of a FOOD ESTABLISHMENT
- (iv) A kitchen in a private home if only FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY) FOOD, is prepared for sale or service at a function such as a religious or charitable organization's bake sale if allowed by LAW and if the CONSUMER is informed by a clearly visible placard at the sales or service location that the FOOD is prepared in a kitchen that is not subject to regulation and inspection by the *REGULATORY AUTHORITY* DISTRICT;
- (v) An area where FOOD that is prepared as specified in Subparagraph (3) (d) of this definition is sold or offered for human consumption;
- (vi) A kitchen in a private home, such as a small family daycare provider; or a bed-and-breakfast operation that prepares and offers FOOD to guests if the home is owner occupied, the

number of available guest bedrooms does not exceed 6, breakfast is the only meal offered, the number of guests served does not exceed 18, and the CONSUMER is informed by statements contained in published advertisements, mailed brochures, and placards posted at the registration area that the FOOD is prepared in a kitchen that is not regulated and inspected by the <u>DISTRICT</u>; or

- (vii) A private home that receives catered or home-delivered FOOD.
- (viii) Served at a non-commercial social event that takes place at a workplace, such as a potluck;
- (ix) Prepared at a cooking school if:
  - (1) The cooking school is conducted in a kitchen of an owner-occupied home;
  - (2) Only one meal per day is prepared and served by students of the cooking school;
  - (3) The meal prepared at the cooking school is served to not more than 15 students of the cooking school;
  - (4) The students of the cooking school are provided with written notice that the food is prepared in a kitchen that is not regulated or inspected by a REGULATORY AUTHORITY DISTRICT;
- (x) Not potentially hazardous and prepared in a kitchen of a private home for occasional sale or distribution for non-commercial purposes;
- (xi) Prepared or served at an employee-conducted function that lasts less than 4 hours and is not regularly scheduled, such as an employee recognition, an employee fund-raising, or an employee social event;
- (xii) Offered at a child care facility and limited to commercially pre-packaged food that is not potentially hazardous;
- (xiii) Offered at locations that sell only commercially prepackaged foods; and
- (xiv) Baked or confectionary goods prepared in a home kitchen that are non-potentially hazardous, registered with Arizona Department of health Services (ADHS), labeled with name, address, phone number of registered party, labeled with list of

ingredients, and statement that the baked or confectionary goods are prepared in a private home.

#### (58) Food Processing Plant.

- (a) "Food processing plant" means a commercial operation that manufactures, packages, labels, or stores FOOD for human consumption, and provides FOOD for sale or distribution to other business entities such as FOOD PROCESSING PLANTS OR FOOD ESTABLISHMENTS.
- (b) "Food processing plant" does not include a FOOD ESTABLISHMENT.

#### (59) Game Animal.

- (a) "Game animal" means an animal, the products of which are FOOD, that is not classified as livestock, sheep, swine, goat, horse, mule, or other equine in 9 CFR 301.2 Definitions, or as Poultry, or FISH.
- (b) "Game animal" includes mammals such as reindeer, elk, deer, antelope, water buffalo, bison, rabbit, squirrel, opossum, raccoon, nutria, or muskrat, and non-aquatic reptiles such as land snakes.
- (c) "Game animal" does not include RATITES.
- **(60) Grade "A" standards** means the requirements of the United States Public Health Service/FDA "Grade A Pasteurized Milk Ordinance" with which certain fluid and dry milk and milk products comply.
- (61) HACCP plan means a written document that delineates the formal procedures for following the HAZARD Analysis and CRITICAL CONTROL POINT principles developed by The National Advisory Committee on Microbiological Criteria for Foods.

#### (62) Hand washing Sink.

- (a) "Hand washing sink" means a lavatory, a basin or vessel for washing, a wash basin, or a PLUMBING FIXTURE especially placed for use in personal hygiene and designed for the washing of the hands.
- (b) "Hand washing sink" includes an automatic hand washing facility.
- (63) Hazard means a biological, chemical, or physical property that may cause an unacceptable CONSUMER health RISK.

- **(64) Health practitioner** means a physician licensed to practice medicine, or if allowed by LAW, a nurse practitioner, physician assistant, or similar medical professional.
- (65) Hermetically sealed container means a container that is designed and intended to be secure against the entry of microorganisms and, in the case of low acid canned FOODS, to maintain the commercial sterility of its contents after processing.
- **(66) Highly susceptible population** means PERSONS who are more likely than other people in the general population to experience foodborne disease because they are:
  - (a) Immunocompromised; preschool age children, or older adults; and
  - (b) Obtaining FOOD at a facility that provides services such as custodial care, health care, or assisted living, such as a child or adult day care center, kidney dialysis center, hospital or nursing home, or nutritional or socialization services such as a senior center.
- (67) Imminent health hazard means a significant threat or danger to health that is considered to exist when there is evidence sufficient to show that a product, practice, circumstance, or event creates a situation that requires immediate correction or cessation of operation to prevent injury based on:
  - (a) The number of potential injuries, and
  - (b) The nature, severity, and duration of the anticipated injury.
- (68) <u>Infusion</u> means incorporation of medical marijuana by means of cooking and blending, into consumable / edible food products.
- **(69) Injected** means manipulating MEAT to which a solution has been introduced into its interior by processes that are referred to as "injecting," "pump marinating," or "stitch pumping".

#### (70) Juice.

- (a) "Juice" means the aqueous liquid expressed or extracted from one or more fruits or vegetables, purées of the edible portions of one or more fruits or vegetables, or any concentrates of such liquid or purée.
- (b) "Juice" does not include, for purposes of HACCP, liquids, purées, or concentrates that are not used as BEVERAGES or ingredients of BEVERAGES.

- (71) Kitchenware means FOOD preparation and storage UTENSILS.
- (72) Law means applicable local, state, and federal statutes, regulations, and ordinances.
- (73) <u>Leftover Food means a potentially hazardous food that has been previously</u> cooked, cooled, and reheated.
- (74) Linens means fabric items such as cloth hampers, cloth napkins, table cloths, wiping cloths, and work garments including cloth gloves.

#### (75) Major Food Allergen.

#### (a) "Major food allergen" means:

- (i) Milk, EGG, FISH (such as bass, flounder, cod, and including crustacean shellfish such as crab, lobster, or shrimp), tree nuts (such as almonds, pecans, or walnuts), wheat, peanuts, and soybeans; or
- (ii) A FOOD ingredient that contains protein derived from a FOOD, as specified in Subparagraph (1)(a) of this definition.

#### (b) "Major food allergen" does not include:

- (i) Any highly refined oil derived from a FOOD specified in Subparagraph (1) (a) of this definition and any ingredient derived from such highly refined oil; or
- (ii) Any ingredient that is exempt under the petition or notification process specified in the Food Allergen Labeling and Consumer Protection Act of 2004 (Public Law 108-282).
- (76) Meat means the flesh of animals used as FOOD including the dressed flesh of cattle, swine, sheep, or goats and other edible animals, except FISH, POULTRY, and wild GAME ANIMALS as specified under Subparagraphs 3-201.17(A)(3) and (4).

#### (77) Mechanically Tenderized.

- (a) "Mechanically tenderized" means manipulating meat with deep penetration by processes which may be referred to as "blade tenderizing," "jaccarding," "pinning," "needling," or using blades, pins, needles or any mechanical device.
- (b) "Mechanically tenderized" does not include processes by which solutions are INJECTED into meat.

- (78) mg/L means milligrams per liter, which is the metric equivalent of parts per million (ppm).
- (79) <u>Medical Marijuana</u> means marijuana that is approved to be used for medicinal purposes as described in A.R.S. §36-2801.
- (80) <u>Mislabeling or Misbranding</u> means a food is mislabeled or misbranded if one or more of the conditions specified in A.R.S. 36-906 exists or if it does not conform to the labeling requirements of the Federal Act as defined in A.R.S. 36-901.
- (81) <u>Mobile Food Operation</u> means an enclosed vehicle or mounted food unit designated to be readily movable from which food is composed, compounded, processed or prepared, and from which the food is vended, sold or given away.
- (82) Molluscan Shellfish means any edible species of fresh or frozen oysters, clams, mussels, and scallops or edible portions thereof, except when the scallop product consists only of the shucked adductor muscle.

#### (83) Non-Continuous Cooking.

- (a) "Non-continuous cooking" means the cooking of FOOD in a FOOD ESTABLISHMENT using a process in which the initial heating of the FOOD is intentionally halted so that it may be cooled and held for complete cooking at a later time prior to sale or service.
- (b) "Non-continuous cooking" does not include cooking procedures that only involve temporarily interrupting or slowing an otherwise continuous cooking process.
- (84) <u>Outdoor Food Service Establishment means any place where food is prepared and intended for individual portion service outside. The term includes, but is not limited to, commercial barbecue pits, outdoor buffets; and trail ride barbecues.</u>

#### (85) Packaged.

- (a) "Packaged" means bottled, canned, cartoned, securely bagged, or securely wrapped, whether PACKAGED in a FOOD ESTABLISHMENT or a FOOD PROCESSING PLANT.
- (b) "Packaged" does not include a wrapped, or placed in a carry-out container to protect the food during service or delivery to the consumer, by a food employee, upon customer request. box, or other nondurable container used to containerize FOOD with the purpose of

facilitating FOOD protection during service and receipt of the FOOD by the CONSUMER.

- **(86) Permit/<u>License</u>** means the document issued by the <u>REGULATORY</u> <u>AUTHORITY DISTRICT</u> that authorizes a PERSON to operate a FOOD ESTABLISHMENT.
- (87) Permit/License holder means the entity that:
  - (a) Is legally responsible for the operation of the FOOD ESTABLISHMENT such as the owner, the owner's agent, or other PERSON; and
  - (b) Possesses a valid PERMIT/<u>LICENSE</u> to operate a FOOD ESTABLISHMENT.
- **(88) Person** means an association, a corporation, individual, partnership, other legal entity, government, or governmental subdivision or agency.
- **(89) Person in charge** means the individual present at a FOOD ESTABLISHMENT who is responsible for the operation at the time of inspection.
- (90) Personal Care Items.
  - (a) "**Personal care items**" means items or substances that may be poisonous, toxic, or a source of contamination and are used to maintain or enhance a PERSON'S health, hygiene, or appearance.
  - (b) "Personal care items" include items such as medicines; first aid supplies; and other items such as cosmetics, and toiletries such as toothpaste and mouthwash.
- (91) <u>Pesticide</u> <u>Applicator</u> means an individual that is licensed by the State of Arizona Structural pest Control Commission.
- (92) **pH** means the symbol for the negative logarithm of the hydrogen ion concentration, which is a <u>molar</u> measure of the degree of acidity or alkalinity of a solution. Values between 0 and 7 indicate acidity and values between 7 and 14 indicate alkalinity. The value for pure distilled water is 7, which is considered neutral.
- (93) Physical Facilities means the structure and interior surfaces of a FOOD ESTABLISHMENT including accessories such as soap and towel dispensers and attachments such as light fixtures and heating or air conditioning system vents.
- **(94) Plumbing Fixture** means a receptacle or device that:

- (a) Is permanently or temporarily connected to the water distribution system of the PREMISES and demands a supply of water from the system; or
- (b) Discharges used water, waste materials, or SEWAGE directly or indirectly to the drainage system of the PREMISES.
- **(95) Plumbing System** means the water supply and distribution pipes; PLUMBING FIXTURES and traps; soil, waste, and vent pipes; sanitary and storm sewers and building drains, including their respective connections, devices, and appurtenances within the PREMISES; and water-treating EQUIPMENT.
- (96) Poisonous or Toxic Materials means substances that are not intended for ingestion and are included in 4 categories:
  - (a) Cleaners and SANITIZERS, which include cleaning and SANITIZING agents and agents such as caustics, acids, drying agents, polishes, and other chemicals;
  - (b) Pesticides, *except SANITIZERS*, which include substances such as insecticides and rodenticides;
  - (c) Substances necessary for the operation and maintenance of the establishment such as nonfood grade lubricants and PERSONAL CARE ITEMS that may be deleterious to health; and
  - (d) Substances that are not necessary for the operation and maintenance of the establishment and are on the PREMISES for retail sale, such as petroleum products and paints.

# (97) Potentially Hazardous Food (Time/Temperature Control for Safety Food).

- (a) "Potentially hazardous food (time/temperature control for safety food)" means a FOOD that requires time/temperature control for safety (TCS) to limit pathogenic microorganism growth or toxin formation.
- (b) "Potentially hazardous food (time/temperature control for safety food)" includes:
  - (i) An animal FOOD that is raw or heat-treated; a plant FOOD that is heat-treated or consists of raw seed sprouts, cut melons, cut leafy greens, cut tomatoes or mixtures of cut tomatoes that are not modified in a way so that they are unable to support pathogenic microorganism growth or toxin formation, or

garlic-in-oil mixtures that are not modified in a way so that they are unable to support pathogenic microorganism growth or toxin formation; and

(ii) Except as specified in Subparagraph (c)(iv) of this definition, a FOOD that because of the interaction of its  $A_W$  and PH values is designated as Product Assessment Required (PA) in Table A or B of this definition:

Table A. Interaction of PH and  $A_W$  for control of spores in FOOD heat-treated to destroy vegetative cells and subsequently PACKAGED

| $\mathbf{A}_{\mathbf{W}}$ | PH values                   |                          |                          |  |
|---------------------------|-----------------------------|--------------------------|--------------------------|--|
| values                    | 4.6 or less                 | > 4.6 - 5.6              | > 5.6                    |  |
| ≤0.92                     | non-PHF*/non-<br>TCS FOOD** | non-PHF/non-<br>TCS FOOD | non-PHF/non-<br>TCS FOOD |  |
| >0.92 -<br>.95            | non-PHF/non-<br>TCS FOOD    | non-PHF/non-<br>TCS FOOD | PA***                    |  |
| >0.95                     | non-PHF/non-<br>TCS FOOD    | PA                       | PA                       |  |

<sup>\*</sup> PHF means POTENTIALLY HAZARDOUS FOOD

Table B. Interaction of PH and  $\mathbf{A}_W$  for control of vegetative cells and spores in FOOD not heat-treated or heat-treated but not PACKAGED

| $\mathbf{A}_{\mathbf{W}}$ | PH values                      |                             |                             |                             |
|---------------------------|--------------------------------|-----------------------------|-----------------------------|-----------------------------|
| values                    | <4.2                           | 4.2 - 4.6                   | >4.6 - 5.0                  | >5.0                        |
| <0.88                     | non-PHF*/<br>non-TCS<br>food** | non-PHF/<br>non-TCS<br>food | non-PHF/<br>non-TCS<br>food | non-PHF/<br>non-TCS<br>food |
| 0.88 -<br>0.90            | non-PHF/<br>non-TCS<br>food    | non-PHF/<br>non-TCS<br>food | non-PHF/<br>non-TCS<br>food | PA***                       |
| >0.90 -<br>0.92           | non-PHF/<br>non-TCS<br>food    | non-PHF/<br>non-TCS<br>food | PA                          | PA                          |
| >0.92                     | non-PHF/                       | PA                          | PA                          | PA                          |

<sup>\*\*</sup> TCS FOOD means TIME/TEMPERATURE CONTROL FOR SAFETY FOOD

<sup>\*\*\*</sup> PA means Product Assessment required

|                                                | non-TCS<br>food |  |  |  |
|------------------------------------------------|-----------------|--|--|--|
| * PHF means POTENTIALLY HAZARDOUS FOOD         |                 |  |  |  |
| ** TCS FOOD means TIME/TEMPERATURE CONTROL FOR |                 |  |  |  |
| SAFETY FOOD                                    |                 |  |  |  |
| *** PA means Product Assessment required       |                 |  |  |  |

- (c) "Potentially hazardous food (time/temperature control for safety food)" does not include:
  - (i) An air-cooled hard-boiled EGG with shell intact, or an EGG with shell intact that is not hard-boiled, but has been pasteurized to destroy all viable *salmonellae*;
  - (ii) A FOOD in an unopened HERMETICALLY SEALED CONTAINER that is commercially processed to achieve and maintain commercial sterility under conditions of non-refrigerated storage and distribution;
  - (iii) A FOOD that because of its PH or A<sub>w</sub> value, or interaction of A<sub>w</sub> and PH values, is designated as a non-PHF/non-TCS FOOD in Table A or B of this definition;
  - (iv) A FOOD that is designated as Product Assessment Required (PA) in Table A or B of this definition and has undergone a Product Assessment showing that the growth or toxin formation of pathogenic microorganisms that are reasonably likely to occur in that FOOD is precluded due to:
    - (a) Intrinsic factors including added or natural characteristics of the FOOD such as preservatives, antimicrobials, humectants, acidulants, or nutrients,
    - (b) Extrinsic factors including environmental or operational factors that affect the FOOD such as packaging, modified atmosphere such as REDUCED OXYGEN PACKAGING, shelf life and use, or temperature range of storage and use, or
    - (c) A combination of intrinsic and extrinsic factors; or
  - (v) A FOOD that does not support the growth or toxin formation of pathogenic microorganisms in accordance with one of the Subparagraphs (3) (a) (3)(d) of this definition even though the FOOD may contain a pathogenic microorganism or chemical or

physical contaminant at a level sufficient to cause illness or injury.

#### (98) Poultry means:

- (a) Any domesticated bird (chickens, turkeys, ducks, geese, guineas, RATITES, or squabs), whether live or dead, as defined in 9 CFR 381.1 Poultry Products Inspection Regulations Definitions, Poultry; and
- (b) Any migratory waterfowl or game bird, pheasant, partridge, quail, grouse, or pigeon, whether live or dead, as defined in 9 CFR 362.1 Voluntary Poultry Inspection Regulations, Definitions.

#### (99) Premises means:

- (a) The PHYSICAL FACILITY, its contents, and the contiguous land or property under the control of the PERMIT HOLDER; or
- (b) The PHYSICAL FACILITY, its contents, and the land or property not described in Subparagraph (1) of this definition if its facilities and contents are under the control of the PERMIT HOLDER and may impact FOOD ESTABLISHMENT personnel, facilities, or operations, and a FOOD ESTABLISHMENT is only one component of a larger operation such as a health care facility, hotel, motel, school, recreational camp, or prison.
- (100) <u>Prepare</u> means to process commercially for human consumption by manufacturing, packaging, labeling, cooking, or assembling.
- (101) **Primal cut** means a basic major cut into which carcasses and sides of MEAT are separated, such as a beef round, pork loin, lamb flank, or veal breast.

#### (102) Priority Item (Critical).

- (a) "**Priority item**" also known as a critical item means a provision in this Code whose application contributes directly to the elimination, prevention or reduction to an acceptable level, hazards associated with food borne illness or injury and there is no other provision that more directly controls the hazard.
- (b) "**Priority item**" includes items with a quantifiable measure to show control of hazards such as cooking, reheating, cooling, handwashing; and
- (c) **"Priority item"** is an item that is denoted in this Code with a superscript P- <sup>P</sup> and denoted as *critical*.

#### (103) Priority Foundation Item (Critical).

- (a) "**Priority foundation item**" also known as a critical item means a provision in this Code whose application supports, facilitates or enables one or more PRIORITY ITEMS.
- (b) "Priority foundation item" includes an item that requires the purposeful incorporation of specific actions, equipment or procedures by industry management to attain control of risk factors that contribute to food borne illness or injury such as personnel training, infrastructure or necessary equipment, HACCP plans, documentation or record keeping, and labeling; and
- (c) "**Priority foundation item**" is an item that is denoted in this Code with a superscript Pf Pf and denoted as *critical*.
- (104) <u>Public Health Control</u> means a method to prevent transmission of foodborne illness to the CONSUMER.
- (105) Public Water System has the meaning stated in 40 CFR 141 National Primary Drinking Water Regulations.
- (106) Ratite means a flightless bird such as an emu, ostrich, or rhea.
- (107) Ready-to-Eat Food.
  - (a) "Ready-to-eat food" means FOOD that:
    - (i) Is in a form that is edible without additional preparation to achieve FOOD safety, as specified under one of the following:  $\P$  3-401.11(A) or (B),  $\S$  3-401.12, or  $\S$  3-402.11, or as specified in  $\P$  3-401.11(C); or
    - (ii) Is a raw or partially cooked animal FOOD and the consumer is advised as specified in Subparagraphs 3-401.11(D)(1) and (3); or
    - (iii) Is prepared in accordance with a variance that is granted as specified in Subparagraph 3-401.11(D) (4); and
    - (iv) May receive additional preparation for palatability or aesthetic, epicurean, gastronomic, or culinary purposes.
  - (b) "Ready-to-eat food" includes:

- (i) Raw animal FOOD that is cooked as specified under § 3-401.11 or 3401.12, or frozen as specified under § 3-402.11;
- (ii) Raw fruits and vegetables that are washed as specified under § 3-302.15;
- (iii) Fruits and vegetables that are cooked for hot holding, as specified under § 3-401.13;
- (iv) All POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is cooked to the temperature and time required for the specific FOOD under Subpart 3-401 and cooled as specified under § 3-501.14;
- (v) Plant FOOD for which further washing, cooking, or other processing is not required for FOOD safety, and from which rinds, peels, husks, or shells, if naturally present are removed;
- (vi) Substances derived from plants such as spices, seasonings, and sugar;
- (vii) A bakery item such as bread, cakes, pies, fillings, or icing for which further cooking is not required for FOOD safety;
- (viii) The following products that are produced in accordance with USDA guidelines and that have received a lethality treatment for pathogens: dry, fermented sausages, such as dry salami or pepperoni; salt-cured MEAT and POULTRY products, such as prosciutto ham, country cured ham, and Parma ham; and dried MEAT and POULTRY products, such as jerky or beef sticks; and
- (ix) FOODS manufactured as specified in 21 CFR Part 113, Thermally Processed Low-Acid Foods Packaged in Hermetically Sealed Containers.
- (108) Reconstituted means dehydrated food products recombined with water or other liquids.

#### (109) Reduced Oxygen Packaging.

#### (a) "Reduced oxygen packaging" means:

(a) The reduction of the amount of oxygen in a PACKAGE by removing oxygen; displacing oxygen and replacing it with another gas or combination of gases; or otherwise controlling

the oxygen content to a level below that normally found in the atmosphere (approximately 21% at sea level); and

(b) A process as specified in Subparagraph (1)(a) of this definition that involves a FOOD for which the HAZARDS *Clostridium botulinum* or *Listeria monocytogenes* require control in the final PACKAGED form.

#### (b) "Reduced Oxygen Packaging" includes:

- (i) Vacuum PACKAGING, in which air is removed from a PACKAGE of FOOD and the PACKAGE is HERMETICALLY SEALED so that a vacuum remains inside the PACKAGE;
- (ii) Modified atmosphere PACKAGING, in which the atmosphere of a PACKAGE of FOOD is modified so that its composition is different from air but the atmosphere may change over time due to the permeability of the PACKAGING material or the respiration of the FOOD. Modified atmosphere PACKAGING includes reduction in the proportion of oxygen, total replacement of oxygen, or an increase in the proportion of other gases such as carbon dioxide or nitrogen;
- (iii) Controlled atmosphere PACKAGING, in which the atmosphere of a PACKAGE of FOOD is modified so that until the PACKAGE is opened, its composition is different from air, and continuous control of that atmosphere is maintained, such as by using oxygen scavengers or a combination of total replacement of oxygen, nonrespiring FOOD, and impermeable PACKAGING material;
- (iv) Cook chill PACKAGING, in which cooked FOOD is hot filled into impermeable bags which have the air expelled and are then sealed or crimped closed. The bagged FOOD is rapidly chilled and refrigerated at temperatures that inhibit the growth of psychrotrophic pathogens; or
- (v) Sous vide PACKAGING, in which raw or partially cooked FOOD is vacuum packaged in an impermeable bag, cooked in the bag, rapidly chilled, and refrigerated at temperatures that inhibit the growth of psychrotrophic pathogens.
- (110) **Refuse** means solid waste not carried by water through the SEWAGE system.

- (111) Regulatory Authority means the local, state, or federal enforcement body or authorized representative having jurisdiction over the food establishment DISTRICT.
- (112) Reminder means a written statement concerning the health RISK of consuming animal FOODS raw, undercooked, or without otherwise being processed to eliminate pathogens.
- (113) Remodel means to change the PHYSICAL FACILITIES or PLUMBING FIXTURES in a FOOD ESTABLISHMENT'S FOOD preparation, storage, or cleaning areas through construction, replacement, or relocation, but does not include the replacement of old EQUIPMENT with new EQUIPMENT of the same type.
- (114) <u>Requester</u> means a PERSON who requests an approval from the DISTRICT but who is not an applicant or a LICENSE HOLDER.
- (115) Re-service means the transfer of FOOD that is unused and returned by a CONSUMER after being served or sold and in the possession of the CONSUMER, to another PERSON.
- (116) Restrict means to limit the activities of a FOOD EMPLOYEE so that there is no RISK of transmitting a disease that is transmissible through FOOD and the FOOD EMPLOYEE does not work with exposed FOOD, clean EQUIPMENT, UTENSILS, LINENS, or unwrapped SINGLE-SERVICE or SINGLE-USE ARTICLES.
- (117) **Restricted Egg** means any check, dirty EGG, incubator reject, inedible, leaker, or loss as defined in 9 CFR 590.
- (118) Restricted Use Pesticide means a pesticide product that contains the active ingredients specified in 40 CFR 152.175 Pesticides classified for restricted use, and that is limited to use by or under the direct supervision of a certified applicator.
- (119) **Risk** means the likelihood that an adverse health effect will occur within a population as a result of a HAZARD in a FOOD.

#### (120) Safe Material means:

- (a) An article manufactured from or composed of materials that may not reasonably be expected to result, directly or indirectly, in their becoming a component or otherwise affecting the characteristics of any FOOD;
- (b) An additive that is used as specified in § 409 of the Federal Food, Drug, and Cosmetic Act; or

- (c) Other materials that are not ADDITIVES and that are used in conformity with applicable regulations of the Food and Drug Administration.
- (121) <u>Sanitarian</u> means a person who by education or experience in the physical, biological and sanitary sciences is qualified to carry out educational, investigational and technical duties in the field of environmental health in accordance with Arizona Revised Statute 36-136.01.
- (122) Sanitization means the application of cumulative heat or chemicals on cleaned FOOD-CONTACT SURFACES that, when evaluated for efficacy, is sufficient to yield a reduction of 5 logs, which is equal to a 99.999% reduction, of representative disease microorganisms of public health importance.
- (123) Sealed means free of cracks or other openings that allow the entry or passage of moisture.
- (124) <u>Seasonal Food Unit</u> means and refers to a food unit that operates six (6) months or less within a calendar year, which is not licensed as a temporary vendor and meets all the requirements of a Mobile Food Establishment
- (125) Service Animal means a guide dog, signal dog, or a dog that is trained to provide assistance to an individual with a disability that meets the requirements of Arizona Revised Statute 11-1024. Service animal does not include untrained pet dogs, or other species of animals, whether wild or domestic or trained or untrained.
- (126) Servicing Area means an operating base location to which a mobile FOOD ESTABLISHMENT or transportation vehicle returns regularly for such things as vehicle and equipment cleaning, discharging liquid or solid wastes, refilling water tanks and ice bins, and boarding FOOD.
- (127) Sewage means liquid waste containing animal or vegetable matter in suspension or solution and may include liquids containing chemicals in solution.
- (128) Shellfish Dealer means a PERSON who is authorized by a SHELLFISH CONTROL AUTHORITY for the activities of SHELLSTOCK shipper, shucker-packer, repacker, reshipper, or depuration processor of MOLLUSCAN SHELLFISH according to the provisions of the National Shellfish Sanitation Program.
- (129) Shellfish Control Authority means a state, federal, foreign, tribal, or other government entity legally responsible for administering a program that includes certification of MOLLUSCAN SHELLFISH harvesters and DEALERS for interstate commerce.
- (130) Shellstock means raw, in-shell MOLLUSCAN SHELLFISH.

- (131) Shiga Toxin-Producing *Escherichia coli* (STEC) means any *E. coli* capable of producing Shiga toxins (also called verocytotoxins). STEC infections can be asymptomatic or may result in a spectrum of illness ranging from mild non-bloody diarrhea, to hemorrhagic colitis (i.e., bloody diarrhea), to hemolytic uremic syndrome (HUS a type of kidney failure). Examples of serotypes of STEC include: *E. coli* O157:H7; *E. coli* O157:NM; *E. coli* O26:H11; *E. coli* O145:NM; *E. coli* O103:H2; and *E. coli* O111:NM. STEC are sometimes referred to as VTEC (verocytotoxigenic *E.coli*) or as EHEC (Enterohemorrhagic *E. coli*). EHEC are a subset of STEC which can cause hemorrhagic colitis or HUS. =
- (132) Shucked Shellfish means MOLLUSCAN SHELLFISH that have one or both shells removed.
- (133) Single-Service Articles means TABLEWARE, carry-out UTENSILS, and other items such as bags, containers, placemats, stirrers, straws, toothpicks, and wrappers that are designed and constructed for one time, one PERSON use after which they are intended for discard.

#### (134) Single-Use Articles.

- (a) "Single-use articles" means UTENSILS and bulk FOOD containers designed and constructed to be used once and discarded.
- (b) "Single-use articles" includes items such as wax paper, butcher paper, plastic wrap, formed aluminum FOOD containers, jars, plastic tubs or buckets, bread wrappers, pickle barrels, ketchup bottles, and number 10 cans which do not meet the materials, durability, strength, and cleanability specifications under §§ 4-101.11, 4-201.11, and 4-202.11 for multiuse UTENSILS.
- (135) Slacking means the process of moderating the temperature of a FOOD such as allowing a FOOD to gradually increase from a temperature of -23°C (-10°F) to -4°C (25°F) in preparation for deep-fat frying or to facilitate even heat penetration during the cooking of previously block-frozen FOOD such as shrimp.

#### (136) Smooth means:

- (a) A FOOD-CONTACT SURFACE having a surface free of pits and inclusions with a cleanability equal to or exceeding that of (100 grit) number 3 stainless steel;
- (b) A nonFOOD-CONTACT SURFACE of EQUIPMENT having a surface equal to that of commercial grade hot-rolled steel free of visible scale; and

- (c) A floor, wall, or ceiling having an even or level surface with no roughness or projections that render it difficult to clean.
- (137) Tableware means eating, drinking, and serving UTENSILS for table use such as flatware including forks, knives, and spoons; hollowware including bowls, cups, serving dishes, and tumblers; and plates.
- (138) Temperature Measuring Device means a thermometer, thermocouple, thermistor, or other device that indicates the temperature of FOOD, air, or water.
- (139) <u>Temporary Food Service Establishment means and refers to a food</u> establishment that operates in conjunction with a fair, rodeo, exhibition or similar public event for not more than fourteen (14) consecutive days. At the termination of the event the Temporary Food Service Establishment shall be removed from the premises.
- (140) <u>Uniform Mechanical Code</u> means the Uniform Mechanical Code published by the International Association of Plumbing and Mechanical Officials.
- (141) Unsanitary means an unclean or unhealthful condition where food in the process of production, preparation, manufacture, packing, storing, sale, distribution or transportation is not protected from insects, flies, rodents, dust, dirt, or any other injurious contamination; or where refuse, dirt or waste products subject to decomposition and fermentation incident to the manufacture, preparation, packing, storing, selling, distributing, or transportation of food is not removed daily; or where all the machinery, equipment and utensils are not maintained in a clean condition; or where the clothing of employees is unclean; or where there exists any other circumstance determined by the Department to constitute a health hazard.
- (142) USDA means the U.S. Department of Agriculture.
- (143) Utensil means a FOOD-CONTACT implement or container used in the storage, preparation, transportation, dispensing, sale, or service of FOOD, such as KITCHENWARE or TABLEWARE that is multiuse, SINGLE-SERVICE, or SINGLE-USE; gloves used in contact with FOOD; temperature sensing probes of FOOD TEMPERATURE MEASURING DEVICES; and probe-type price or identification tags used in contact with FOOD.
- (144) Variance means a written document issued by the DISTRICT that authorizes a modification or waiver of one or more requirements of this Code if, in the opinion of the REGULATORY AUTHORITY DISTRICT, a health HAZARD or nuisance will not result from the modification or waiver.
- (145) Vending Machine means a self-service device that, upon insertion of a coin, paper currency, token, card, or key, or by optional manual operation,

dispenses unit servings of FOOD in bulk or in packages without the necessity of replenishing the device between each vending operation.

- (146) Vending Machine Location means the room, enclosure, space, or area where one or more VENDING MACHINES are installed and operated and includes the storage areas and areas on the PREMISES that are used to service and maintain the VENDING MACHINES.
- (147) Ware Washing means the cleaning and SANITIZING of UTENSILS and FOOD-CONTACT SURFACES of EQUIPMENT.
- (148) Water Activity ( $A_w$ ) is a measure of the free moisture in a FOOD, is the quotient of the water vapor pressure of the substance divided by the vapor pressure of pure water at the same temperature, and is indicated by the symbol  $a_w$ .
- (149) Whole-Muscle, Intact Beef means whole muscle beef that is not injected, mechanically tenderized, reconstructed, or scored and marinated, from which beef steaks may be cut.
- (150) Wholesome means clean, free from spoilage and in such condition as to be safe for human consumption.

# Section 8-2 Management and Personnel

#### **Parts**

- 1. 8-2-1 Supervision
- 2. 8-2-2 Employee Health
- 3. 8-2-3 Personal Cleanliness
- 4. 8-2-4 Hygienic Practices

### 8-2-1 Supervision

#### **Subparts**

- 1. 8-2-101 Responsibility
- 2. 8-2-102 Knowledge
- 3. 8-2-103 Duties

#### Responsibility

#### 8-2-101.11 Assignment.

- (A) Except as specified in  $\P$  (B) of this section, the PERMIT HOLDER shall be the PERSON IN CHARGE or shall designate a PERSON IN CHARGE and shall ensure that a PERSON IN CHARGE is present at the FOOD ESTABLISHMENT during all hours of operation (*critical*). Pf
- (B) In a FOOD ESTABLISHMENT with two or more separately PERMITTED departments that are the legal responsibility of the same PERMIT HOLDER and that are located on the same PREMISES, the PERMIT HOLDER may, during specific time periods when food is not being prepared, packaged, or served, designate a single PERSON IN CHARGE who is present on the PREMISES during all hours of operation, and who is responsible for each separately PERMITTED FOOD ESTABLISHMENT on the PREMISES (critical). Pf

#### Knowledge

#### 8-2-102.11 Demonstration.

Based on the RISKS inherent to the FOOD operation, during inspections and upon request the PERSON IN CHARGE shall demonstrate to the <u>DISTRICT</u> knowledge of foodborne disease prevention, application of the HAZARD Analysis and CRITICAL CONTROL POINT principles, and the requirements of this Code. The PERSON IN CHARGE shall demonstrate this knowledge by:

- (A) Complying with this Code by having no critical violations also identified as PRIORITY ITEMS during the current inspection (*critical*); <sup>Pf</sup> and
- (B) Being a certified FOOD protection manager who has shown proficiency of required information through passing a test that is part of an ACCREDITED PROGRAM the DISTRICT FOOD TRAINING PROGRAM (critical); or and
- (C) Responding correctly to the inspector's questions as they relate to the specific FOOD operation. The areas of knowledge include:
  - (1) Describing the relationship between the prevention of foodborne disease and the personal hygiene of a FOOD EMPLOYEE (*critical*); Pf
  - (2) Explaining the responsibility of the PERSON IN CHARGE for preventing the transmission of foodborne disease by a FOOD EMPLOYEE who has a disease or medical condition that may cause foodborne disease (*critical*); Pf
  - (3) Describing the symptoms associated with the diseases that are transmissible through FOOD (*critical*); Pf
  - (4) Explaining the significance of the relationship between maintaining the time and temperature of POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) and the prevention of foodborne illness (*critical*); Pf

- (5) Explaining the HAZARDS involved in the consumption of raw or undercooked MEAT, POULTRY, EGGS, and FISH (*critical*); Pf
- (6) Stating the required FOOD temperatures and times for safe cooking of POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) including MEAT, POULTRY, EGGS, and FISH (*critical*); Pf
- (7) Stating the required temperatures and times for the safe refrigerated storage, hot holding, cooling, and reheating of POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) (*critical*); Pf
- (8) Describing the relationship between the prevention of foodborne illness and the management and control of the following:
  - (a) Cross contamination (critical), Pf
  - (b) Hand contact with READY-TO-EAT FOODS (critical), Pf
  - (c) Handwashing (critical), Pf and
  - (d) Maintaining the FOOD ESTABLISHMENT in a clean condition and in good repair (critical); Pf
- (9) Describing FOODs identified as MAJOR FOOD ALLERGENS and the symptoms that a MAJOR FOOD ALLERGEN could cause in a sensitive individual who has an allergic reaction *(critical)*. Pf
- (10) Explaining the relationship between FOOD safety and providing EQUIPMENT that is:
  - (a) Sufficient in number and capacity (critical), Pf and
  - (b) Properly designed, constructed, located, installed, operated, maintained, and cleaned (*critical*); Pf
- (11) Explaining correct procedures for cleaning and SANITIZING UTENSILS and FOOD-CONTACT SURFACES of EQUIPMENT (critical); <sup>Pf</sup>
- (12) Identifying the source of water used and measures taken to ensure that it remains protected from contamination such as providing protection from backflow and precluding the creation of cross connections (*critical*); Pf
- (13) Identifying POISONOUS OR TOXIC MATERIALS in the FOOD ESTABLISHMENT and the procedures necessary to ensure that they are safely stored, dispensed, used, and disposed of according to LAW (*critical*); Pf

- (14) Identifying CRITICAL CONTROL POINTS in the operation from purchasing through sale or service that when not controlled may contribute to the transmission of foodborne illness and explaining steps taken to ensure that the points are controlled in accordance with the requirements of this Code (*critical*); Pf
- (15) Explaining the details of how the PERSON IN CHARGE and FOOD EMPLOYEES comply with the HACCP PLAN if a plan is required by the LAW, this Code, or an agreement between the REGULATORY AUTHORITY <u>DISTRICT</u> and the FOOD ESTABLISHMENT (*critical*); Pf
- (16) Explaining the responsibilities, rights, and authorities assigned by this Code to the:
  - (a) FOOD EMPLOYEE (critical), Pf
  - (b) CONDITIONAL EMPLOYEE (critical), Pf
  - (c) PERSON IN CHARGE (critical), Pf
  - (d) REGULATORY AUTHORITY DISTRICT (critical); Pf and
- (17) Explaining how the PERSON IN CHARGE, FOOD EMPLOYEES, and CONDITIONAL EMPLOYEES comply with reporting responsibilities and EXCLUSION or RESTRICTION of FOOD EMPLOYEES (*critical*). Pf

### 8-2-102.20 Certified Food Protection Manager Certification.

A PERSON IN CHARGE who demonstrates knowledge by being a FOOD protection manager that is certified by a FOOD protection manager certification program that is evaluated and listed by a Conference for Food Protection-recognized accrediting agency as conforming to the Conference for Food Protection Standards for Accreditation of Food Protection Manager Certification Programs is deemed to comply with ¶ 2 102.11(B).

Food preparation operations shall require at least one management person from each shift, or a minimum of two if there is only one shift to obtain a Food Managers'

Certificate from the DISTRICT. A certificate will be issued when the applicant successfully passes an examination.

### 8-2-102.21 Food Handler Certification

Each food operation shall require each person employed that handles or prepares food to obtain a Food Handlers' Certificate through the DISTRICT Food Handlers' certification training program. However, Food Handler certification is not required if a food server currently possess a Food Managers' Certificate from the DISTRICT. A certificate will be issued when the applicant successfully passes an examination.

The DISTRICT shall follow the Food handler training and certificate requirements of ARS 11-269.12 (A through H).

### 8-2-102.22 <u>Backcountry-Food Manager Certification</u>

<u>Course is required for all Backcountry Operators who deal with environmental health issues, such as food safety, water purification, human excreta and solid waste handling and disposal</u>.

### **Duties**

### **8-2-103.11** Person in Charge.

The PERSON IN CHARGE shall ensure that:

- (A) FOOD ESTABLISHMENT operations are not conducted in a private home or in a room used as living or sleeping quarters as specified under § 8-6-202.111 (*critical*); Pf
- (B) PERSONS unnecessary to the FOOD ESTABLISHMENT operation are not allowed in the FOOD preparation, FOOD storage, or WAREWASHING areas, except that brief visits and tours may be authorized by the PERSON IN CHARGE if steps are taken to ensure that exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES are protected from contamination (*critical*); Pf
- (C) EMPLOYEES and other PERSONS such as delivery and maintenance PERSONS and pesticide applicators entering the FOOD preparation, FOOD storage, and WAREWASHING areas comply with this Code (*critical*); Pf
- (D) EMPLOYEES are effectively cleaning their hands, by routinely monitoring the EMPLOYEES' handwashing (*critical*); Pf
- (E) EMPLOYEES are visibly observing FOODS as they are received to determine that they are from APPROVED sources, delivered at the required temperatures, protected from contamination, ADULTERATED, and accurately presented, by routinely monitoring the EMPLOYEES' observations and periodically evaluating FOODS upon their receipt (*critical*); Pf
- (F) EMPLOYEES are properly cooking POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD), being particularly careful in cooking those FOODS known to cause severe foodborne illness and death, such as EGGS and COMMINUTED MEATS, through daily oversight of the EMPLOYEES' routine monitoring of the cooking temperatures using appropriate temperature measuring devices properly scaled and calibrated as specified under §§ 8-4-203.11 and 8-4-502.11(B) (critical); Pf

- (G) EMPLOYEES are using proper methods to rapidly cool POTENTIALLY HAZARDOUS FOODS (TIME/TEMPERATURE CONTROL FOR SAFETY FOODS) that are not held hot or are not for consumption within 4 hours, through daily oversight of the EMPLOYEES' routine monitoring of FOOD temperatures during cooling (*critical*); Pf
- (H) CONSUMERS who order raw; or partially cooked READY-TO-EAT FOODS of animal origin are informed as specified under § 8-3-603.11 that the FOOD is not cooked sufficiently to ensure its safety (*critical*); Pf
- (I) EMPLOYEES are properly SANITIZING cleaned multiuse EQUIPMENT and UTENSILS before they are reused, through routine monitoring of solution temperature and exposure time for hot water SANITIZING, and chemical concentration, pH, temperature, and exposure time for chemical SANITIZING (critical); Pf
- (J) CONSUMERS are notified that clean TABLEWARE is to be used when they return to self-service areas such as salad bars and buffets as specified under § 8-3-304.16 (critical); Pf
- (K) Except when APPROVAL is obtained from the REGULATORY AUTHORITY DISTRICT as specified in §8-3-301.11(D), EMPLOYEES are preventing cross-contamination of READY-TO-EAT FOOD with bare hands by properly using suitable UTENSILS such as deli tissue, spatulas, tongs, single-use gloves, or dispensing EQUIPMENT (critical); Pf
- (L) EMPLOYEES are properly trained in FOOD safety, including food allergy awareness, as it relates to their assigned duties (*critical*); <sup>Pf</sup> and
- (M) FOOD EMPLOYEES and CONDITIONAL EMPLOYEES are informed of their responsibility to report in accordance with LAW, to the PERSON IN CHARGE, information about their health and activities as they relate to diseases that are transmissible through FOOD, as specified under § 8-2-201.11(A) (critical).

### 8-2-2 Employee Health

**Subpart** 

1. 8-2-201 Responsibilities of Permit Holder, Person in Charge, Food Employees, and Conditional Employees

Responsibilities and Reporting Symptoms and Diagnosis

## 8-2-201.11 Responsibility of Permit/<u>License</u> Holder, Person in Charge, and Conditional Employees.

1. (A) The PERMIT/LICENSE HOLDER shall require FOOD EMPLOYEES and CONDITIONAL EMPLOYEES to report to the PERSON IN CHARGE information about

their health and activities as they relate to diseases that are transmissible through FOOD. A FOOD EMPLOYEE or CONDITIONAL EMPLOYEE shall report the information in a manner that allows the PERSON IN CHARGE to reduce the RISK of foodborne disease transmission, including providing necessary additional information, such as the date of onset of symptoms and an illness, or of a diagnosis without symptoms, if the FOOD EMPLOYEE or CONDITIONAL EMPLOYEE:

- 1. Reportable symptoms
- (1) Has any of the following symptoms:
  - (a) Vomiting (critical), P
  - (b) Diarrhea (critical), P
  - (c) Jaundice (critical), P
  - (d) Sore throat with fever (critical), P or
  - (e) A lesion containing pus such as a boil or infected wound that is open or draining and is:
    - (i) On the hands or wrists, unless an impermeable cover such as a finger cot or stall protects the lesion and a SINGLE-USE glove is worn over the impermeable cover (*critical*), P
    - (ii) On exposed portions of the arms, unless the lesion is protected by an impermeable cover *(critical)*, P or
    - (iii) On other parts of the body, unless the lesion is covered by a dry, durable, tight-fitting bandage (*critical*); Pf

### 2. Reportable diagnosis

- (2) Has an illness diagnosed by a HEALTH PRACTITIONER due to:
  - (a) Norovirus (critical), P
  - (b) Hepatitis A virus (critical), P
  - (c) Shigella spp. (critical), P
  - (d) Enterohemorrhagic of Shiga toxin-producing *Escherichia coli*, *(critical)*<sup>P</sup> of
  - (e) Salmonella Typhi (critical); P

(f) Nontyphoidal Salmonella; P

### 3. Reportable past illness

(3) Had a previous illness, diagnosed by a HEALTH PRACTITIONER, within the past 3 months due to *Salmonella* Typhi, without having received antibiotic therapy, as determined by a HEALTH PRACTITIONER (*critical*); P

### 4. Reportable history of exposure

- (4) Has been exposed to, or is the suspected source of, a CONFIRMED DISEASE OUTBREAK, because the FOOD EMPLOYEE or CONDITIONAL EMPLOYEE consumed or prepared FOOD implicated in the outbreak, or consumed FOOD at an event prepared by a PERSON who is infected or ill with:
  - (a) Norovirus within the past 48 hours of the last exposure (critical), P
  - (b) ENTEROHEMORRHAGIC or SHIGA TOXIN-PRODUCING *ESCHERICHIA COLI*, or *Shigella* spp. within the past 3 days of the last exposure (*critical*), <sup>P</sup>
  - (c) Salmonella Typhi within the past 14 days of the last exposure (critical), P or
  - (d) Hepatitis A virus within the past 30 days of the last exposure (*critical*); or

### 5. Reportable history of exposure

- (5) Has been exposed by attending or working in a setting where there is a CONFIRMED DISEASE OUTBREAK, or living in the same household as, and has knowledge about, an individual who works or attends a setting where there is a CONFIRMED DISEASE OUTBREAK, or living in the same household as, and has knowledge about, an individual diagnosed with an illness caused by:
  - (a) Norovirus within the past 48 hours of the last exposure (critical), P
  - (b) Enterohemorrhagic or Shiga toxin-producing *Escherichia Coli*, *or Shigella* spp. within the past 3 days of the last exposure (*critical*), P
  - (c) *Salmonella* Typhi within the past 14 days of the last exposure (*critical*), P or

- (d) Hepatitis A virus within the past 30 days of the last exposure (critical). P
- 2. Responsibility of person in charge to notify the regulatory authority DISTRICT.
  - (B) The PERSON IN CHARGE shall notify the DISTRICT when a FOOD EMPLOYEE is:
    - (1) Jaundiced <u>(critical)</u>, Pf or
    - (2) Diagnosed with an illness due to a pathogen as specified under Subparagraphs (A)(2)(a) (e) of this section (*critical*). Pf
- 3. Responsibility of the person in charge to prohibit a conditional employee from becoming a food employee
  - (C) The PERSON IN CHARGE shall ensure that a CONDITIONAL EMPLOYEE:
    - (1) Who exhibits or reports a symptom, or who reports a diagnosed illness as specified under Subparagraphs (A)(1) (3) of this section, is prohibited from becoming a FOOD EMPLOYEE until the CONDITIONAL EMPLOYEE meets the criteria for the specific symptoms or diagnosed illness as specified under § 8-2-201.13 (critical); and
    - (2) Who will work as a FOOD EMPLOYEE in a FOOD ESTABLISHMENT that serves as a HIGHLY SUSCEPTIBLE POPULATION and reports a history of exposure as specified under Subparagraphs (A)(4) (5), is prohibited from becoming a FOOD EMPLOYEE until the CONDITIONAL EMPLOYEE meets the criteria as specified under  $\P$  2-201.13(I) *(critical)*.
- 4. Responsibility of the person in charge to exclude or restrict
  - (D) The PERSON IN CHARGE shall ensure that a FOOD EMPLOYEE who exhibits or reports a symptom, or who reports a diagnosed illness or a history of exposure as specified under Subparagraphs (A)(1) (5) of this section is:
    - (1) EXCLUDED as specified under  $\P\P$  8-2-201.12 (A) (C), and Subparagraphs (D)(1), (E)(1), (F)(1), or (G)(1) and in compliance with the provisions specified under  $\P\P$  8-2-201.13(A) (G) (*critical*); <sup>P</sup> or
    - (2) RESTRICTED as specified under Subparagraphs 8-2-201.12 (D)(2), (E)(2), (F)(2), (G)(2), or  $\P$  8-2-201.12(H) or (I) and in compliance with the provisions specified under  $\P$  8-2-201.13(D) (I) (*critical*).
- 5. Responsibility of food employees and conditional employees to report

(E) A FOOD EMPLOYEE or CONDITIONAL EMPLOYEE shall report to the PERSON IN CHARGE the information as specified under  $\P$  (A) of this section  $\underline{(critical)}$ . Pf

### 6. Responsibility of food employees to comply

- (F) A FOOD EMPLOYEE shall:
  - (1) Comply with an EXCLUSION as specified under  $\P = 8-2-201.12(A) (C)$  and Subparagraphs 8-2-201.12(D)(1), (E)(1), (F)(1), or (G)(1) and with the provisions specified under  $\P = 8-2-201.13(A) (G)$  (*critical*); P or
  - (2) Comply with a RESTRICTION as specified under Subparagraphs 8-2-201.12(D)(2), (E)(2), (F)(2), (G)(2), or  $\P\P$  8-2-201.12 (H) or (I) and comply with the provisions specified under  $\P\P$  8-2-201.13(D) (I) (*critical*).

### Conditions of exclusion and restriction

### 8-2-201.12 Exclusions and Restrictions.

The PERSON IN CHARGE shall EXCLUDE or RESTRICT a FOOD EMPLOYEE from a FOOD ESTABLISHMENT in accordance with the following:

### 1. Symptomatic with vomiting or diarrhea

- (A) Except when the symptom is from a noninfectious condition, EXCLUDE a FOOD EMPLOYEE if the FOOD EMPLOYEE is:
  - (1) Symptomatic with vomiting or diarrhea (critical); P or
  - (2) Symptomatic with vomiting or diarrhea and diagnosed with an infection from Norovirus, *Shigella* spp., or nontyphoidal *Salmonella*, *or* SHIGA TOXIN-PRODUCING *E. COLI* (*critical*). P

### 2. Jaundiced or diagnosed with hepatitis A infection

- (B) Exclude a food employee who is:
  - (1) Jaundiced and the onset of jaundice occurred within the last 7 calendar days, unless the FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER specifying that the jaundice is not caused by hepatitis A virus or other fecal-orally transmitted infection (critical); P
  - (2) Diagnosed with an infection from hepatitis A virus within 14 calendar days from the onset of any illness symptoms, or within 7 calendar days of the onset of jaundice *(critical)*; P or

(3) Diagnosed with an infection from hepatitis A virus without developing symptoms (*critical*). P

### 3. Diagnosed or reported previous infection due to S. Typhi

(C) EXCLUDE a FOOD EMPLOYEE who is diagnosed with an infection from *Salmonella* Typhi, or reports a previous infection with *Salmonella* Typhi within the past 3 months as specified under Subparagraph 8-2-201.11(A)(3) (*critical*).

### 4. Diagnosed with an asymptomatic infection from Norovirus

- (D) If a FOOD EMPLOYEE is diagnosed with an infection from Norovirus and is ASYMPTOMATIC:
  - (1) EXCLUDE the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION (*critical*); Por
  - (2) RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION (critical).

### 5. Diagnosed with Shigella spp. infection and asymptomatic

- (E) If a FOOD EMPLOYEE is diagnosed with an infection from *Shigella* spp. and is ASYMPTOMATIC:
  - (1) EXCLUDE the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION (critical); P
  - (2) RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION (critical). P

### 6. Diagnosed with STEC and asymptomatic

- (F) If a FOOD EMPLOYEE is diagnosed with an infection from ENTEROHEMORRHAGIC or SHIGA TOXIN-PRODUCING *E. COLI*, and is ASYMPTOMATIC:
  - (1) EXCLUDE the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION (*critical*); Por
  - (2) RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION (critical). P

### 7. Diagnosed with nontyphoidal Salmonella and asymptomatic

(G) If a FOOD EMPLOYEE is diagnosed with an infection from

nontyphoidal *Salmonella* and is ASYMPTOMATIC, RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION or in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION.P

### 8. Symptomatic with sore throat with fever

- (G) If a FOOD EMPLOYEE is ill with symptoms of acute onset of sore throat with fever:
  - (1) EXCLUDE the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION (critical); P or
  - (2) RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION (critical). P

### 9. Symptomatic with uncovered infected wound or pustular boil

(H) If a FOOD EMPLOYEE is infected with a skin lesion containing pus such as a boil or infected wound that is open or draining and not properly covered as specified under Subparagraph 8-2-201.11(A)(1)(e), RESTRICT the FOOD EMPLOYEE (critical).

### 10. Exposed to foodborne pathogen and works in food establishment serving HSP

(I) If a FOOD EMPLOYEE is exposed to a foodborne pathogen as specified under Subparagraphs 8-2-201.11(A)(4) or (5), RESTRICT the FOOD EMPLOYEE who works in a FOOD ESTABLISHMENT serving a HIGHLY SUSCEPTIBLE POPULATION (*critical*). P

### Managing Exclusions and Restrictions

### 8-2-201.13 Removal, Adjustment, or Retention of Exclusions and Restrictions.

The PERSON IN CHARGE shall adhere to the following conditions when removing, adjusting, or retaining the EXCLUSION or RESTRICTION of a FOOD EMPLOYEE (CRITICAL)<sup>P</sup>:

- (A) Adhere to the requirements provided in the Arizona Administrative Code, Chapter 6, Article 3, *Communicable Diseases* for communicable disease control, contact control, environmental control, special control and outbreak control measures will be adhered to (Appendix Communicable Diseases Arizona Department of Health Services Regulation 9-6-1, 2, & 3).
- (B) When the DISTRICT has reasonable cause to suspect possible disease transmission by an employee of a food service establishment. The LICENSE HOLDER shall provide a morbidity history of the suspected employee(s) when requested by the DISTRICT.

- (C) Allow the DISTRICT to conduct investigations as needed and take appropriate actions as instructed by the DISTRICT. The DISTRICT may require any or all of the following measures:
- 1. The immediate exclusion of the employee from employment in food service establishments (critical);
- 2. The immediate closing of the food service establishment in accordance with procedures specified in Section 8 of this Code concerned until, in the opinion of the DISTRICT, no further danger of disease outbreak exists (critical);
- 3. Restriction of the employees services from some area of the establishment where there would be no danger of transmitting disease (critical);
- 4. Adequate medical and laboratory examination of the employee and of other employees and of his and their body discharges (critical).

### The following must be adhered to for the specific pathogens listed below:

- 1. (A) Except when a FOOD EMPLOYEE is diagnosed with an infection from hepatitis A virus or Salmonella *Typhi*:
  - 1. removing exclusion for food employee who was symptomatic and not diagnosed
    - (1) Reinstate a FOOD EMPLOYEE who was EXCLUDED as specified under Subparagraph 8-2-201.12(A)(1) if the FOOD EMPLOYEE:
      - 1. (a) Is ASYMPTOMATIC for at least 24 hours (critical); P or
      - 2. (b) Provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER that states the symptom is from a noninfectious condition (*critical*). P

### 2. Norovirus diagnosis

- (2) If a FOOD EMPLOYEE was diagnosed with an infection from Norovirus and EXCLUDED as specified under Subparagraph 8-2-201.12(A)(2):
  - 1. adjusting exclusion for food employee who was symptomatic and is now asymptomatic
    - (a) RESTRICT the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (D)(1) or (2) of this section are met (*critical*); Por
  - 2. retaining exclusion for food employee who was asymptomatic and is now asymptomatic and works in food establishment serving HSP

(b) Retain the EXCLUSION for the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT that serves a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (D)(1) or (2) of this section are met (*critical*). P

### 3. Shigella spp. diagnosis

- (3) If a FOOD EMPLOYEE was diagnosed with an infection from *Shigella* spp. and EXCLUDED as specified under Subparagraph 8-2-201.12(A)(2):
  - 1. adjusting exclusion for food employee who was symptomatic and is now asymptomatic
    - (a) RESTRICT the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (E)(1) or (2) of this section are met (critical); P or
  - 2. retaining exclusion for food employee who was asymptomatic and is now asymptomatic
    - (b) Retain the EXCLUSION for the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT that serves a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (E)(1) or (2), or (E)(1) and (3)(a) of this section are met (*critical*). P

### 4. EHEC or STEC diagnosis

- (4) If a FOOD EMPLOYEE was diagnosed with an infection from ENTEROHEMORRHAGIC or SHIGA TOXIN-PRODUCING *ESCHERICHIA COLI* and EXCLUDED as specified under Subparagraph 8-2-201.12(A)(2):
  - 1. adjusting exclusion for food employee who was symptomatic and is now asymptomatic
    - (a) RESTRICT the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT not serving a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (F)(1) or (2) of this section are met (critical): P or

- 2. retaining exclusion for food employee who was symptomatic and is now asymptomatic and works in food establishment serving HSP
  - (b) Retain the EXCLUSION for the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 24 hours and works in a FOOD ESTABLISHMENT that serves a HIGHLY SUSCEPTIBLE POPULATION, until the conditions for reinstatement as specified under Subparagraphs (F)(1) or (2) are met (critical).

### 5. Nontyphoidal Salmonella diagnosis

- (5) If a FOOD EMPLOYEE was diagnosed with an infection from nontyphoidal *Salmonella* and EXCLUDED as specified under Subparagraph 2-201.12(A)(2):
- 1. Adjusting exclusion for food employee who was symptomatic and is now asymptomatic
  - (a) RESTRICT the FOOD EMPLOYEE, who is ASYMPTOMATIC for at least 30 days until conditions for reinstatement as specified under Subparagraphs (G)(1) or (2) of this section are met: <sup>P</sup> or
- 2. Retaining exclusion for food employee that remains symptomatic
  - (b) Retain the EXCLUSION for the FOOD EMPLOYEE who is SYMPTOMATIC, until conditions for reinstatement as specified under Paragraphs (G)(1) or (G)(2) of this section are met. P

### 6. Hepatitis A virus or jaundice diagnosis - removing exclusions

- (B) Reinstate a FOOD EMPLOYEE who was EXCLUDED as specified under  $\P$  8-2-201.12(B) if the PERSON IN CHARGE obtains APPROVAL from the REGULATORY AUTHORITY-DISTRICT and one of the following conditions is met;
  - 1. (1) The FOOD EMPLOYEE has been jaundiced for more than 7 calendar days (*critical*); P
  - 2. (2) The anicteric FOOD EMPLOYEE has been symptomatic with symptoms other than jaundice for more than 14 calendar days (*critical*); or
  - 3. (3) The FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER stating that the FOOD EMPLOYEE is free of a hepatitis A virus infection (*critical*). P

### 7. S. Typhi diagnosis - removing exclusions

- (C) Reinstate a FOOD EMPLOYEE who was EXCLUDED as specified under  $\P$  8-2-201.12(C) if:
  - 1. (1) The PERSON IN CHARGE obtains APPROVAL from the REGULATORY AUTHORITY DISTRICT (critical); P and
  - 2. (2) The FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER that states the FOOD EMPLOYEE is free from *S*. Typhi infection (*critical*). P

### 8. Norovirus diagnosis - removing exclusion or restriction

- (D) Reinstate a FOOD EMPLOYEE who was EXCLUDED as specified under Subparagraphs 8-2-201.12(A)(2) or (D)(1) who was RESTRICTED under Subparagraph 8-2-201.12(D)(2) if the PERSON IN CHARGE obtains APPROVAL from the REGULATORY AUTHORITY DISTRICT and one of the following conditions is met:
  - 1. (1) The EXCLUDED or RESTRICTED FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER stating that the FOOD EMPLOYEE is free of a Norovirus infection (*critical*):
  - 2. (2) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED after symptoms of vomiting or diarrhea resolved, and more than 48 hours have passed since the FOOD EMPLOYEE became ASYMPTOMATIC (critical); or
  - 3. (3) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED and did not develop symptoms and more than 48 hours have passed since the FOOD EMPLOYEE was diagnosed (*critical*). P

### 9. Shigella spp. diagnosis - removing exclusion or restriction

- (E) Reinstate a FOOD EMPLOYEE who was EXCLUDED as specified under Subparagraphs 8-2-201.12(A)(2) or (E)(1) or who was RESTRICTED under Subparagraph 8-2-201.12(E)(2) if the PERSON IN CHARGE obtains APPROVAL from the REGULATORY AUTHORITY DISTRICT and one of the following conditions is met:
  - 1. (1) The EXCLUDED or RESTRICTED FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER stating that the FOOD EMPLOYEE is free of a *Shigella* spp. infection based on test results showing 2 consecutive negative stool specimen cultures that are taken:
    - 1. (a) Not earlier than 48 hours after discontinuance of antibiotics (*critical*), P and
    - 2. (b) At least 24 hours apart (critical); P
  - 2. (2) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED after symptoms of vomiting or diarrhea resolved, and more than 7 calendar days have passed since the FOOD EMPLOYEE became ASYMPTOMATIC (*critical*); or

3. (3) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED and did not develop symptoms and more than 7 calendar days have passed since the FOOD EMPLOYEE was diagnosed (*critical*). P

### 10. STEC diagnosis - removing exclusion or restriction

- (F) Reinstate a FOOD EMPLOYEE who was EXCLUDED or RESTRICTED as specified under Subparagraphs 8-2-201.12(A)(2) or (F)(1) or who was RESTRICTED under Subparagraph 8-2-201.12(F)(2) if the PERSON IN CHARGE obtains APPROVAL from the REGULATORY AUTHORITY DISTRICT and one of the following conditions is met:
  - 1. (1) The EXCLUDED or RESTRICTED FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER stating that the FOOD EMPLOYEE is free of an infection from Enterohemorrhagic or Shiga toxin-producing *Escherichia Coli* based on test results that show 2 consecutive negative stool specimen cultures that are taken:
    - 1. (a) Not earlier than 48 hours after discontinuance of antibiotics (*critical*); P and
    - 2. (b) At least 24 hours apart (critical); P
  - 2. (2) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED after symptoms of vomiting or diarrhea resolved and more than 7 calendar days have passed since the FOOD EMPLOYEE became ASYMPTOMATIC(critical); P or
  - 3. (3) The FOOD EMPLOYEE was EXCLUDED or RESTRICTED and did not develop symptoms and more than 7 days have passed since the FOOD EMPLOYEE was diagnosed (*critical*).

### 11. Sore throat with fever - removing exclusion or restriction

- (G) Reinstate a FOOD EMPLOYEE who was EXCLUDED or RESTRICTED as specified under Subparagraphs 8-2-201.12(G)(1) or (2) if the FOOD EMPLOYEE provides to the PERSON IN CHARGE written medical documentation from a HEALTH PRACTITIONER stating that the FOOD EMPLOYEE meets one of the following conditions:
  - 1. (1) Has received antibiotic therapy for *Streptococcus pyogenes* infection for more than 24 hours (*critical*); P
  - 2. (2) Has at least one negative throat specimen culture for *Streptococcus pyogenes* infection (*critical*); or
  - 3. (3) Is otherwise determined by a HEALTH PRACTITIONER to be free of a *Streptococcus pyogenes* infection (*critical*). P

### 12. Uncovered infected wound or pustular boil - removing restriction

(H) Reinstate a FOOD EMPLOYEE who was RESTRICTED as specified under  $\P$  8-2-201.12(H) if the skin, infected wound, cut, or pustular boil is properly covered with one of the following:

- 1. (1) An impermeable cover such as a finger cot or stall and a single-use glove over the impermeable cover if the infected wound or pustular boil is on the hand, finger, or wrist (*critical*); P
- 2. (2) An impermeable cover on the arm if the infected wound or pustular boil is on the arm (*critical*); Por
- 3. (3) A dry, durable, tight-fitting bandage if the infected wound or pustular boil is on another part of the body (*critical*). P
- 13. Exposure to foodborne pathogen and works in food establishment serving HSP removing restriction
  - (I) Reinstate a FOOD EMPLOYEE who was RESTRICTED as specified under  $\P$  8-2-201.12(I) and was exposed to one of the following pathogens as specified under Subparagraph 8-2-201.11(A)(4) or (5):

### 1. Norovirus

- (1) Norovirus and one of the following conditions is met:
  - 1. (a) More than 48 hours have passed since the last day the FOOD EMPLOYEE was potentially exposed (*critical*); Por
  - 2. (b) More than 48 hours have passed since the FOOD EMPLOYEE'S household contact became ASYMPTOMATIC (critical). P

### 2. Shigella spp., EHEC, or STEC

- (2) *Shigella* spp. or Enterohemorrhagic or Shiga toxin-producing *Escherichia coli* and one of the following conditions is met:
  - 1. (a) More than 3 calendar days have passed since the last day the FOOD EMPLOYEE was potentially exposed *(critical)*; Por
  - 2. (b) More than 3 calendar days have passed since the FOOD EMPLOYEE'S household contact became ASYMPTOMATIC (critical). P

### 3. *S.* **Typhi**

- (3) S. Typhi and one of the following conditions are met:
  - 1. (a) More than 14 calendar days have passed since the last day the FOOD EMPLOYEE was potentially exposed (*critical*); or
  - 2. (b) More than 14 calendar days have passed since the FOOD EMPLOYEE'S household contact became ASYMPTOMATIC (*critical*). P

### 4. Hepatitis A

- (4) Hepatitis A virus and one of the following conditions is met:
  - 1. (a) The FOOD EMPLOYEE is immune to hepatitis A virus infection because of a prior illness from hepatitis A (*critical*); P

- 2. (b) The FOOD EMPLOYEE is immune to hepatitis A virus infection because of vaccination against hepatitis A (critical); P
- 3. (c) The FOOD EMPLOYEE is immune to hepatitis A virus infection because of IgG administration (*critical*); P
- 4. (d) More than 30 calendar days have passed since the last day the FOOD EMPLOYEE was potentially exposed (*critical*); P
- 5. (e) More than 30 calendar days have passed since the FOOD EMPLOYEE'S household contact became jaundiced (*critical*); P or
- 6. (f) The FOOD EMPLOYEE does not use an alternative procedure that allows bare hand contact with READY-TO-EAT FOOD until at least 30 days after the potential exposure, as specified in Subparagraphs (I)(4)(d) and (e) of this section, and the FOOD EMPLOYEE receives additional training about:
  - 1. (i) Hepatitis A symptoms and preventing the transmission of infection (*critical*), P
  - 2. (ii) Proper handwashing procedures (critical), P and
  - 3. (iii) Protecting READY-TO-EAT FOOD from contamination introduced by bare hand contact (*critical*). P

### 8-2-3 Personal Cleanliness

### **Subparts**

- 1. 8-2-301 Hands and Arms
- 2. **8-2-302** Fingernails
- 3. 8-2-303 Jewelry
- 4. 8-2-304 Outer Clothing

### Hands and Arms

### 8-2-301.11 Clean Condition.

FOOD EMPLOYEES shall keep their hands and exposed portions of their arms clean (*critical*). P

### 8-2-301.12 Cleaning Procedure.

- (A) Except as specified in  $\P$  (D) of this section, FOOD EMPLOYEES shall clean their hands and exposed portions of their arms, including surrogate prosthetic devices for hands or arms for at least 20 seconds, using a cleaning compound in a HANDWASHING SINK that is equipped as specified under  $\S$  8-5-202.12 and Subpart 8-6-301(critical)  $^P$
- (1) A HANDWASHING SINK shall be equipped to provide water at a temperature of at least 38°C (100°F) through a mixing valve or combination faucet (CRITICAL). Pf
- (2) A steam mixing valve may not be used at a HANDWASHING SINK.

- (3) A self-closing, slow-closing, or metering faucet shall provide a flow of water for at least 15 seconds without the need to reactivate the faucet.
- (4) An automatic handwashing facility shall be installed in accordance with manufacturer's instructions.
- (5) Each HANDWASHING SINK or group of 2 adjacent HANDWASHING SINKS shall be provided with a supply of dispensed hand cleaning liquid or powder or bar soap ) (critical). Pf
- (6) Individual, disposable towels (*critical*); Pf or a continuous towel system that supplies the user with a clean towel (*critical*); Pf or a heated-air hand drying device (*critical*); Pf or a hand drying device that employs an air-knife system that delivers high velocity, pressurized air at ambient temperatures (*critical*). Pf
- (B) FOOD EMPLOYEES shall use the following cleaning procedure in the order stated to clean their hands and exposed portions of their arms, including surrogate prosthetic devices for hands and arms:
  - (1) Rinse under clean, running warm water (critical); P
  - (2) Apply an amount of cleaning compound recommended by the cleaning compound manufacturer (*critical*); <sup>P</sup>
  - (3) Rub together vigorously for at least 10 to 15 seconds while:
    - (a) Paying particular attention to removing soil from underneath the fingernails during the cleaning procedure (*critical*), <sup>P</sup> and
    - (b) Creating friction on the surfaces of the hands and arms or surrogate prosthetic devices for hands and arms, finger tips, and areas between the fingers (*critical*); <sup>P</sup>
  - (4) Thoroughly rinse under clean, running warm water (critical); P and
  - (5) Immediately follow the cleaning procedure with thorough drying using a method as specified under § 8-6-301.12 (*critical*). P
- (C) To avoid re-contaminating their hands or surrogate prosthetic devices, FOOD EMPLOYEES may use disposable paper towels or similar clean barriers when touching surfaces such as manually operated faucet handles on a HANDWASHING SINK or the handle of a restroom door.
- (D) If APPROVED and capable of removing the types of soils encountered in the FOOD operations involved, an automatic handwashing facility may be used by FOOD EMPLOYEES to clean their hands or surrogate prosthetic devices.

### 8-2-301.13 Special Handwash Procedures.

Reserved.

### 8-2-301.14 When to Wash.

FOOD EMPLOYEES shall clean their hands and exposed portions of their arms as specified under § 8-2-301.12 immediately before engaging in FOOD preparation including working with exposed FOOD, clean EQUIPMENT and UTENSILS, and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES (*critical*)<sup>P</sup> and:

- (A) After touching bare human body parts other than clean hands and clean, exposed portions of arms(*critical*); <sup>P</sup>
- (B) After using the toilet room (critical); P
- (C) After caring for or handling SERVICE ANIMALS or aquatic animals as specified in ¶8-2-403.11(B) (critical); P
- (D) Except as specified in ¶ 8-2-401.11(B), after coughing, sneezing, using a handkerchief or disposable tissue, using tobacco, eating, or drinking (critical); <sup>P</sup>
- (E) After handling soiled EQUIPMENT or UTENSILS (critical); P
- (F) During FOOD preparation, as often as necessary to remove soil and contamination and to prevent cross contamination when changing tasks  $(critical)^P$
- (G) When switching between working with raw FOOD and working with READY-TO-EAT FOOD (*critical*); P
- (H) Before donning gloves to initiate a task that involves working with FOOD (critical); P and
- (I) After engaging in other activities that contaminate the hands (critical). P

### 8-2-301.15 Where to Wash.

FOOD EMPLOYEES shall clean their hands in a HANDWASHING SINK or APPROVED automatic handwashing facility and may not clean their hands in a sink used for FOOD preparation or WAREWASHING, or in a service sink or a curbed cleaning facility used for the disposal of mop water and similar liquid waste *(critical)*. Pf

### 8-2-301.16 Hand Antiseptics.

(A) A hand antiseptic used as a topical application, a hand antiseptic solution used as a hand dip, or a hand antiseptic soap shall:

- (1) Comply with one of the following:
  - (a) Be an APPROVED drug that is listed in the FDA publication **Approved Drug Products with Therapeutic Equivalence Evaluations** as an APPROVED drug based on safety and effectiveness (*critical*); Pf or
  - (b) Have active antimicrobial ingredients that are listed in the FDA monograph for OTC Health-Care Antiseptic Drug Products as an antiseptic handwash (*critical*), <sup>Pf</sup> and
- (2) Consist only of components which the intended use of each complies with one of the following:
  - (a) A threshold of regulation exemption under 21 CFR 170.39 Threshold of regulation for substances used in FOOD-contact articles (*critical*); Pf or
  - (b) 21 CFR 178 Indirect Food Additives: Adjuvants, Production Aids, and Sanitizers as regulated for use as a FOOD ADDITIVE with conditions of safe use (*critical*), <sup>Pf</sup> or
  - (c) A determination of generally recognized as safe (GRAS). Partial listings of substances with FOOD uses that are GRAS may be found in 21 CFR 182 Substances Generally Recognized as Safe, 21 CFR 184 Direct Food Substances Affirmed as Generally Recognized as Safe, or 21 CFR 186 Indirect Food Substances Affirmed as Generally Recognized as Safe for use in contact with food, and in FDA's Inventory of GRAS Notices (*critical*). Pf or
  - (d) A prior sanction listed under 21 CFR 181 Prior Sanctioned FOOD Ingredients (*critical*), Pf or
  - (e) A FOOD Contact Notification that is effective (critical), PF and
- (3) Be applied only to hands that are cleaned as specified under  $\S$  8-2-301.12 (critical). Pf
- (B) If a hand antiseptic or a hand antiseptic solution used as a hand dip does not meet the criteria specified under Subparagraph (A)(2) of this section, use shall be:
  - (1) Followed by thorough hand rinsing in clean water before there is hand contact with FOOD or by the use of gloves (*critical*); Pf or
  - (2) Limited to situations that involve no direct contact with FOOD by the bare hands (*critical*). Pf

(C) A hand antiseptic solution used as a hand dip shall be maintained clean and at a strength equivalent to at least 100 MG/L chlorine (*critical*). Pf

### **Fingernails**

### 8-2-302.11 Maintenance.

- (A) FOOD EMPLOYEES shall keep their fingernails trimmed, filed, and maintained so the edges and surfaces are cleanable and not rough (*critical*). Pf
- (B) Unless wearing intact gloves in good repair, a FOOD EMPLOYEE may not wear fingernail polish or artificial fingernails when working with exposed FOOD (critical). Pf

### **Jewelry**

### 8-2-303.11 Prohibition.

Except for a plain ring such as a wedding band, while preparing FOOD, FOOD EMPLOYEES may not wear jewelry including medical information jewelry on their arms and hands.

### **Outer Clothing**

### 8-2-304.11 Clean Condition.

FOOD EMPLOYEES shall wear clean outer clothing to prevent contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES.

### 8-2-4 Hygienic Practices

### **Subparts**

- 1. 2-401 Food Contamination Prevention
- 2. 2-402 Hair Restraints
- 3. 2-403 Animals

### Food Contamination Prevention

### 8-2-401.11 Eating, Drinking, or Using Tobacco.

(A) Except as specified in  $\P$  (B) of this section, an EMPLOYEE shall eat, drink, or use any form of tobacco only in designated areas where the contamination of exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES; or other items needing protection cannot result.

- (B) A FOOD EMPLOYEE may drink from a closed BEVERAGE container if the container is handled to prevent contamination of:
  - (1) The EMPLOYEE'S hands;
  - (2) The container; and
  - (3) Exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.

### 8-2-401.12 Discharges from the Eyes, Nose, and Mouth.

FOOD EMPLOYEES experiencing persistent sneezing, coughing, or a runny nose that causes discharges from the eyes, nose, or mouth may not work with exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; or unwrapped SINGLE-SERVICE or SINGLE-USE ARTICLES.

### Hair Restraints

### 8-2-402.11 Effectiveness.

- (A) Except as provided in ¶ (B) of this section, FOOD EMPLOYEES shall wear hair restraints such as hats, hair coverings or nets, beard restraints, and clothing that covers body hair, that are designed and worn to effectively keep their hair from contacting exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.
- (B) This section does not apply to FOOD EMPLOYEES such as counter staff who only serve BEVERAGES and wrapped or *PACKAGED* FOODS, hostesses, and wait staff if they present a minimal RISK of contaminating exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.

### **Animals**

### 8-2-403.11 Handling Prohibition.

- 1. (A) Except as specified in ¶ (B) of this section, FOOD EMPLOYEES may not care for or handle animals that may be present such as patrol dogs, SERVICE ANIMALS, or pets that are allowed as specified in Subparagraphs 8-6-501.115(B)(2)-(5) (critical). Pf
- 2. (B) FOOD EMPLOYEES with SERVICE ANIMALS may handle or care for their SERVICE ANIMALS and FOOD EMPLOYEES may handle or care for FISH in aquariums or MOLLUSCAN SHELLFISH or crustacea in display tanks if they wash their hands as specified under § 8-2-301.12 and 8-2-301.14(C).

### Section 8-3 Food

#### **Parts**

- 1. 8-3-1 Characteristics
- 2. 8-3-2 Sources, Specifications, and Original Containers and Records
- 3. 8-3-3 Protection from Contamination after Receiving
- 4. 8-3-4 Destruction of Organisms of Public Health Concern
- 5. 8-3-5 <u>Limitation of Growth of Organisms</u> of Public Health Concern
- 6. 8-3-6 Food Identity, Presentation, And On-premises Labeling
- 7. 8-3-7 Contaminated Food
- 8. 8-3-8 Special Requirements for Highly Susceptible Populations

### 8-3-1 Characteristics

### **Subparts**

### 1. 8-3-101 Condition

### **Condition**

### 8-3-101.11 Safe, Unadulterated, and Honestly Presented.

FOOD shall be safe, UNADULTERATED, and, as specified under § 8-3-601.12, honestly presented (*critical*). P

# 8-3-2 Sources, Specifications, and Original Containers and Records

### **Subparts**

- 1. 8-3-201 Sources
- 2. 8-3-202 Specifications for Receiving
- 3. 8-3-203 Original Containers and Records

### Sources

### 8-3-201.11 Compliance with Food Law.

(A) FOOD shall be obtained from sources that comply with LAW (critical). P

- (B) FOOD prepared in a private home may not be used or offered for human consumption in a FOOD ESTABLISHMENT (*critical*). P
- (C) PACKAGED FOOD shall be labeled as specified in LAW, including 21 CFR 101 FOOD Labeling, 9 CFR 317 Labeling, Marking Devices, and Containers, and 9 CFR 381 Subpart N Labeling and Containers, and as specified under §§ 8-3-202.17 and 8-3-202.18 (critical). Pf
- (D) FISH, other than those specified in paragraph 8-3-402.11(B), that are intended for consumption in raw or undercooked form and allowed as specified in Subparagraph 8-3-401.11(D), may be offered for sale or service if they are obtained from a supplier that freezes the FISH as specified under § 8-3-402.11; or if they are frozen on the PREMISES as specified under § 8-3-402.11 and records are retained as specified under § 8-3-402.12.
- (E) WHOLE-MUSCLE, INTACT BEEF steaks that are intended for consumption in an undercooked form without a CONSUMER advisory as specified in ¶8-3-401.11(C) shall be:
  - (1) Obtained from a FOOD PROCESSING PLANT that, upon request by the purchaser, packages the steaks and labels them, to indicate that the steaks meet the definition of WHOLE-MUSCLE, INTACT BEEF (critical), Pf or
  - (2) Deemed acceptable by the REGULATORY AUTHORITY DISTRICT based on other evidence, such as written buyer specifications or invoices, that indicates that the steaks meet the definition of WHOLE-MUSCLE, INTACT BEEF (critical), Pf and
  - (3) If individually cut in a FOOD ESTABLISHMENT:
    - (a) Cut from WHOLE-MUSCLE INTACT BEEF that is labeled by a FOOD PROCESSING PLANT as specified in Subparagraph (E)(1) of this section or identified as specified in Subparagraph (E)(2) of this section (*critical*), Pf
    - (b) Prepared so they remain intact (critical), Pf and
    - (c) If PACKAGED for undercooking in a FOOD ESTABLISHMENT, labeled as specified in Subparagraph (E)(1) of this section or identified as specified in (E)(2) of this section (*critical*). Pf
- (F) MEAT and POULTRY that is not a READY-TO-EAT FOOD and is in a PACKAGED form when it is offered for sale or otherwise offered for consumption, shall be labeled to include safe handling instructions as specified in LAW, including 9 CFR 317.2(l) and 9 CFR 381.125(b).

(G) EGGS that have not been specifically treated to destroy all viable *Salmonellae* shall be labeled to include safe handling instructions as specified in LAW, including 21 CFR 101.17(h).

### 8-3-201.12 Food in a Hermetically Sealed Container.

FOOD in a HERMETICALLY SEALED CONTAINER shall be obtained from a FOOD PROCESSING PLANT that is regulated by the FOOD regulatory agency that has jurisdiction over the plant *(critical)*. P

### 8-3-201.13 Fluid Milk and Milk Products.

Fluid milk and milk products shall be obtained from sources that comply with USDA GRADE A STANDARDS as specified in LAW (critical). P

### 8-3-201.14 Fish.

- (A) FISH that are received for sale or service shall be:
  - (1) Commercially and legally caught or harvested (critical); P or
  - (2) APPROVED for sale or service (critical). P
- (B) MOLLUSCAN SHELLFISH that are recreationally caught may not be received for sale or service (*critical*). P

### 8-3-201.15 Molluscan Shellfish.

- (A) MOLLUSCAN SHELLFISH shall be obtained from sources according to LAW and the requirements specified in the U.S. Department of Health and Human Services, Public Health Service, Food and Drug Administration, National Shellfish Sanitation Program Guide for the Control of Molluscan Shellfish (*critical*). P
- (B) MOLLUSCAN SHELLFISH received in interstate commerce shall be from sources that are listed in the Interstate Certified Shellfish Shippers List (*critical*). P

### 8-3-201.16 Wild Mushrooms.

- (A) Except as specified in  $\P$  (B) of this section, mushroom species picked in the wild shall not be offered for sale or service by a food establishment unless the food establishment has been approved to do so *(critical)*.
- (B) This section does not apply to:

- (1) Cultivated wild mushroom species that are grown, harvested, and processed in an operation that is regulated by the FOOD regulatory agency that has jurisdiction over the operation; or b
- (2) Wild mushroom species if they are in packaged form and are the product of a FOOD PROCESSING PLANT that is regulated by the FOOD regulatory agency that has jurisdiction over the plant.

### 8-3-201.17 Game Animals.

- (A) If GAME ANIMALS are received for sale or service they shall be:
  - (1) Commercially raised for FOOD (critical) P and:
    - (a) Raised, slaughtered, and processed under a voluntary inspection program that is conducted by the agency that has animal health jurisdiction *(critical)*, P or
    - (b) Under a routine inspection program conducted by a regulatory agency other than the agency that has animal health jurisdiction *(critical)*, <sup>P</sup> and
    - (c) Raised, slaughtered, and processed according to:
      - (i) LAWS governing MEAT and POULTRY as determined by the agency that has animal health jurisdiction and the agency that conducts the inspection program (critical), P and
      - (ii) Requirements which are developed by the agency that has animal health jurisdiction and the agency that conducts the inspection program with consideration of factors such as the need for antemortem and postmortem examination by an APPROVED veterinarian or veterinarian's designee (critical); P
- (2) Under a voluntary inspection program administered by the USDA for game animals such as exotic animals (reindeer, elk, deer, antelope, water buffalo, or bison) that are "inspected and APPROVED" in accordance with 9 CFR 352 Exotic animals; voluntary inspection or rabbits that are "inspected and certified" in accordance with 9 CFR 354 voluntary inspection of rabbits and edible products thereof (*critical*); P
- (3) As allowed by ARS 17-240 (A), for wild GAME ANIMALS that are live-caught:
  - (a) Under a routine inspection program conducted by a regulatory agency such as the agency that has animal health jurisdiction (*critical*), <sup>P</sup> and
  - (b) Slaughtered and processed according to:

- (i) LAWS governing MEAT and POULTRY as determined by the agency that has animal health jurisdiction and the agency that conducts the inspection program (*critical*), <sup>P</sup> and
- (ii) Requirements which are developed by the agency that has animal health jurisdiction and the agency that conducts the inspection program with consideration of factors such as the need for antemortem and postmortem examination by an APPROVED veterinarian or veterinarian's designee (*critical*); P or
- (4) As allowed by LAW, for field-dressed wild GAME ANIMALS under a routine inspection program that ensures the animals:
  - (a) Receive a postmortem examination by an APPROVED veterinarian or veterinarian's designee (*critical*), <sup>P</sup> or
  - (b) Are field-dressed and transported according to requirements specified by the agency that has animal health jurisdiction and the agency that conducts the inspection program (*critical*), <sup>P</sup> and
  - (c) Are processed according to LAWS governing MEAT and POULTRY as determined by the agency that has animal health jurisdiction and the agency that conducts the inspection program (*critical*). P
- (B) A GAME ANIMAL may not be received for sale or service if it is a species of wildlife that is listed in 50 CFR 17 Endangered and threatened wildlife and plants.

### Specifications for Receiving

### **8-3-202.11** Temperature.

- (A) Except as specified in  $\P$  (B) of this section, refrigerated, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be at a temperature of 5°C (41°F) or below when received (*critical*).
- (B) If a temperature other than 5°C (41°F) for a POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) is specified in LAW governing its distribution, such as LAWS governing milk and MOLLUSCAN SHELLFISH, the FOOD may be received at the specified temperature.
- (C) Raw EGGS shall be received in refrigerated equipment that maintains an ambient air temperature of 7°C (45°F) 5°C (41°F) or less (critical).

- (D) POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is cooked to a temperature and for a time specified under §§ 3-401.11 3-401.13 and received hot shall be at a temperature of  $57^{\circ}C$  ( $135^{\circ}F$ )  $60^{\circ}C$  ( $140^{\circ}F$ ) or above (critical). P
- (E) A FOOD that is labeled frozen and shipped frozen by a FOOD PROCESSING PLANT shall be received frozen (*critical*). Pf
- (F) Upon receipt, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be free of evidence of previous temperature abuse (*critical*). Pf
- (G) <u>Temperature logs of recorded delivered food temperatures must be maintained at the food facility for a minimum of 90 calendar days (critical).</u> Pf

### 8-3-202.12 Additives.

FOOD may not contain unAPPROVED FOOD ADDITIVES or ADDITIVES that exceed amounts specified in 21 CFR 170-180 relating to FOOD ADDITIVES, generally recognized as safe or prior sanctioned substances that exceed amounts specified in 21 CFR 181-186, substances that exceed amounts specified in 9 CFR Subpart C Section 424.21(b) Food ingredients and sources of radiation, or pesticide residues that exceed provisions specified in 40 CFR 180 Tolerances for pesticides chemicals in food, and exceptions (*critical*). P

### 8-3-202.13 Eggs.

EGGS shall be received clean and sound and may not exceed the restricted EGG tolerances for U.S. Consumer Grade B as specified in United States Standards, Grades, and Weight Classes for Shell Eggs, AMS 56.200 *et seq.*, administered by the Agricultural Marketing Service of USDA (*critical*). P

### 8-3-202.14 Eggs and Milk Products, Pasteurized.

- (A) EGG PRODUCTS shall be obtained pasteurized (critical). P
- (B) Fluid and dry milk and milk products shall:
  - (1) Be obtained pasteurized (critical); P and
  - (2) Comply with GRADE A STANDARDS as specified in LAW (critical). P
- (C) Frozen milk products, such as ice cream, shall be obtained pasteurized as specified in 21 CFR 135 Frozen desserts (*critical*). P
- (D) Cheese shall be obtained pasteurized unless alternative procedures to pasteurization are specified in the CFR, such as 21 CFR 133 Cheeses and related cheese products, for curing certain cheese varieties (*critical*). P

### 8-3-202.15 Package Integrity.

FOOD packages shall be in good condition and protect the integrity of the contents so that the FOOD is not exposed to ADULTERATION or potential contaminants (*critical*). Pf

### 8-3-202.16 Ice.

Ice for use as a FOOD or a cooling medium shall be made from DRINKING WATER (critical). P

### 8-3-202.17 Shucked Shellfish, Packaging and Identification.

- (A) Raw SHUCKED SHELLFISH shall be obtained in nonreturnable packages which bear a legible label that identifies the *(critical)*: Pf
  - (1) Name, address, and CERTIFICATION NUMBER of the shucker, packer or repacker of the MOLLUSCAN SHELLFISH (*critical*); <sup>Pf</sup> and
  - (2) The "sell by" or "best if used by" date for packages with a capacity of less than 1.89 L (one-half gallon) or the date shucked for packages with a capacity of 1.89 L (one-half gallon) or more (*critical*). Pf
- (B) A package of raw SHUCKED SHELLFISH that does not bear a label or which bears a label which does not contain all the information as specified under  $\P$  (A) of this section shall be subject to a hold order, as allowed by LAW, or seizure and destruction in accordance with 21 CFR Subpart D Specific Administrative Decisions Regarding Interstate Shipments, Section 1240.60(d) Molluscan shellfish.

### 8-3-202.18 Shellstock Identification.

- (A) SHELLSTOCK shall be obtained in containers bearing legible source identification tags or labels that are affixed by the harvester or DEALER that depurates, ships, or reships the SHELLSTOCK, as specified in the National Shellfish Sanitation Program Guide for the Control of Molluscan Shellfish, and that list (*critical*): Pf
  - (1) Except as specified under  $\P$  (C) of this section, on the harvester's tag or label, the following information in the following order (*critical*):  $^{Pf}$ 
    - (a) The harvester's identification number that is assigned by the SHELLFISH CONTROL AUTHORITY (*critical*), Pf
    - (b) The date of harvesting (critical), Pf

- (c) The most precise identification of the harvest location or aquaculture site that is practicable based on the system of harvest area designations that is in use by the SHELLFISH CONTROL AUTHORITY and including the abbreviation of the name of the state or country in which the shellfish are harvested (*critical*), Pf
- (d) The type and quantity of shellfish (critical), Pf and
- (e) The following statement in bold, capitalized type: "This tag is required to be attached until container is empty or retagged and thereafter kept on file for 90 days" (*critical*); Pf and
- (2) Except as specified in  $\P$  (D) of this section, on each DEALER'S tag or label, the following information in the following order (*critical*):  $^{Pf}$ 
  - (a) The DEALER'S name and address, and the CERTIFICATION NUMBER assigned by the SHELLFISH CONTROL AUTHORITY (critical), Pf
  - (b) The original shipper's CERTIFICATION NUMBER including the abbreviation of the name of the state or country in which the shellfish are harvested *(critical)*, Pf
  - (c) The same information as specified for a harvester's tag under Subparagraphs (A)(1)(b)-(d) of this section (*critical*), <sup>Pf</sup> and
  - (d) The following statement in bold, capitalized type: "This tag is required to be attached until container is empty and thereafter kept on file for 90 days (*critical*)." <sup>Pf</sup>
- (B) A container of SHELLSTOCK that does not bear a tag or label or that bears a tag or label that does not contain all the information as specified under  $\P$  (A) of this section shall be subject to a hold order, as allowed by LAW, or seizure and destruction in accordance with 21 CFR Subpart D Specific Administrative Decisions Regarding Interstate Shipments, Section 1240.60(d).
- (C) If a place is provided on the harvester's tag or label for a DEALER's name, address, and CERTIFICATION NUMBER, the DEALER's information shall be listed first.
- (D) If the harvester's tag or label is designed to accommodate each DEALER's identification as specified under Subparagraphs (A)(2)(a) and (b) of this section, individual DEALER tags or labels need not be provided.

### 8-3-202.19 Shellstock, Condition.

When received by a FOOD ESTABLISHMENT, SHELLSTOCK shall be reasonably free of mud, dead shellfish, and shellfish with broken shells. Dead shellfish or SHELLSTOCK with badly broken shells shall be discarded.

### 8-3-202.110 Juice Treated.

### Commercially Processed

Pre-PACKAGED JUICE shall:

- (A) Be obtained from a processor with a HACCP system as specified in 21 CFR Part 120 Hazard Analysis and Critical Control (HACCP) Systems (*critical*); <sup>Pf</sup> and
- (B) Be obtained pasteurized or otherwise treated to attain a 5-log reduction of the most resistant microorganism of public health significance as specified in 21 CFR Part 120.24 Process Controls (*critical*). P

### 8-3-203.11 Molluscan Shellfish, Original Container.

1. (A) Except as specified in ¶¶ (B) - (D) of this section, MOLLUSCAN SHELLFISH may not be removed from the container in which they are received other than immediately before sale or preparation for service.

### 2. Original Containers and Records

- (B) For display purposes, SHELLSTOCK may be removed from the container in which they are received, displayed on drained ice, or held in a display container, and a quantity specified by a CONSUMER may be removed from the display or display container and provided to the CONSUMER if:
  - (1) The source of the SHELLSTOCK on display is identified as specified under § 8-3-202.18 and recorded as specified under § 8-3-203.12; and
  - (2) The SHELLSTOCK are protected from contamination.
- (C) SHUCKED SHELLFISH may be removed from the container in which they were received and held in a display container from which individual servings are dispensed upon a CONSUMER'S request if:
  - (1) The labeling information for the shellfish on display as specified under § 8-3-202.17 is retained and correlated to the date when, or dates during which, the shellfish are sold or served; and
  - (2) The shellfish are protected from contamination.
- (D) SHUCKED SHELLFISH may be removed from the container in which they were received and repacked in CONSUMER self-service containers where allowed by LAW if:

- (1) The labeling information for the shellfish is on each CONSUMER self-service container as specified under § 8-3-202.17 and 8-3-602.11(A) and (B)(1) (5);
- (2) The labeling information as specified under § 8-3-202.17 is retained and correlated with the date when, or dates during which, the shellfish are sold or served:
- (3) The labeling information and dates specified under Subparagraph (D)(2) of this section are maintained for 90 days; and
- (4) The shellfish are protected from contamination.

### 8-3-203.12 Shellstock, Maintaining Identification.

- (A) Except as specified under Subparagraph (C) (2) of this section, SHELLSTOCK tags or labels shall remain attached to the container in which the SHELLSTOCK are received until the container is empty (critical). Pf
- (B) The date when the last SHELLSTOCK from the container is sold or served shall be recorded on the tag or label (*critical*). Pf
- (C) The identity of the source of SHELLSTOCK that are sold or served shall be maintained by retaining SHELLSTOCK tags or labels for 90 calendar days from the date that is recorded on the tag or label, as specified under ¶ B of this section, by (critical): Pf
  - (1) Using an APPROVED record keeping system that keeps the tags or labels in chronological order correlated to the date that is recorded on the tag or label, as specified under ¶ B of this section (*critical*); Pf and
  - (2) If SHELLSTOCK are removed from its tagged or labeled container:
    - (a) Preserving source identification by using a record keeping system as specified under Subparagraph (C)(1) of this section (*critical*), Pf and
    - (b) Ensuring that SHELLSTOCK from one tagged or labeled container are not COMMINGLED with SHELLSTOCK from another container with different CERTIFICATION NUMBERS; different harvest dates; or different growing areas as identified on the tag or label before being ordered by the CONSUMER (*critical*). Pf

### 8-3-3 Protection From Contamination After Receiving

### **Subparts**

- 1. 8-3-301 Preventing Contamination by Employees
- 2. 8-3-302 Preventing Food and Ingredient Contamination
- 3. 8-3-303 Preventing Contamination from Ice Used as a Coolant
- 4. 8-3-304 Preventing Contamination from Equipment, Utensils, and Linens
- 5. 8-3-305 Preventing Contamination from the Premises
- 6. 8-3-306 Preventing Contamination by Consumers
- 7. 8-3-307 Preventing Contamination from Other Sources

### Preventing Contamination by Employees

### 8-3-301.11 Preventing Contamination from Hands.

- (A) FOOD EMPLOYEES shall wash their hands as specified under § 8-2-301.12.
- (B) Except when washing fruits and vegetables as specified under  $\S$  8-3-302.15 or as specified in  $\P$  (D) of this section, FOOD EMPLOYEES may not contact exposed, READY-TO-EAT FOOD with their bare hands and shall use suitable UTENSILS such as deli tissue, spatulas, tongs, single-use gloves, or dispensing EQUIPMENT (*critical*). P
- (C) FOOD EMPLOYEES shall minimize bare hand and arm contact with exposed FOOD that is not in a READY-TO-EAT form *(critical)*. Pf
- (D) Paragraph (B) of this section does not apply to a FOOD EMPLOYEE that contacts exposed, READY-TO-EAT FOOD with bare hands at the time the READY-TO-EAT FOOD is being added as an ingredient to a FOOD that:
- (1) Contains a raw animal FOOD and is to be cooked in the FOOD ESTABLISHMENT to heat all parts of the FOOD to the minimum temperatures specified in ¶¶8-3-401.11(A)-(B) or §8-3-401.12; or
- (2) Does not contain a raw animal FOOD but is to be cooked in the FOOD ESTABLISHMENT to heat all parts of the FOOD to a temperature of at least 63°C (145°F).
- (E) FOOD EMPLOYEES not serving a HIGHLY SUSCEPTIBLE POPULATION may contact exposed, READY-TO-EAT FOOD with their bare hands if:
  - (1) The PERMIT HOLDER obtains prior APPROVAL from the REGULATORY AUTHORITY DISTRICT;
  - (2) Written procedures are maintained in the FOOD ESTABLISHMENT and made available to the REGULATORY AUTHORITY DISTRICT upon request that include:

- (a) For each bare hand contact procedure, a listing of the specific READY-TO-EAT FOODS that are touched by bare hands,
- (b) Diagrams and other information showing that handwashing facilities, installed, located, equipped, and maintained as specified under §§ 8-5-203.11, 8-5-204.11, 8-5-205.11, 8-6-301.11, 8-6-301.12, and 8-6-301.14, are in an easily accessible location and in close proximity to the work station where the bare hand contact procedure is conducted;

Paragraph (B) of this section does not apply to a FOODEMPLOYEE that contacts exposed, READY-TO-EAT FOOD with bare hands at the time the READY-TO-EAT FOOD is being added as an ingredient to a FOOD that:

- (1) Contains a raw animal FOOD and is to be cooked in the FOOD ESTABLISHMENT to heat all parts of the FOOD to the minimum temperatures specified in ¶¶8-3-401.11(A)-(B) or §8-3-401.12; or
- (2) Does not contain a raw animal FOOD but is to be cooked in the FOOD ESTABLISHMENT to heat all parts of the FOOD to a temperature of at least 63°C (145°F).
- (3) A written EMPLOYEE health policy that details how the FOOD ESTABLISHMENT complies with §§ 8-2-201.11, 8-2-201.12, and 8-2-201.13 including:
  - (a) Documentation that FOOD EMPLOYEES and CONDITIONAL EMPLOYEES acknowledge that they are informed to report information about their health and activities as they relate to gastrointestinal symptoms and diseases that are transmittable through FOOD as specified under ¶ 8-2-201.11(A),
  - (b) Documentation that FOOD EMPLOYEES and CONDITIONAL EMPLOYEES acknowledge their responsibilities as specified under  $\P$  8-2-201.11(E) and (F), and
  - (c) Documentation that the PERSON IN CHARGE acknowledges the responsibilities as specified under  $\P$  8-2-201.11(B), (C) and (D), and  $\S$ 8-2-201.12 and 8-2-201.13;
- (4) Documentation that FOOD EMPLOYEES acknowledge that they have received training in:
  - (a) The RISKS of contacting the specific READY-TO-EAT FOODS with bare hands,

- (b) Proper handwashing as specified under § 8-2-301.12,
- (c) When to wash their hands as specified under § 8-2-301.14,
- (d) Where to wash their hands as specified under § 8-2-301.15,
- (e) Proper fingernail maintenance as specified under § 8-2-302.11,
- (f) Prohibition of jewelry as specified under § 8-2-303.11, and
- (g) Good hygienic practices as specified under §§8-2-401.11 and 8-2-401.12;
- (5) Documentation that hands are washed before FOOD preparation and as necessary to prevent cross contamination by FOOD EMPLOYEES as specified under §§ 8-2-301.11, 8-2-301.12,8-2-301.14, and 8-2-301.15 during all hours of operation when the specific READY-TO-EAT FOODS are prepared;
- (6) Documentation that FOOD EMPLOYEES contacting READY-TO-EAT FOOD with bare hands use two or more of the following control measures to provide additional safeguards to HAZARDS associated with bare hand contact:
  - (a) Double handwashing,
  - (b) Nail brushes,
  - (c) A hand antiseptic after handwashing as specified under § 8-2-301.16.
  - (d) Incentive programs such as paid sick leave that assist or encourage FOOD EMPLOYEES not to work when they are ill, or
  - (e) Other control measures APPROVED by the REGULATORY AUTHORITY DISTRICT; and
- (7) Documentation that corrective action is taken when Subparagraphs (D)(1) (6) of this section are not followed.

### 8-3-301.12 Preventing Contamination When Tasting.

A FOOD EMPLOYEE may not use a UTENSIL more than once to taste FOOD that is to be sold or served (*critical*). P

### Preventing Food and Ingredient Contamination

# 8-3-302.11 Packaged and Unpackaged Food - Separation, Packaging, and Segregation.

- (A) FOOD shall be protected from cross contamination by:
  - (1) Except as specified in (1)(c) below, separating raw animal FOODS during storage, preparation, holding, and display from:
    - (a) Raw READY-TO-EAT FOOD including other raw animal FOOD such as FISH for sushi or MOLLUSCAN SHELLFISH, or other raw READY-TO-EAT FOOD such as fruits and vegetables (*critical*), <sup>P</sup> and
    - (b) Cooked READY-TO-EAT FOOD (critical); P
    - (c) Frozen, commercially processed and packaged raw animal FOOD may be stored or displayed with or above frozen, commercially processed and packaged, ready-to-eat food.
  - (2) Except when combined as ingredients, separating types of raw animal FOODS from each other such as beef, FISH, lamb, pork, and POULTRY during storage, preparation, holding, and display by:
    - (a) Using separate EQUIPMENT for each type (critical), P or
    - (b) Arranging each type of FOOD in EQUIPMENT so that cross contamination of one type with another is prevented (*critical*), <sup>P</sup> and
    - (c) Preparing each type of FOOD at different times or in separate areas (*critical*); P
  - (3) Cleaning EQUIPMENT and UTENSILS as specified under  $\P$  8-4-602.11(A) and SANITIZING as specified under  $\S$  8-4-703.11;
  - (4) Except as specified under Subparagraph 8-3-501.15(B)(2) and in  $\P$  (B) of this section, storing the FOOD in packages, covered containers, or wrappings;
  - (5) Cleaning HERMETICALLY SEALED CONTAINERS of FOOD of visible soil before opening;
  - (6) Protecting FOOD containers that are received packaged together in a case or overwrap from cuts when the case or overwrap is opened;
  - (7) Storing damaged, spoiled, or recalled FOOD being held in the FOOD ESTABLISHMENT as specified under § 8-6-404.11; and

- (8) Separating fruits and vegetables, before they are washed as specified under § 8-3-302.15 from READY-TO-EAT FOOD.
- (B) Subparagraph (A)(4) of this section does not apply to:
  - (1) Whole, uncut, raw fruits and vegetables and nuts in the shell, that require peeling or hulling before consumption;
  - (2) PRIMAL CUTS, quarters, or sides of raw MEAT or slab bacon that are hung on clean, SANITIZED hooks or placed on clean, SANITIZED racks;
  - (3) Whole, uncut, processed MEATS such as country hams, and smoked or cured sausages that are placed on clean, SANITIZED racks;
  - (4) FOOD being cooled as specified under Subparagraph 8-3-501.15(B)(2); or
  - (5) SHELLSTOCK.

## 8-3-302.12 Food Storage Containers, Identified with Common Name of Food.

Except for containers holding FOOD that can be readily and unmistakably recognized such as dry pasta, working containers holding FOOD or FOOD ingredients that are removed from their original packages for use in the FOOD ESTABLISHMENT, such as cooking oils, flour, herbs, potato flakes, salt, spices, and sugar shall be identified with the common name of the FOOD.

#### 8-3-302.13 Pasteurized Eggs, Substitute for Raw Eggs for Certain Recipes.

Pasteurized EGGS or EGG PRODUCTS shall be substituted for raw EGGS in the preparation of FOODS such as Caesar salad, hollandaise or Béarnaise sauce, mayonnaise, meringue, eggnog, ice cream, and EGG-fortified BEVERAGES that are not (*critical*): <sup>P</sup>

- (A) Cooked as specified under Subparagraphs 8-3-401.11(A)(1) or (2) (critical); P or
- (B) Included in  $\P$  8-3-401.11(D) (critical).
- (C) A Consumer Advisory must be provided as specified under ¶ 8-3-603.11 if the foods listed under ¶ 8-3-302.13 *Parasite Destruction* are served (*critical*). Pf

#### 8-3-302.14 Protection from Unapproved Additives.

(A) FOOD shall be protected from contamination that may result from the addition of, as specified in § 8-3-202.12:

- (1) Unsafe or unAPPROVED FOOD or COLOR ADDITIVES (critical); P and
- (2) Unsafe or unapproved levels of approved food and color additives (critical). P

## (B) A FOOD EMPLOYEE may not:

- (1) Apply sulfiting agents to fresh fruits and vegetables intended for raw consumption or to a FOOD considered to be a good source of vitamin  $B_1$  (*critical*);  $^P$  or
- (2) *Except for grapes*, serve or sell FOOD specified under Subparagraph (B)(1) of this section that is treated with sulfiting agents before receipt by the FOOD ESTABLISHMENT (*critical*). P

#### 8-3-302.15 Washing, Fruits, and Vegetables.

- (A) Except as specified in  $\P$  (B) of this section and except for whole, raw fruits and vegetables that are intended for washing by the CONSUMER before consumption, raw fruits and vegetables shall be thoroughly washed in water to remove soil and other contaminants before being cut, combined with other ingredients, cooked, served, or offered for human consumption in READY-TO-EAT form.
- (B) Fruits and vegetables may be washed by using chemicals as specified under § 8-7-204.12.

# Preventing Contamination from Ice Used as a Coolant

#### 8-3-303.11 Ice Used as Exterior Coolant, Prohibited as Ingredient.

After use as a medium for cooling the exterior surfaces of FOOD such as melons or FISH, PACKAGED FOODS such as canned BEVERAGES, or cooling coils and tubes of EQUIPMENT, ice may not be used as FOOD (critical). P

# 8-3-303.12 Storage or Display of Food in Contact with Water or Ice.

- (A) PACKAGED FOOD may not be stored in direct contact with ice or water if the FOOD is subject to the entry of water because of the nature of its packaging, wrapping, or container or it's positioning in the ice or water.
- (B) Except as specified in  $\P\P$  (C) and (D) of this section, unPACKAGED FOOD may not be stored in direct contact with un-drained ice.

- (C) Whole, raw fruits or vegetables; cut, raw vegetables such as celery or carrot sticks or cut potatoes; and tofu may be immersed in ice or water.
- (D) Raw poultry and raw FISH that are received immersed in ice in shipping containers may remain in that condition while in storage awaiting preparation, display, service, or sale.

# Preventing Contamination from Equipment, Utensils, and Linens

## 8-3-304.11 Food Contact with Equipment and Utensils.

FOOD shall only contact surfaces of:

- (A) EQUIPMENT and UTENSILS that are cleaned as specified under Part 8-4-6 of this Code and SANITIZED as specified under Part 8-4-7 of this Code (*critical*); P or
- (B) SINGLE-SERVICE and SINGLE-USE ARTICLES (critical). P
- (C) LINENS, such as cloth napkins, as specified under § 8-3-304.13 that are laundered as specified under Part 8-4-8 of this Code (*critical*). P

#### 8-3-304.12 In-Use Utensils: Between-Use Storage.

During pauses in FOOD preparation or dispensing, FOOD preparation and dispensing UTENSILS shall be stored:

- (A) Except as specified under  $\P$  (B) of this section, in the FOOD with their handles above the top of the FOOD and the container;
- (B) In FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) with their handles above the top of the FOOD within containers or EQUIPMENT that can be closed, such as bins of sugar, flour, or cinnamon;
- (C) On a clean portion of the FOOD preparation table or cooking EQUIPMENT only if the in-use UTENSIL and the FOOD-CONTACT surface of the FOOD preparation table or cooking EQUIPMENT are cleaned and SANITIZED at a frequency specified under §§ 8-4-602.11 and 8-4-702.11;
- (D) In running water of sufficient velocity to flush particulates to the drain, if used with moist FOOD such as ice cream or mashed potatoes;
- (E) In a clean, protected location if the UTENSILS, such as ice scoops, are used only with a FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD); or

(F) In a container of water if the water is maintained at a temperature of at least 57°C (135°F) and the container is cleaned at a frequency specified under Subparagraph 8-4-602.11(D)(7).

### 8-3-304.13 Linens and Napkins: Use Limitation.

LINENS, such as cloth napkins, may not be used in contact with FOOD unless they are used to line a container for the service of FOODS and the LINENS and napkins are replaced each time the container is refilled for a new CONSUMER.

#### 8-3-304.14 Wiping Cloths: Use Limitation.

- (A) Cloths in-use for wiping FOOD spills from TABLEWARE and carry-out containers that occur as FOOD is being served shall be:
  - (1) Maintained dry; and
  - (2) Used for no other purpose.
- (B) Cloths in-use for wiping counters and other EQUIPMENT surfaces shall be:
  - (1) Held between uses in a chemical sanitizer solution at a concentration specified under § 8-4-501.114; and
  - (2) Laundered daily as specified under ¶ 8-4-802.11(D).
- (C) Cloths in-use for wiping surfaces in contact with raw animal FOODS shall be kept separate from cloths used for other purposes.
- (D) Dry wiping cloths and the chemical sanitizing solutions specified in Subparagraph (B)(1) of this section in which wet wiping cloths are held between uses shall be free of FOOD debris and visible soil.
- (E) Containers of chemical sanitizing solutions specified in Subparagraph (B)(1) of this section in which wet wiping cloths are held between uses shall be stored off the floor and used in a manner that prevents contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, SINGLE-SERVICE, or SINGLE-USE ARTICLES.
- (F) SINGLE-USE disposable sanitizer wipes shall be used in accordance with EPA-approved manufacturer's label use instructions.

#### 8-3-304.15 Gloves: Use Limitation.

(A) If used, SINGLE-USE gloves shall be used for only one task such as working with READY-TO-EAT FOOD or with raw animal FOOD, used for no other purpose, and

discarded when damaged or soiled, or when interruptions occur in the operation *(critical)*. P

- (B) Except as specified in  $\P$  (C) of this section, slash-resistant gloves that are used to protect the hands during operations requiring cutting shall be used in direct contact only with FOOD that is subsequently cooked as specified under <u>Part</u> 8-3-4 such as frozen FOOD or a PRIMAL CUT of MEAT.
- (C) Slash-resistant gloves may be used with READY-TO-EAT FOOD that will not be subsequently cooked if the slash-resistant gloves have a SMOOTH, durable, and nonabsorbent outer surface; or if the slash-resistant gloves are covered with a SMOOTH, durable, nonabsorbent glove, or a SINGLE-USE glove.
- (D) Cloth gloves may not be used in direct contact with FOOD unless the FOOD is subsequently cooked as required under <u>Part</u> 8-3-4 such as frozen FOOD or a PRIMAL CUT of MEAT.
- (E) Latex gloves may not be used in direct contact with FOOD (critical). Pf

# 8-3-304.16 Using Clean Tableware for Second Portions and Refills.

- (A) Except for refilling a CONSUMER'S drinking cup or container without contact between the pouring UTENSIL and the lip-contact area of the drinking cup or container, FOOD EMPLOYEES may not use TABLEWARE, including SINGLE-SERVICE ARTICLES, soiled by the CONSUMER, to provide second portions or refills.
- (B) Except as specified in  $\P$  (C) of this section, self-service CONSUMERS may not be allowed to use soiled TABLEWARE, including SINGLE-SERVICE ARTICLES, to obtain additional FOOD from the display and serving EQUIPMENT.
- (C) Drinking cups and containers may be reused by self-service CONSUMERS if refilling is a contamination-free process as specified under  $\P$  8-4-204.13(A), (B), and (D).

#### 8-3-304.17 Refilling Returnables

- (A) Except as specified in  $\P$  (B) (E) of this section, empty containers returned to a FOOD ESTABLISHMENT for cleaning and refilling with FOOD shall be cleaned and refilled in a regulated FOOD PROCESSING PLANT (*critical*). P
- (B) A take-home FOOD container returned to a FOOD ESTABLISHMENT may be refilled at a FOOD ESTABLISHMENT with FOOD if the FOOD container is:

- (1) Designed and constructed for reuse and in accordance with the requirements specified under Part 4-1 and 4-2 (critical); P
- (2) One that was initially provided by the FOOD ESTABLISHMENT to the CONSUMER, either empty or filled with FOOD by the FOOD
- ESTABLISHMENT, for the purpose of being returned for reuse;
- (3) Returned to the FOOD ESTABLISHMENT by the CONSUMER after use;
- (4) Subject to the following steps before being refilled with FOOD:
  - (a) Cleaned as specified under Part 4-6 of this Code,
  - (b) Sanitized as specified under Part 4-7 of this Code (critical); P
  - (c) Visually inspected by a FOOD EMPLOYEE to verify that the container, as returned, meets the requirements specified under Part 4-1 and 4-2 (*critical*); P and
- (C) A take-home FOOD container returned to a FOOD ESTABLISHMENT may be refilled at a FOOD ESTABLISHMENT with BEVERAGE if:
  - (1) The BEVERAGE is not a TIME/TEMPERATURE CONTROL FOR SAFETY FOOD;
  - (2) The design of the container and of the rinsing EQUIPMENT and the nature of the BEVERAGE, when considered together, allow effective cleaning at home or in the FOOD ESTABLISHMENT;
  - (3) Facilities for rinsing before refilling returned containers with fresh, hot water that is under pressure and not recirculated are provided as part of the dispensing system;
  - (4) The CONSUMER-owned container returned to the FOOD ESTABLISHMENT for refilling is refilled for sale or service only to the same CONSUMER; and
  - (5) The container is refilled by:
    - (a) An EMPLOYEE of the FOOD ESTABLISHMENT, or
    - (b) The owner of the container if the BEVERAGE system includes a contamination-free transfer process as specified under ¶¶ 8-4-204.13(A),
    - (B), and (D).that cannot be bypassed by the container owner.
- (D) Consumer-owned, personal take-out BEVERAGE containers, such as thermally insulated bottles, non-spill coffee cups, and promotional BEVERAGE glasses, may be refilled by EMPLOYEES or the CONSUMER if refilling is a contamination-free process as specified under ¶ 8-4-204.13(A), (B), and (D).
- (E) CONSUMER-owned containers that are not FOOD-specific may be filled at a water VENDING MACHINE or system.

# Preventing Contamination from the Premises

#### 8-3-305.11 Food Storage.

(A) Except as specified in  $\P$  (B) and (C) of this section, FOOD shall be protected from contamination by storing the FOOD:

- (1) In a clean, dry location;
- (2) Where it is not exposed to splash, dust, or other contamination; and
- (3) At least 15 cm (6 inches) above the floor.
- (B) FOOD in packages and working containers may be stored less than 15 cm (6 inches) above the floor on case lot handling EQUIPMENT as specified under § 8-4-204.122.
- (C) Pressurized BEVERAGE containers, cased FOOD in waterproof containers such as bottles or cans, and milk containers in plastic crates may be stored on a floor that is clean and not exposed to floor moisture.

#### 8-3-305.12 Food Storage, Prohibited Areas.

FOOD may not be stored:

- (A) In locker rooms;
- (B) In toilet rooms;
- (C) In dressing rooms;
- (D) In garbage rooms;
- (E) In mechanical rooms;
- (F) Under sewer lines that are not shielded to intercept potential drips;
- (G) Under leaking water lines, including leaking automatic fire sprinkler heads, or under lines on which water has condensed;
- (H) Under open stairwells; or
- (I) Under other sources of contamination.

# 8-3-305.13 Vended Potentially Hazardous Food (Time/Temperature Control for Safety Food), Original Container.

POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) dispensed through a VENDING MACHINE shall be in the PACKAGE in which it was placed at the FOOD ESTABLISHMENT or FOOD PROCESSING PLANT at which it was prepared.

#### 8-3-305.14 Food Preparation.

During preparation, unPACKAGED FOOD shall be protected from environmental sources of contamination.

## Preventing Contamination by Consumers

# 8-3-306.11 Food Display.

Except for nuts in the shell and whole, raw fruits and vegetables that are intended for hulling, peeling, or washing by the CONSUMER before consumption, FOOD on display shall be protected from contamination by the use of PACKAGING; counter, service line, or salad bar FOOD guards; display cases; or other effective means (critical). P

#### 8-3-306.12 Condiments, Protection.

- (A) Condiments shall be protected from contamination by being kept in dispensers that are designed to provide protection, protected FOOD displays provided with the proper UTENSILS, original containers designed for dispensing, or individual PACKAGES or portions.
- (B) Condiments at a VENDING MACHINE LOCATION shall be in individual PACKAGES or provided in dispensers that are filled at an APPROVED location, such as the FOOD ESTABLISHMENT that provides FOOD to the VENDING MACHINE LOCATION, a FOOD PROCESSING PLANT that is regulated by the agency that has jurisdiction over the operation, or a properly equipped facility that is located on the site of the VENDING MACHINE LOCATION.

#### 8-3-306.13 Consumer Self-Service Operations.

- (A) Raw, unpackaged animal food, such as beef, lamb, pork, POULTRY, and FISH may not be offered for CONSUMER self-service (*critical*). P This paragraph does not apply to:
  - (1)CONSUMER self-service of READY-TO-EAT FOODS at buffets or salad bars that serve FOODS such as sushi or raw shellfish;
  - (2)Ready-to-cook individual portions for immediate cooking and consumption on the PREMISES such as CONSUMER-cooked MEATS or CONSUMER-selected ingredients for Mongolian barbecue; or
  - (3) Raw, frozen, shell-on shrimp, or lobster.
- (B) CONSUMER self-service operations for READY-TO-EAT FOODS shall be provided with suitable UTENSILS or effective dispensing methods that protect the FOOD from contamination (*critical*). Pf

(C) Consumer self-service operations such as buffets and salad bars shall be monitored by FOOD EMPLOYEES trained in safe operating procedures (*critical*). Pf

#### 8-3-306.14 Returned Food and Re-Service of Food.

- (A) Except as specified in  $\P$  (B) of this section, after being served or sold and in the possession of a CONSUMER, FOOD that is unused or returned by the CONSUMER may not be offered as FOOD for human consumption (*critical*).
- (B) Except as specified under  $\P$  8-3-801.11(G), a container of FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) may be RE-SERVED from one CONSUMER to another if:
  - (1) The FOOD is dispensed so that it is protected from contamination and the container is closed between uses, such as a narrow-neck bottle containing catsup, steak sauce, or wine; or
  - (2) The FOOD, such as crackers, salt, or pepper, is in an unopened original PACKAGE and is maintained in sound condition.

# 8-3-306.15 Bulk Food Service and Dispensing

- (A) Bulk food product modules shall be conspicuously labeled with the common name of the product, a list of ingredients in order of predominance and a declaration of artificial color or flavor and any chemical preservatives contained in the product. This section does not apply to bulk food manufactured on the premises or manufactured by the seller.
- (B) Bulk food and product modules shall be protected from contamination during display, customer self-service, refilling and storage.
- (C) Each product module shall have a tight fitting lid that is kept in a closed position at all times except during stocking and customer service.
- (D) Containers supplied by customers shall not be used in a manner that contaminates bulk food. Take-home containers, including bags, cups and lids, provided for customer use shall be stored and dispensed in a manner which will prevent accidental contamination of the items when customers refill their containers in a sanitary manner.
- (E) Pet food and nonfood items shall be separated from product modules and bulk food.
- (F) Food that consists in whole or in part of milk or milk products, cracked eggs, meat, poultry, fish, shellfish, edible crustacea or other ingredients in a form capable of supporting rapid and progressive growth of infectious or toxigenic

microorganisms shall not be sold as bulk food.

- (G) Clean, whole, un-cracked, odor-free shell eggs and food that has a pH level of 4.6 or below or a water activity value of 0.85 or less and held at 45 degrees Fahrenheit may be sold as bulk food.
- (H) Bulk food removed from a product module by a consumer shall not be offered for resale.
- (I) Bulk food may be dispensed by either:
  - 1. Mechanical dispensing devices including gravity dispensers, pumps, extruders and augers; or
  - 2. Manual dispensing utensils including tongs, scoops, ladles and spatulas; or
  - 3. By other means as approved by the DISTRICT.
  - (J) A manual dispensing utensil shall have a handle long enough to avoid consumer contact with the bulk food. When dispensing utensils are not in use, they shall be stored in the food with the handle extended out of the food or on a tether, made of easily cleanable material and short enough to prevent contact with the floor, which is in a protective enclosure attached or adjacent to the display unit.

# Preventing Contamination from Other Sources

#### 8-3-307.11 Miscellaneous Sources of Contamination.

FOOD shall be protected from contamination that may result from a factor or source not specified under 8-3-301 through 8-3-306.

# 8-3-4 Destruction of Organisms of Public Health Concern

#### **Subparts**

- 1. 8-3-401 Cooking
- 2. 8-3-402 Freezing
- 3. 8-3-403 Reheating
- 4. 8-3-404 Other Methods

# **Cooking**

#### 8-3-401.11 Raw Animal Foods.

(A) Except as specified under  $\P$  (B) and in  $\P$  (C) and (D) of this section, raw animal FOODS such as EGGS, FISH, MEAT, POULTRY, and FOODS containing these raw animal FOODS, shall be cooked to heat all parts of the FOOD to a temperature and for a time

that complies with one of the following methods based on the FOOD that is being cooked:

- (1) 63°C (145°F) or above for 15 seconds for (critical): P
  - (a) Raw EGGS that are broken and prepared in response to a CONSUMER'S order and for immediate service (critical), P and
  - (b) Except as specified under Subparagraphs (A)(2) and (A)(3) and  $\P$  (B), and in  $\P$  (C) of this section, FISH and MEAT including GAME ANIMALS commercially raised for FOOD as specified under Subparagraph 8-3-201.17(A)(1) and GAME ANIMALS under a voluntary inspection program as specified under Subparagraph 8-3-201.17(A)(2) (critical);
- (2) 68°C (155°F) for 15 seconds or the temperature specified in the following chart that corresponds to the holding time for RATITES, MECHANICALLY TENDERIZED, and INJECTED MEATS; the following if they are COMMINUTED: FISH, MEAT, GAME ANIMALS commercially raised for FOOD as specified under Subparagraph 8-3-201.17(A)(1), and GAME ANIMALS under a voluntary inspection program as specified under Subparagraph 8-3-201.17(A)(2); and raw EGGS that are not prepared as specified under Subparagraph (A)(1)(a) of this section (*critical*):

| Minimum Temperature<br>°C (°F) | Minimum Time              |  |
|--------------------------------|---------------------------|--|
| 63 (145)                       | 3 minutes                 |  |
| 66 (150)                       | 1 minute                  |  |
| 70 (158)                       | < 1 second instantaneous) |  |

;or

- (3) 74°C (165°F) or above for 15 seconds for POULTRY, BALUTS, wild GAME ANIMALS as specified under Subparagraphs 8-3-201.17(A)(3) and (4), stuffed FISH, stuffed MEAT, stuffed pasta, stuffed POULTRY, stuffed RATITES, or stuffing containing FISH, MEAT, POULTRY, or RATITES (*critical*). P
- (B) Whole MEAT roasts including beef, corned beef, lamb, pork, and cured pork roasts such as ham shall be cooked:

(1) In an oven that is preheated to the temperature specified for the roast's weight in the following chart and that is held at that temperature (*critical*): Pf

| Oven Type                  | Oven Temperature Based on Roast Weigh |                         |  |
|----------------------------|---------------------------------------|-------------------------|--|
|                            | Less than 4.5 kg (10 lbs)             | 4.5 kg (10 lbs) or More |  |
| Still Dry                  | 177°C (350°F) or more                 | 121°C (250°F) or more   |  |
| Convection                 | 163°C (325°F) or more                 | 121°C (250°F) or more   |  |
| High Humidity <sup>1</sup> | 121°C (250°F) or less                 | 121°C (250°F) or less   |  |

<sup>&</sup>lt;sup>1</sup> Relative humidity greater than 90% for at least 1 hour as measured in the cooking chamber or exit of the oven; or in a moisture-impermeable bag that provides 100% humidity.

;and

(2) As specified in the following chart, to heat all parts of the FOOD to a temperature and for the holding time that corresponds to that temperature (*critical*): P

| Time <sup>1</sup> in<br>Minutes | Temperature °C (°F)                        | Time <sup>1</sup> in Seconds                                                                                                                                                                                                                                                   |
|---------------------------------|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 112                             | 63.9 (147)                                 | 134                                                                                                                                                                                                                                                                            |
| 89                              | 65.0 (149)                                 | 85                                                                                                                                                                                                                                                                             |
| 56                              | 66.1 (151)                                 | 54                                                                                                                                                                                                                                                                             |
| 36                              | 67.2 (153)                                 | 34                                                                                                                                                                                                                                                                             |
| 28                              | 68.3 (155)                                 | 22                                                                                                                                                                                                                                                                             |
| 18                              | 69.4 (157)                                 | 14                                                                                                                                                                                                                                                                             |
| 12                              | 70.0 (158)                                 | 0                                                                                                                                                                                                                                                                              |
| 8                               |                                            |                                                                                                                                                                                                                                                                                |
| 5                               |                                            |                                                                                                                                                                                                                                                                                |
| 4                               |                                            |                                                                                                                                                                                                                                                                                |
|                                 | Minutes  112  89  56  36  28  18  12  8  5 | Minutes         °C (°F)           112         63.9 (147)           89         65.0 (149)           56         66.1 (151)           36         67.2 (153)           28         68.3 (155)           18         69.4 (157)           12         70.0 (158)           8         5 |

<sup>&</sup>lt;sup>1</sup> Holding time may include post oven heat rise.

- (C) A raw or undercooked WHOLE-MUSCLE, INTACT BEEF steak may be served or offered for sale in a READY-TO-EAT form if:
  - (1) The FOOD ESTABLISHMENT serves a population that is not a HIGHLY SUSCEPTIBLE POPULATION,

- (2) The steak is labeled to indicate that it meets the definition of "WHOLE-MUSCLE, INTACT BEEF" as specified under ¶ 8-3-201.11(E), and
- (3) The steak is cooked on both the top and bottom to a surface temperature of 63°C (145°F) or above and a cooked color change is achieved on all external surfaces.
- (D) A raw animal FOOD such as raw EGG, raw FISH, raw-marinated FISH, raw MOLLUSCAN SHELLFISH, or steak tartare; or a partially cooked FOOD such as lightly cooked FISH, soft cooked EGGS, or rare MEAT other than WHOLE-MUSCLE, INTACT BEEF steaks as specified in  $\P$  (C) of this section, may be served or offered for sale upon CONSUMER request or selection in a READY-TO-EAT form if:
  - (1) As specified under  $\P\P$  8-3-801.11(C)(1) and (2), the FOOD ESTABLISHMENT serves a population that is not a HIGHLY SUSCEPTIBLE POPULATION;
  - (2) The FOOD, if served or offered for service by CONSUMER selection from a children's menu, does not contain COMMINUTED MEAT (critical); Pf and
  - (3) The CONSUMER is informed as specified under  $\S$  8-3-603.11 that to ensure its safety, the FOOD should be cooked as specified under  $\P$  (A) or (B) of this section; or
  - (4) The REGULATORY AUTHORITY DISTRICT grants a VARIANCE from  $\P$  (A) or
  - (B) of this section as specified in § 8-8-103.10 based on a HACCP PLAN that:
    - (a) Is submitted by the PERMIT HOLDER and APPROVED as specified under § 8-8-103.11,
    - (b) Documents scientific data or other information showing that a lesser time and temperature regimen results in a safe FOOD, and
    - (c) Verifies that EQUIPMENT and procedures for FOOD preparation and training of FOOD EMPLOYEES at the FOOD ESTABLISHMENT meet the conditions of the VARIANCE.

#### 8-3-401.12 Microwave Cooking.

Raw animal FOODS cooked in a microwave oven shall be:

- (A) Rotated or stirred throughout or midway during cooking to compensate for uneven distribution of heat;
- (B) Covered to retain surface moisture;
- (C) Heated to a temperature of at least 74°C (165°F) in all parts of the FOOD; and

(D) Allowed to stand covered for 2 minutes after cooking to obtain temperature equilibrium.

## 8-3-401.13 Plant Food Cooking for Hot Holding.

Fruits and vegetables that are cooked for hot holding shall be cooked to a temperature of 57°C (135°F) or above (*critical*). Pf

#### 8-3-401.14 Non-Continuous Cooking of Raw Animal Foods.

Raw animal FOODs that are cooked using a NON-CONTINUOUS COOKING process shall be:

- (A) Subject to an initial heating process that is no longer than sixty minutes in duration (*critical*); P
- (B) Immediately after initial heating, cooled according to the time and temperature parameters specified for cooked POTENTIALLY HAZARDOUS FOOD (TIME /TEMPERATURE CONTROL FOR SAFETY FOOD) under ¶ 8-3-501.14(A) (critical); P
- (C) After cooling, held frozen or cold, as specified for POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) under  $\P$  8-3-501.16(A)(2) (critical);  $^{P}$
- (D) Prior to sale or service, cooked using a process that heats all parts of the FOOD to a temperature and for a time as specified under ¶¶8-3-401.11 (A)-(C) (critical); P
- (E) Cooled according to the time and temperature parameters specified for cooked POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) under  $\P$  3-501.14(A) if not either hot held as specified under  $\P$  8-3-501.16(A), served immediately, or held using time as a public health control as specified under  $\S$  8-3-501.19 after complete cooking (*critical*);  $^P$  and
- (F) Prepared and stored according to written procedures that:
  - (1) Have obtained prior APPROVAL from the REGULATORY AUTHORITY DISTRICT (critical); Pf
  - (2) Are maintained in the FOOD ESTABLISHMENT and are available to the REGULATORY AUTHORITY DISTRICT upon request (critical); Pf
  - (3) Describe how the requirements specified under  $\P$  (A)-(E) of this Section are to be monitored and documented by the PERMIT HOLDER and the corrective actions to be taken if the requirements are not met (*critical*); Pf
  - (4) Describe how the FOODS, after initial heating, but prior to complete cooking, are to be marked or otherwise identified as FOODS that must be

cooked as specified under  $\P$  (D) of this section prior to being offered for sale or service (*critical*); Pf and

(5) Describe how the FOODS, after initial heating but prior to cooking as specified under  $\P(D)$  of this section, are to be separated from READY-TO-EAT FOODS as specified under  $\P$  8-3-302.11 (D) (critical). Pf

# Freezing

#### 8-3-402.11 Parasite Destruction.

- (A) Except as specified in  $\P$  (B) of this section, before service or sale in READY-TO-EAT form, raw, raw-marinated, partially cooked, or marinated-partially cooked FISH shall be:
  - (1) Frozen and stored at a temperature of -20°C (-4°F) or below for a minimum of 168 hours (7 days) in a freezer (critical); P
  - (2) Frozen at -35°C (-31°F) or below until solid and stored at -35°C (-31°F) or below for a minimum of 15 hours (critical); <sup>P</sup> or
  - (3) Frozen at -35°C (-31°F) or below until solid and stored at -20°C (-4°F) or below for a minimum of 24 hours (critical). P
- (B) Paragraph (A) of this section does not apply to:
  - (1) MOLLUSCAN SHELLFISH;
  - (2) A scallop product consisting only of the shucked adductor muscle;
  - (3) Tuna of the species Thunnus alalunga, Thunnus albacares (Yellowfin tuna), Thunnus atlanticus, Thunnus maccoyii (Bluefin tuna, Southern), Thunnus obesus (Bigeye tuna), or Thunnus thynnus (Bluefin tuna, Northern); or
  - (4) Aquacultured FISH, such as salmon, that:
    - (a) If raised in open water, are raised in net-pens, or
    - (b) Are raised in land-based operations such as ponds or tanks, and
    - (c) Are fed formulated feed, such as pellets, that contains no live parasites infective to the aqua-cultured FISH.
  - (5) FISH eggs that have been removed from the skin and rinsed.

#### 8-3-402.12 Consumer Advisory.

A Consumer Advisory must be provided as specified under ¶ 8-3-603.11 if the foods listed under ¶ 8-3-402.11 *Parasite Destruction* are served (*critical*).  $^{Pf}$ 

## 8-3-402.12 Records, Creation and Retention.

- (A) Except as specified in  $\P$  8-3-402.11(B) and  $\P$  (B) of this section, if raw, raw-marinated, partially cooked, or marinated-partially cooked FISH are served or sold in READY-TO-EAT form, the PERSON IN CHARGE shall record the freezing temperature and time to which the FISH are subjected and shall retain the records of the FOOD ESTABLISHMENT for 90 calendar days beyond the time of service or sale of the FISH (critical). Pf
- (B) If the FISH are frozen by a supplier, a written agreement or statement from the supplier stipulating that the FISH supplied are frozen to a temperature and for a time specified under  $\S$  8-3-402.11 may substitute for the records specified under  $\P$  (A) of this section.
- (C) If raw, raw-marinated, partially cooked, or marinated-partially cooked FISH are served or sold in READY-TO-EAT form, and the FISH are raised and fed as specified in Subparagraph 8-3-402.11(B)(3), a written agreement or statement from the supplier or aquaculturist stipulating that the FISH were raised and fed as specified in Subparagraph 8-3-402.11(B)(3) shall be obtained by the PERSON IN CHARGE and retained in the records of the FOOD ESTABLISHMENT for 90 calendar days beyond the time of service or sale of the FISH (critical). Pf

#### 8-3-403.10 Preparation for Immediate Service.

Cooked and refrigerated FOOD that is prepared for immediate service in response to an individual CONSUMER order, such as a roast beef sandwich au jus, may be served at any temperature.

## Reheating

#### 8-3-403.11 Reheating for Hot Holding.

- (A) Except as specified under  $\P\P$  (B) and (C) and in  $\P$  (E) of this section, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is cooked, cooled, and reheated for hot holding shall be reheated so that all parts of the FOOD reach a temperature of at least  $74^{\circ}\text{C}$  ( $165^{\circ}\text{F}$ ) for 15 seconds (*critical*).
- (B) Except as specified under  $\P$  (C) of this section, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) reheated in a microwave oven for hot holding shall be reheated so that all parts of the FOOD reach a temperature of at

least 74°C (165°F) and the FOOD is rotated or stirred, covered, and allowed to stand covered for 2 minutes after reheating (critical). P

- (C) READY-TO-EAT TIME/TEMPERATURE CONTROL FOR SAFETY FOOD THAT HAS BEEN COMMERCIALLY PROCESSED AND PACKAGED IN A FOOD PROCESSING that is inspected by the FOOD REGULATORY AUTHORITY DISTRICT that has jurisdiction over the plant, shall be heated to a temperature of at least 57°C (135°F) or above (critical).
- (D) Reheating for hot holding as specified under  $\P\P$  (A) (C) of this section shall be done rapidly and the time the FOOD is between 5°C (41°F) and the temperatures specified under  $\P\P$  (A) (C) of this section may not exceed 2 hours (*critical*).
- (E) Remaining unsliced portions of MEAT roasts that are cooked as specified under  $\P$  8-3-401.11(B) may be reheated for hot holding using the oven parameters and minimum time and temperature conditions specified under  $\P$  8-3-401.11(B).

#### Other Methods

#### **8-3-404.11** Treating Juice.

JUICE PACKAGED in a FOOD ESTABLISHMENT shall be:

- (A) Treated under a HACCP PLAN as specified in ¶¶ 8-8-201.14(B) (E) to attain a 5-log reduction, which is equal to a 99.999% reduction, of the most resistant microorganism of public health significance (*critical*); P or
- (B) Labeled, if not treated to yield a 5-log reduction of the most resistant microorganism of public health significance *(critical)*: Pf
  - (1) As specified under § 8-3-602.11 (critical), Pf and
  - (2) As specified in 21 CFR 101.17(g) Food labeling, warning, notice, and safe handling statements, JUICES that have not been specifically processed to prevent, reduce, or eliminate the presence of pathogens with the following, "WARNING: This product has not been pasteurized and, therefore, may contain harmful bacteria that can cause serious illness in children, the elderly, and persons with weakened immune systems (critical)." Pf

# 8-3-5 Limitation of Growth of Organisms of Public Health Concern

**Subparts** 

## 1. 8-3-501 Temperature and Time Control

### 2. 8-3-502 Specialized Processing Methods

#### TEMPERATURE AND TIME CONTROL

#### 8-3-501.11 Frozen Food.

Stored frozen FOODS shall be maintained frozen.

# 8-3-501.12 Potentially Hazardous Food (Time/Temperature Control for Safety Food), Slacking.

Frozen POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is slacked to moderate the temperature shall be held:

- (A) Under refrigeration that maintains the FOOD temperature at 5°C (41°F) or less (critical) P; or
- (B) At any temperature if the FOOD remains frozen.

#### 8-3-501.13 Thawing.

Except as specified in  $\P$  (D) of this section, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be thawed:

- (A) Under refrigeration that maintains the FOOD temperature at 5°C (41°F) or less; or
- (B) Completely submerged under running water:
  - (1) At a water temperature of 21°C (70°F) or below,
  - (2) With sufficient water velocity to agitate and float off loose particles in an overflow, and
  - (3) For a period of time that does not allow thawed portions of READY-TO-EAT FOOD to rise above 5°C (41°F), or
  - (4) For a period of time that does not allow thawed portions of a raw animal FOOD requiring cooking as specified under ¶ 8-3-401.11(A) or (B) to be above 5°C (41°F), for more than 4 hours including:
    - (a) The time the FOOD is exposed to the running water and the time needed for preparation for cooking, or
    - (b) The time it takes under refrigeration to lower the FOOD temperature to  $5^{\circ}$ C ( $41^{\circ}$ F);

- (C) As part of a cooking process if the FOOD that is frozen is:
  - (1) Cooked as specified under ¶ 8-3-401.11(A) or (B) or § 8-3-401.12, or
  - (2) Thawed in a microwave oven and immediately transferred to conventional cooking EQUIPMENT, with no interruption in the process; or
- (D) Using any procedure if a portion of frozen READY-TO-EAT FOOD is thawed and prepared for immediate service in response to an individual CONSUMER'S order.
- (E) REDUCED OXYGEN PACKAGED FISH that bears a label indicating that it is to be kept frozen until time of use shall be removed from the reduced oxygen environment:
  - (1) Prior to its thawing under refrigeration as specified in  $\P(A)$  of this section; or
  - (2) Prior to, or immediately upon completion of, it's thawing using procedures specified in  $\P$  (B) of this section.

## 8-3-501.14 Cooling.

- (A) Cooked POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be cooled:
  - (1) Within 2 hours from 57°C (135°F) to 21°C (70°F) (critical); P and
  - (2) Within a total of 6 hours from 57°C (135°F) to 5°C (41°F) or less (*critical*).  $^{P}$
- (B) POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be cooled within 4 hours to 5°C (41°F) or less, or if prepared from ingredients at ambient temperature, such as reconstituted FOODS and canned tuna (*critical*). P
- (C) Except as specified under  $\P$  (D) of this section, a POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) received in compliance with LAWS allowing a temperature above 5°C (41°F) during shipment from the supplier as specified in  $\P$  8-3-202.11(B), shall be cooled within 4 hours to 5°C (41°F) or less (critical).
- (D) Raw EGGS shall be received as specified under ¶ 8-3-202.11(C) and immediately placed in refrigerated EQUIPMENT that maintains an ambient air temperature of 7°C (45°F) or less (*critical*). P
- (E) Potentially hazardous food that has been previously cooked, cooled, and reheated is a leftover food and cannot be re-cooled (critical). P

### 8-3-501.15 Cooling Methods.

- (A) Cooling shall be accomplished in accordance with the time and temperature criteria specified under § 8-3-501.14 by using one or more of the following methods based on the type of FOOD being cooled:
  - (1) Placing the FOOD in shallow pans (critical); Pf
  - (2) Separating the FOOD into smaller or thinner portions (critical); Pf
  - (3) Using rapid cooling EQUIPMENT (critical); Pf
  - (4) Stirring the FOOD in a container placed in an ice water bath (critical); Pf
  - (5) Using containers that facilitate heat transfer (critical); Pf
  - (6) Adding ice as an ingredient (critical); Pf or
  - (7) Other effective methods (critical). Pf
- (B) When placed in cooling or cold holding EQUIPMENT, FOOD containers in which FOOD is being cooled shall be:
  - (1) Arranged in the EQUIPMENT to provide maximum heat transfer through the container walls; and
  - (2) Loosely covered, or uncovered if protected from overhead contamination as specified under Subparagraph 8-3-305.11(A)(2), during the cooling period to facilitate heat transfer from the surface of the FOOD.

# 8-3-501.16 Potentially Hazardous Food (Time/Temperature Control for Safety Food), Hot and Cold Holding.

- (A) Except during preparation, cooking, or cooling, or when time is used as the public health control as specified under  $\S8-3-501.19$ , and except as specified under  $\P$  (B) and in  $\P$  (C) of this section, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be maintained:
  - (1) At 57°C (135°F) *or above or above*, except that roasts cooked to a temperature and for a time specified in ¶ 3-401.11(B) or reheated as specified in ¶ 3-403.11(E) may be held at a temperature of 54°C (130°F) or above *(critical)*; P or
  - (2) At 5°C (41°F) or less (critical), P

- (B) EGGS that have not been treated to destroy all viable *Salmonellae* shall be stored in refrigerated EQUIPMENT that maintains an ambient air temperature of 7°C (45°F) or less (*critical*). P
- (C) POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) in a homogenous liquid form may be maintained outside of the temperature control requirements, as specified under ¶ (A) of this section, while contained within specially designed *EQUIPMENT* that complies with the design and construction requirements as specified under ¶ 8-4-204.13(E).

# 8-3-501.17 Ready-to-Eat, Potentially Hazardous Food (Time/Temperature Control for Safety Food), Date Marking.

## 1. On-premises preparation

- prepare and hold cold
- (A) Except when PACKAGING FOOD using a REDUCED OXYGEN PACKAGING method as specified under § 8-3-502.12, and except as specified in ¶¶ (D) and (E) of this section, refrigerated, READY-TO-EAT, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) prepared and held in a FOOD ESTABLISHMENT for more than 24 hours shall be clearly marked to indicate the date or day by which the FOOD shall be consumed on the PREMISES, sold, or discarded when held at a temperature of 5°C (41°F) or less for a maximum of 7 days (*critical*), Pf

#### 2. Commercially processed food

- open and hold cold
- (B) Except as specified in ¶¶ (D) (F) of this section, refrigerated, READY-TO-EAT, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) prepared and PACKAGED by a FOOD PROCESSING PLANT shall be clearly marked, at the time the original container is opened in a FOOD ESTABLISHMENT and if the FOOD is held for more than 24 hours, to indicate the date or day by which the FOOD shall be consumed on the PREMISES, sold, or discarded, based on the temperature and time combinations specified in ¶ (A) of this section and (critical): Pf
  - (1) The day the original container is opened in the FOOD ESTABLISHMENT shall be counted as Day 1 (*critical*); <sup>Pf</sup> and
  - (2) The day or date marked by the FOOD ESTABLISHMENT may not exceed a manufacturer's use-by date if the manufacturer determined the use-by date based on FOOD safety *(critical)*. Pf
- (C) A refrigerated, READY-TO-EAT, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) ingredient or a portion of a refrigerated, READY-TO-EAT, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE

CONTROL FOR SAFETY FOOD) that is subsequently combined with additional ingredients or portions of FOOD shall retain the date marking of the earliest-prepared or first-prepared ingredient (*critical*). Pf

- (D) A date marking system that meets the criteria stated in  $\P\P$  (A) and (B) of this section may include:
  - (1) Using a method APPROVED by the REGULATORY AUTHORITY DISTRICT for refrigerated, READY-TO-EAT POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is frequently rewrapped, such as lunchmeat or a roast, or for which date marking is impractical, such as soft serve mix or milk in a dispensing machine;
  - (2) Marking the date or day of preparation, with a procedure to discard the FOOD on or before the last date or day by which the FOOD must be consumed on the premises, sold, or discarded as specified under  $\P$  (A) of this section;
  - (3) Marking the date or day the original container is opened in a FOOD ESTABLISHMENT, with a procedure to discard the FOOD on or before the last date or day by which the FOOD must be consumed on the premises, sold, or discarded as specified under  $\P$  (B) of this section; or
  - (4) Using calendar dates, days of the week, color-coded marks, or other effective marking methods, provided that the marking system is disclosed to the REGULATORY AUTHORITY DISTRICT upon request.
- (E) Paragraphs (A) and (B) of this section do not apply to individual meal portions served or rePACKAGED for sale from a bulk container upon a consumer's request.
- (F) Paragraphs (A) and (B) of this section do not apply to SHELLSTOCK.
- (G) Paragraph (B) of this section does not apply to the following FOODS prepared and PACKAGED by a FOOD PROCESSING PLANT inspected by the REGULATORY AUTHORITY DISTRICT:
  - (1) Deli salads, such as ham salad, seafood salad, chicken salad, egg salad, pasta salad, potato salad, and macaroni salad, manufactured in accordance with 21 CFR 110 Current good manufacturing practice in manufacturing, packing, or holding human food;
  - (2) Hard cheeses containing not more than 39% moisture as defined in 21 CFR 133 Cheeses and related cheese products, such as cheddar, gruyere, parmesan and reggiano, and romano;

- (3) Semi-soft cheeses containing more than 39% moisture, but not more than 50% moisture, as defined in 21 CFR 133 Cheeses and related cheese products, such as blue, edam, gorgonzola, gouda, and monterey jack;
- (4) Cultured dairy products as defined in 21 CFR 131 Milk and cream, such as yogurt, sour cream, and buttermilk;
- (5) Preserved FISH products, such as pickled herring and dried or salted cod, and other acidified FISH products defined in 21 CFR 114 Acidified foods;
- (6) Shelf stable, dry fermented sausages, such as pepperoni and Genoa; and
- (7) Shelf stable salt-cured products such as prosciutto and Parma (ham).

# 8-3-501.18 Ready-to-Eat, Potentially Hazardous Food (Time/Temperature Control for Safety Food), Disposition.

- (A) A FOOD specified in ¶8-3-501.17(A) or (B) shall be discarded if it:
  - (1) Exceeds the temperature and time combination specified in  $\P$  8-3-501.17(A), except time that the product is frozen (*critical*);  $^{P}$
  - (2) Is in a container or PACKAGE that does not bear a date or day (critical); P or
  - (3) Is appropriately marked with a date or day that exceeds a temperature and time combination as specified in  $\P$  8-3-501.17(A) (critical).
- (B) Refrigerated, READY-TO-EAT, POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) prepared in a FOOD ESTABLISHMENT and dispensed through a VENDING MACHINE with an automatic shutoff control shall be discarded if it exceeds a temperature and time combination as specified in  $\P$  8-3-501.17(A) (critical).

#### 8-3-501.19 Time as a Public Health Control.

- (A) Except as specified under ¶ (D) of this section, if time without temperature control is used as the public health control for a working supply of POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) before cooking, or for READY-TO-EAT POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is displayed or held for sale or service:
  - (1) Written procedures shall be prepared in advance, maintained in the FOOD ESTABLISHMENT and made available to the REGULATORY AUTHORITY DISTRICT upon request that specify (critical): Pf

- (a) Methods of compliance with Subparagraphs (B)(1) -(3) or C)(1)-
- (5) of this section (critical); Pf and
- (b) Methods of compliance with  $\S$  8-3-501.14 for FOOD that is prepared, cooked, and refrigerated before time is used as a public health control (*critical*). Pf

## 1. Time – maximum up to 4 hours

- (B) If time temperature control is used as the public health control up to a maximum of 4 hours:
  - (1) The FOOD shall have an initial temperature of 5°C (41°F) or less when removed from cold holding temperature control, or 57°C (135°F) or greater when removed from hot holding temperature control (*critical*); P
  - (2) The FOOD shall be marked or otherwise identified to indicate the time that is 4 hours past the point in time when the FOOD is removed from temperature control (*critical*); Pf
  - (3) The FOOD shall be cooked and served, served at any temperature if READY-TO-EAT, or discarded, within 4 hours from the point in time when the FOOD is removed from temperature control (*critical*); <sup>P</sup> and
  - (4) The FOOD in unmarked containers or PACKAGES, or marked to exceed a 4-hour limit shall be discarded (*critical*). P

#### 2. Time – maximum up to 6 hours

- (C) If time without temperature control is used as the public health control up to a maximum of 6 hours:
  - (1) The FOOD shall have an initial temperature of 5°C (41°F) or less when removed from temperature control and the FOOD temperature may not exceed 21°C (70°F) within a maximum time period of 6 hours (*critical*); P
  - (2) The FOOD shall be monitored to ensure the warmest portion of the FOOD does not exceed 21°C (70°F) during the 6-hour period, unless an ambient air temperature is maintained that ensures the FOOD does not exceed 21°C (70°F) during the 6-hour holding period (*critical*); Pf
  - (3) The FOOD shall be marked or otherwise identified to indicate (critical): Pf
    - (a) The time when the FOOD is removed from 5°C (41°F) or less cold holding temperature control (*critical*), <sup>Pf</sup> and

(b) The time that is 6 hours past the point in time when the FOOD is removed from cold holding temperature control (*critical*); Pf

# (4) The FOOD shall be:

- (a) Discarded if the temperature of the FOOD exceeds 21°C (70°F) (critical), P or
- (b) Cooked and served, served at any temperature if READY-TO-EAT, or discarded within a maximum of 6 hours from the point in time when the FOOD is removed from 5°C (41°F) or less cold holding temperature control (*critical*); <sup>P</sup> and
- (5) The FOOD in unmarked containers or PACKAGES, or marked with a time that exceeds the 6-hour limit shall be discarded (*critical*). P
- (D) A FOOD ESTABLISHMENT that serves a HIGHLY SUSCEPTIBLE POPULATION may not use time as specified under  $\P\P$  (A), (B) or (C) of this section as the public health control for raw EGGS.

# Specialized Processing Methods

# 8-3-502.11 Variance Requirement.

A FOOD ESTABLISHMENT shall obtain a VARIANCE from the REGULATORY AUTHORITY DISTRICT as specified in § 8-8-103.10 and under § 8-8-103.11 before (*critical*): Pf

- (A) Smoking FOOD as a method of FOOD preservation rather than as a method of flavor enhancement *(critical)*; Pf
- (B) Curing FOOD (critical); Pf
- (C) Using FOOD ADDITIVES or adding components such as vinegar (critical): Pf
  - (1) As a method of FOOD preservation rather than as a method of flavor enhancement (*critical*), <sup>Pf</sup> or
  - (2) To render a FOOD so that it is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL OF SAFETY FOOD) (critical); Pf
- (D) Packaging TIME/TEMPERATURE CONTROL FOR SAFETY FOOD using a REDUCED OXYGEN PACKAGING method except where the growth of and toxin formation by Clostridium botulinum and the growth of Listeria monocytogenes are controlled as specified under §8-3-502.12 Pf

- (E) Operating a MOLLUSCAN SHELLFISH life-support system display tank used to store or display shellfish that are offered for human consumption (*critical*); Pf
- (F) Custom processing animals that are for personal use as FOOD and not for sale or service in a FOOD ESTABLISHMENT (critical); Pf
- (G) Preparing FOOD by another method that is determined by the regulatory authority <u>DISTRICT</u> to require a VARIANCE (*critical*); Pf or
- (H) Sprouting seeds or beans (critical). Pf

# Clostridium botulinum and Listeria monocytogenes Controls

### 8-3-502.12 Reduced Oxygen Packaging Without a Variance, Criteria.

- (A) Except for a FOOD ESTABLISHMENT that obtains a VARIANCE as specified under § 8-3-502.11, a FOOD ESTABLISHMENT that PACKAGES POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) using a REDUCED OXYGEN PACKAGING method shall control the growth and toxin formation of *Clostridium botulinum* and the growth of *Listeria monocytogenes* (critical). P
- (B) Except as specified under ¶ (F) of this section, a FOOD ESTABLISHMENT that PACKAGES TIME/TEMPERATURE CONTROL FOR SAFETY FOOD using a REDUCED OXYGEN PACKAGING method shall implement a HACCP PLAN that contains the information specified under ¶¶ 8-8-201.14 (B) and (D) and that (critical): Pf
- (1) Identifies the FOOD to be PACKAGED (critical); Pf
- (2) Except as specified under  $\P\P$  (C) (E) of this section, requires that the PACKAGED FOOD shall be maintained at  $5^{\circ}$ C
  - (41°F) or less and meet at least one of the following criteria (critical): Pf
  - (a) Has an AW of 0.91 or less (critical), Pf
  - (b) Has a PH of 4.6 or less (critical), Pi
  - (c) Is a MEAT or POULTRY product cured at a FOOD PROCESSING PLANT regulated by the USDA using substances specified in 9 CFR 424.21, Use of food ingredients and sources of radiation, and is received in an intact PACKAGE (*critical*), Pf or
  - (d) Is a FOOD with a high level of competing organisms such as raw MEAT, raw POULTRY, or raw vegetables (critical); Pf
- (3) Describes how the PACKAGE shall be prominently and conspicuously labeled on the principal display panel in bold type on a contrasting background, with instructions to (critical): Pf
  - (a) Maintain the FOOD at 5°C (41°F) or below (critical), Pf and

- (b) Discard the FOOD if within 30 calendar days of its PACKAGING if it is not served for on-PREMISES consumption, or consumed if served or sold for off-PREMISES consumption (*critical*); Pf
- (4) Limits the refrigerated shelf life to no more than 30 calendar days from PACKAGING to consumption, except the time the product is maintained frozen, or the original manufacturer's "sell by" or "use by" date, whichever occurs first (critical): P
- (5) Includes operational procedures that:
  - (a) Prohibit contacting READY-TO-EAT FOOD with bare hands as specified under  $\P$  8-3-301.11(B) (*critical*), Pf
  - (b) Identify a designated work area and the method by which (critical): Pf
    - (i) Physical barriers or methods of separation of raw FOODS and READY-TO-EAT FOODS minimize cross contamination (*critical*), <sup>Pf</sup> and
    - (ii) Access to the processing EQUIPMENT is limited to responsible trained personnel familiar with the potential HAZARDS of the operation *(critical)*, <sup>Pf</sup> and
  - (c) Delineate cleaning and SANITIZATION procedures for FOOD-CONTACT SURFACES (*critical*); <sup>Pf</sup> and
- (6) Describes the training program that ensures that the individual responsible for the REDUCED OXYGEN PACKAGING operation understands the *(critical)*: Pf
  - (a) Concepts required for a safe operation (critical), Pf
  - (b) EQUIPMENT and facilities (critical), Pf and
  - (c) Procedures specified under Subparagraph (B)(5) of this section and  $\P 8-8-201.14$  (B) and (D) (critical). Pf
- (7) Is provided to the REGULATORY AUTHORITY DISTRICT prior to implementation as specified under ¶ 8-8-201.13(B).

#### 1. Fish

(C) Except for FISH that is frozen before, during, and after PACKAGING, a FOOD ESTABLISHMENT may not PACKAGE FISH using a REDUCED OXYGEN PACKAGING method (*critical*). P

#### 2. Cook-Chill or Sous Vide

- (D) Except as specified under  $\P$  (C) and  $\P$  (F) of this section, a FOOD ESTABLISHMENT that PACKAGES TIME/TEMPERATURE CONTROL FOR SAFETY FOOD using a cook-chill or sous vide process shall:
  - (1) Provide to the REGULATORY AUTHORITY DISTRICT prior to implementation, a HACCP PLAN that contains the information as specified under ¶¶ 8-8-201.14 (B) and (D) (critical); Pf
  - (2) Ensure the FOOD is:

- (a) Prepared and consumed on the PREMISES, or prepared and consumed off the PREMISES but within the same business entity with no distribution or sale of the PACKAGED product to another business entity or the CONSUMER, <sup>Pf</sup>
- (b) Cooked to heat all parts of the FOOD to a temperature and for a time as specified under ¶¶ 8-3-401.11 (A), (B), and (C) (critical), P
- (c) Protected from contamination before and after cooking as specified under Sections 8-3-3 and 8-3-4 (critical), P
- (d) Placed in a PACKAGE with an oxygen barrier and sealed before cooking, or placed in a PACKAGE and sealed immediately after cooking and before reaching a temperature below 57°C (135°F) (critical), P
- (e) Cooled to 5°C (41°F) in the sealed PACKAGE or bag as specified under § 8-3-501.14 and (critical): P
  - (i) Cooled to 1°C (34°F) within 48 hours of reaching 5°C (41°F) and held at that temperature until consumed or discarded within 30 days after the date of PACKAGING (*critical*); P
  - (ii) Held at 5°C (41°F) or less for no more than 7 days, at which time the FOOD must be consumed or discarded (critical); P or
  - (iii) Held frozen with no shelf life restriction while frozen until consumed or used (*critical*). P
- (f) Held in a refrigeration unit that is equipped with an electronic system that continuously monitors time and temperature and is visually examined for proper operation twice daily (*critical*), Pf
- (g) If transported off-site to a satellite location of the same business entity, equipped with verifiable electronic monitoring devices to ensure that times and temperatures are monitored during transportation *(critical)*, Pf and
- (h) Labeled with the product name and the date PACKAGED (critical); Pf and
- (3) Maintain the records required to confirm that cooling and cold holding refrigeration time/temperature parameters are required as part of the HACCP PLAN and:

- (a) Make such records available to the REGULATORY AUTHORITY DISTRICT upon request (*critical*), Pf and
- (b) Hold such records for at least 6 months (critical); Pf and
- (4) Implement written operational procedures as specified under Subparagraph (B)(5) of this section and a training program as specified under Subparagraph (B)(6) of this section (critical). Pf

#### 3. Cheese

- (E) Except as specified under  $\P$  (F) of this section, a FOOD ESTABLISHMENT that PACKAGES cheese using a REDUCED OXYGEN PACKAGING method shall:
  - (1) Limit the cheeses PACKAGED to those that are commercially manufactured in a FOOD PROCESSING PLANT with no ingredients added in the FOOD ESTABLISHMENT and that meet the Standards of Identity as specified in 21 CFR 133.150 Hard cheeses, 21 CFR 133.169 Pasteurized process cheese or 21 CFR 133.187 Semisoft cheeses (critical); P
  - (2) Have a HACCP PLAN that contains the information specified under  $\P8-8-201.14(D)$  and as specified under  $\P\P$  (B)(1), (B)(3)(a), (B)(5) and (B)(6) of this section *(critical)*; Pf
  - (3) Labels the PACKAGE on the principal display panel with a "use by" date that does not exceed 30 days from its packaging or the original manufacturer's "sell by" or "use by" date, whichever occurs first (*critical*); Pf and
  - (4) Discards the REDUCED OXYGEN PACKAGED cheese if it is not sold for off-PREMISES consumption or consumed within 30 calendar days of its PACKAGING (*critical*). Pf
- (F) A HACCP Plan is not required when a FOOD ESTABLISHMENT uses a REDUCED OXYGEN PACKAGING method to PACKAGE TIME/TEMPERATURE CONTROL FOR SAFETY FOOD that is always:
  - (1) Labeled with the production time and date,
  - (2) Held at 5°C (41°F) or less during refrigerated storage, and
  - (3) Removed from its PACKAGE in the FOOD ESTABLISHMENT within 48 hours after PACKAGING.

# 8-3-6 Food Identity, Presentation, and On-premises Labeling

#### **Subparts**

- 1. 3-601 Accurate Representation
- 2. 3-602 Labeling
- 3. 3-603 Consumer Advisory

## Accurate Representation

#### 8-3-601.11 Standards of Identity.

PACKAGED FOOD shall comply with standard of identity requirements in 21 CFR 131-169 and 9 CFR 319 Definitions and standards of identity or composition, and the general requirements in 21 CFR 130 – Food Standards: General and 9 CFR 319 Subpart A – General.

#### 8-3-601.12 Honestly Presented.

- (A) FOOD shall be offered for human consumption in a way that does not mislead or misinform the CONSUMER.
- (B) FOOD or COLOR ADDITIVES, colored overwraps, or lights may not be used to misrepresent the true appearance, color, or quality of a FOOD.

# Labeling

#### 8-3-602.11 Food Labels.

- (A) FOOD PACKAGED in a FOOD ESTABLISHMENT, shall be labeled as specified in LAW, including 21 CFR 101 Food labeling, and 9 CFR 317 Labeling, marking devices, and containers.
- (B) Label information shall include:
  - (1) The common name of the FOOD, or absent a common name, an adequately descriptive identity statement;
  - (2) If made from two or more ingredients, a list of ingredients and subingredients in descending order of predominance by weight, including a declaration of artificial color or flavor and chemical preservatives, if contained in the FOOD:
  - (3) An accurate declaration of the net quantity of contents;

- (4) The name and place of business of the manufacturer, packer, or distributor; and
- (5) The name of the FOOD source for each MAJOR FOOD ALLERGEN contained in the FOOD unless the FOOD source is already part of the common or usual name of the respective ingredient (*critical*). Pf
- (6) Except as exempted in the Federal Food, Drug, and Cosmetic Act \$403(Q)(3) (5), nutrition labeling as specified in 21 CFR 101 Food Labeling and 9 CFR 317 Subpart B Nutrition Labeling.
- (7) For any salmonid FISH containing canthaxanthin or astaxanthin as a COLOR ADDITIVE, the labeling of the bulk FISH container, including a list of ingredients, displayed on the retail container or by other written means, such as a counter card, that discloses the use of canthaxanthin or astaxanthin.
- (C) Bulk FOOD that is available for CONSUMER self-dispensing shall be prominently labeled with the following information in plain view of the CONSUMER: (1) The manufacturer's or processor's label that was provided with the FOOD; or
  - (2) A card, sign, or other method of notification that includes the information specified under Subparagraphs (B)(1), (2), and (6) of this section.
- (D) Bulk, unpackaged foods such as bakery products and unpackaged foods that are portioned to CONSUMER specification need not be labeled if:
  - (1) A health, nutrient content, or other claim is not made;
  - (2) There are no state or local LAWS requiring labeling; and
  - (3) The FOOD is manufactured or prepared on the PREMISES of the FOOD ESTABLISHMENT or at another FOOD ESTABLISHMENT or a FOOD PROCESSING PLANT that is owned by the same PERSON and is regulated by the FOOD regulatory agency that has jurisdiction.
- (E) Bulk unpackaged FOODS such as produce; fruit and nuts that are portioned to CONSUMER specification need not be labeled if:
  - (1) A health, nutrient content, or other claim is not made;
  - (2) There is no state or local law requiring labeling; and
  - (3) <u>Traceability of the food is provided on the premises which includes;</u>
    - a. Specific identification of the type of food and quantity;
    - b. <u>Identification of the grower, shipper, packer and transporter of the</u> food (as applicable);

- c. <u>Identification of harvest date, packing data and delivery date (as applicable)</u>;
- d. Lot number, harvest and other information (as applicable);
- e. Information may be documented in written or electronic format;
- f. Information shall be kept on file for a minimum of 90 days

#### 8-3-602.12 Other Forms of Information.

- (A) If required by LAW, CONSUMER warnings shall be provided.
- (B) FOOD ESTABLISHMENT or manufacturers' dating information on FOODS may not be concealed or altered.

## Consumer Advisory

# 8-3-603.11 Consumption of Animal Foods that are Raw, Undercooked, or Not Otherwise Processed to Eliminate Pathogens.

- (A) Except as specified in ¶ 8-3-401.11(C) and Subparagraph 8-3-401.11(D)(4) and under ¶ 8-3-801.11(C), if an animal FOOD such as beef, EGGS, FISH, lamb, milk, pork, POULTRY, or shellfish is served or sold raw, undercooked, or without otherwise being processed to eliminate pathogens, either in READY-TO-EAT form or as an ingredient in another READY-TO-EAT FOOD, the PERMIT HOLDER shall inform CONSUMERS of the significantly increased RISK of consuming such FOODS by way of a DISCLOSURE and REMINDER, as specified in ¶¶ (B) and (C) of this section using brochures, deli case or menu advisories, label statements, table tents, placards, or other effective written means (critical).
- (B) DISCLOSURE shall include:
  - (1) A description of the animal-derived FOODS, such as "oysters on the half shell (raw oysters)," "raw-EGG Caesar salad," and "hamburgers (can be cooked to order)" (critical); Pf or
  - (2) Identification of the animal-derived FOODS by asterisking them to a footnote that states that the items are served raw or undercooked, or contain (or may contain) raw or undercooked ingredients (*critical*). Pf
- (C) REMINDER shall include asterisking the animal-derived FOODS requiring DISCLOSURE to a footnote that states:
  - (1) Regarding the safety of these items, written information is available upon request (*critical*); Pf

- (2) Consuming raw or undercooked MEATS, POULTRY, seafood, shellfish, or EGGS may increase your RISK of foodborne illness (*critical*); Pf or
- (3) Consuming raw or undercooked MEATS, POULTRY, seafood, shellfish, or EGGS may increase your RISK of foodborne illness, especially if you have certain medical conditions *(critical)*. Pf

# 8-3-7 Contaminated Food

Subparts

### 1. 3-701 Disposition

## **Disposition**

# 8-3-701.11 Discarding or Reconditioning Unsafe, Adulterated, or Contaminated Food.

- (A) A FOOD that is unsafe, ADULTERATED, or not honestly presented as specified under § 3-101.11 shall be discarded or reconditioned according to an APPROVED procedure (*critical*). P
- (B) FOOD that is not from an APPROVED source as specified under §§ 8-3-201.11 .17 shall be discarded (*critical*). P
- (C) READY-TO-EAT FOOD that may have been contaminated by an EMPLOYEE who has been RESTRICTED or EXCLUDED as specified under § 8-2-201.12 shall be discarded (*critical*). P
- (D) FOOD that is contaminated by FOOD EMPLOYEES, CONSUMERS, or other PERSONS through contact with their hands, bodily discharges, such as nasal or oral discharges, or other means shall be discarded (*critical*). P

# 8-3-8 Special Requirements For Highly Susceptible Populations

**Subparts** 

#### 1. 3-801 Additional Safeguards

## Additional Safeguards

8-3-801.11 Pasteurized Foods, Prohibited Re-Service, and Prohibited Food.

In a FOOD ESTABLISHMENT that serves a HIGHLY SUSCEPTIBLE POPULATION:

- (A) The following criteria apply to JUICE:
  - (1) For the purposes of this paragraph only, children who are age 9 or less and receive FOOD in a school, day care setting, or similar facility that provides custodial care are included as HIGHLY SUSCEPTIBLE POPULATIONS;
  - (2) Prepackaged Juice or a prepackaged beverage containing Juice, that bears a warning label as specified in 21 CFR, 101.17(g) Food labeling, warning, notice, and safe handling statements, Juices that have not been specifically processed to prevent, reduce, or eliminate the presence of pathogens, or a Packaged Juice or Beverage containing Juice, that bears a warning label as specified under ¶ 8-3-404.11(B) may not be served or offered for sale (critical); P and
  - (3) Unpackaged juice that is prepared on the premises for service or sale in a READY-TO-EAT form shall be processed under a HACCP PLAN that contains the information specified under ¶¶ 8-8-201.14(B) (E) and as specified in 21 CFR Part 120 Hazard Analysis and Critical Control Point (HACCP) Systems, Subpart B Pathogen Reduction, 120.24 Process controls (*critical*). P
- (B) Pasteurized EGGS or EGG PRODUCTS shall be substituted for raw EGGS in the preparation of *(critical)*: P
  - (1) FOODS such as Caesar salad, hollandaise or Béarnaise sauce, mayonnaise, meringue, EGGnog, ice cream, and EGG-fortified BEVERAGES (critical), P and
  - (2) Except as specified in  $\P$  (F) of this section, recipes in which more than one EGG is broken and the EGGS are combined (*critical*); P
- (C) The following FOODS may not be served or offered for sale in a READY-TO-EAT form (critical):
  - (1) Raw animal FOODS such as raw FISH, raw-marinated FISH, raw MOLLUSCAN SHELLFISH, and steak tartare (critical); P
  - (2) A partially cooked animal FOOD such as lightly cooked FISH, rare MEAT, soft-cooked EGGs that are made from raw EGGs, and meringue (*critical*); P and
  - (3) Raw seed sprouts (critical). P
- (D) FOOD EMPLOYEES may not contact READY-TO-EAT FOOD as specified under  $\P\P$  8-3-301.11(B) and (D) (critical).

- (E) Time only, as the public health control as specified under  $\P$  8-3-501.19(D), may not be used for raw EGGS (*critical*).
- (F) Subparagraph (B)(2) of this section does not apply if:
  - (1) The raw EGGS are combined immediately before cooking for one CONSUMER'S serving at a single meal, cooked as specified under Subparagraph 8-3-401.11(A)(1), and served immediately, such as an omelet, soufflé, or scrambled EGGS:
  - (2) The raw EGGS are combined as an ingredient immediately before baking and the EGGS are thoroughly cooked to a READY-TO-EAT form, such as a cake, muffin, or bread; or
  - (3) The preparation of the food is conducted under a HACCP PLAN that:
    - (a) Identifies the FOOD to be prepared,
    - (b) Prohibits contacting READY-TO-EAT FOOD with bare hands,
    - (c) Includes specifications and practices that ensure:
      - (i) *Salmonella Enteritidis* growth is controlled before and after cooking, and
      - (ii) *Salmonella Enteritidis* is destroyed by cooking the EGGS according to the temperature and time specified in Subparagraph 8-3-401.11(A)(2),
    - (d) Contains the information specified under  $\P$  8-8-201.14(D) including procedures that:
      - (i) Control cross contamination of READY-TO-EAT FOOD with raw EGGS, and
      - (ii) Delineate cleaning and SANITIZATION procedures for FOOD-CONTACT SURFACES, and
    - (e) Describes the training program that ensures that the FOOD EMPLOYEE responsible for the preparation of the FOOD understands the procedures to be used.

#### 1. Re-service of Food

(G) Except as specified in paragraph (H) of this section, FOOD may be re-served as specified under Subparagraph 8-3-306.14(B)(1) and (2).

### 2. Prohibited Re-service of Food

- (H) FOOD may not be re-served under the following conditions:
  - (1) Any FOOD served to patients or clients who are under contact precautions in medical isolation or quarantine, or protective environment isolation may not be re-served to others outside.
  - (2) Packages of FOOD from any patients, clients, or other CONSUMERS should not be re-served to PERSONS in protective environment isolation.

# Section 8-4 Equipment, Utensils, and Linens

#### **Parts**

- 1. 8-4-1 Materials for Construction and Repair
- 2. 8-4-2 Design and Construction
- 3. 8-4-3 Numbers and Capacities
- 4. 8-4-4 Location and Installation
- 5. 8-4-5 Maintenance and Operation
- 6. 8-4-6 Cleaning of Equipment and Utensils
- 7. 8-4-7 Sanitization of Equipment and Utensils
- 8. 8-4-8 Laundering
- 9. 8-4-9 Protection of Clean Items

# 8-4-1 Materials for Construction and Repair

#### Subparts

- 1. 4-101 Multiuse
- 2. 4-102 Single-Service and Single-Use

#### Multiuse

#### 8-4-101.11 Characteristics.

Materials that are used in the construction of UTENSILS and FOOD-CONTACT SURFACES OF EQUIPMENT may not allow the migration of deleterious substances or impart colors, odors, or tastes to FOOD and under normal use conditions, shall be *(critical)*: P

- (A) Safe (critical); P
- (B) Durable, CORROSION-RESISTANT, and nonabsorbent;

- (C) Sufficient in weight and thickness to withstand repeated WAREWASHING;
- (D) Finished to have a SMOOTH, EASILY CLEANABLE surface; and
- (E) Resistant to pitting, chipping, crazing, scratching, scoring, distortion, and decomposition.

#### 8-4-101.12 Cast Iron: Use Limitation.

- (A) Except as specified in  $\P$  (B) and (C) of this section, cast iron may not be used for UTENSILS or FOOD-CONTACT SURFACES of EQUIPMENT.
- (B) Cast iron may be used as a surface for cooking.
- (C) Cast iron may be used in UTENSILS for serving FOOD if the UTENSILS are used only as part of an uninterrupted process from cooking through service.

#### 8-4-101.13 Lead: Use Limitation.

(A) Ceramic, china, and crystal UTENSILS, and decorative UTENSILS such as hand painted ceramic or china that are used in contact with FOOD shall be lead-free or contain levels of lead not exceeding the limits of the following UTENSIL categories (*critical*): P

| UTENSIL Category                         | Ceramic Article<br>Description    | Maximum Lead<br>mg/L |
|------------------------------------------|-----------------------------------|----------------------|
| Beverage Mugs, Cups, Pitchers            | Coffee Mugs                       | 0.5                  |
| Large Hollowware (excluding pitchers)    | Bowls ≥ 1.1 Liter (1.16 Quart)    | 1                    |
| Small Hollowware (excluding cups & mugs) | Bowls < 1.1 Liter<br>(1.16 Quart) | 2.0                  |
| Flat TABLEWARE                           | Plates, Saucers                   | 3.0                  |

- (B) Pewter alloys containing lead in excess of 0.05% may not be used as a FOOD-CONTACT SURFACE (*critical*). P
- (C) Solder and flux containing lead in excess of 0.2% may not be used as a FOOD-CONTACT SURFACE.

### 8-4-101.14 Copper: Use Limitation.

- (A) Except as specified in  $\P$  (B) of this section, copper and copper alloys such as brass may not be used in contact with a FOOD that has a pH below 6 such as vinegar, fruit JUICE, or wine or for a fitting or tubing installed between a backflow prevention device and a carbonator (*critical*).
- (B) Copper and copper alloys may be used in contact with beer brewing ingredients that have a pH below 6 in the pre-fermentation and fermentation steps of a beer brewing operation such as a brewpub or microbrewery.

#### 8-4-101.15 Galvanized Metal: Use Limitation.

Galvanized metal may not be used for utensils or FOOD-CONTACT SURFACES of EQUIPMENT that are used in contact with acidic FOOD (*critical*). P

### 8-4-101.16 Sponges: Use Limitation.

Sponges may not be used in contact with cleaned and SANITIZED or in-use FOOD-CONTACT SURFACES.

#### 8-4-101.17 Wood: Use Limitation.

- (A) Except as specified in  $\P$  (B), (C), and (D) of this section, wood and wood wicker may not be used as a FOOD-CONTACT SURFACE.
- (B) Hard maple or an equivalently hard, close-grained wood may be used for:
  - (1) Cutting boards; cutting blocks; bakers' tables; and UTENSILS such as rolling pins, doughnut dowels, salad bowls, and chopsticks; and
  - (2) Wooden paddles used in confectionery operations for pressure scraping kettles when manually preparing confections at a temperature of 110°C (230°F) or above.
- (C) Whole, uncut, raw fruits and vegetables, and nuts in the shell may be kept in the wood shipping containers in which they were received, until the fruits, vegetables, or nuts are used.
- (D) If the nature of the FOOD requires removal of rinds, peels, husks, or shells before consumption, the whole, uncut, raw FOOD may be kept in:
  - (1) Untreated wood containers; or

(2) Treated wood containers if the containers are treated with a preservative that meets the requirements specified in 21 CFR 178.3800 Preservatives for wood.

## 8-4-101.18 Nonstick Coatings: Use Limitation.

Multiuse KITCHENWARE such as frying pans, griddles, sauce pans, cookie sheets, and waffle bakers that have a perfluorocarbon resin coating shall be used with non-scoring or non-scratching UTENSILS and cleaning aids.

#### 8-4-101.19 Non-food Contact Surfaces.

NonFOOD-CONTACT SURFACES of EQUIPMENT that are exposed to splash, spillage, or other FOOD soiling or that require frequent cleaning shall be constructed of a CORROSION-RESISTANT, nonabsorbent, and SMOOTH material.

### 8-4-101.20 Enamelware: Use Limitation

Enamelware may not be used in contact with food. Enamelware is made with a base metal of steel, iron or aluminum that is covered with a glass-like material called porcelain enamel. It is also referred to as porcelain on steel, cast iron enamel, vitreous enamel, agateware, and graniteware.

# Single-Service and Single-Use

#### 8-4-102.11 Characteristics.

Materials that are used to make SINGLE-SERVICE and SINGLE-USE ARTICLES:

- (A) May not:
  - (1) Allow the migration of deleterious substances (critical), P or
  - (2) Impart colors, odors, or tastes to FOOD; and
- (B) Shall be:
  - (1) Safe (critical), P and
  - (2) Clean.

# 8-4-2 Design and Construction

**Subparts** 

#### 1. 8-4-201 Durability and Strength

- 2. 8-4-202 Clean-ability
- 3. 8-4-203 Accuracy
- **4. 8-4-204 Functionality**
- 5. 8-4-205 Acceptability

# **Durability and Strength**

#### 8-4-201.11 Equipment and Utensils.

EQUIPMENT and UTENSILS shall be designed and constructed to be durable and to retain their characteristic qualities under normal use conditions.

## 8-4-201.12 Food Temperature Measuring Devices.

FOOD TEMPERATURE MEASURING DEVICES may not have sensors or stems constructed of glass, except that thermometers with glass sensors or stems that are encased in a shatterproof coating such as candy thermometers may be used (*critical*). P

# Clean-ability

#### 8-4-202.11 Food-Contact Surfaces.

- (A) Multiuse FOOD-CONTACT SURFACES shall be:
  - (1) SMOOTH (critical); Pf
  - (2) Free of breaks, open seams, cracks, chips, inclusions, pits, and similar imperfections (*critical*); Pf
  - (3) Free of sharp internal angles, corners, and crevices (critical); Pf
  - (4) Finished to have SMOOTH welds and joints (critical); Pf and
  - (5) Except as specified in  $\P$  (B) of this section, accessible for cleaning and inspection by one of the following methods:
    - (a) Without being disassembled (critical), Pf
    - (b) By disassembling without the use of tools (critical), Pf or
    - (c) By easy disassembling with the use of handheld tools commonly available to maintenance and cleaning personnel such as screwdrivers, pliers, open-end wrenches, and Allen wrenches (*critical*). Pf
- (B) Subparagraph (A)(5) of this section does not apply to cooking oil storage tanks, distribution lines for cooking oils, or BEVERAGE syrup lines or tubes.

### 8-4-202.12 Clean in Place (CIP) Equipment.

- (A) CIP EQUIPMENT shall meet the characteristics specified under § 8-4-202.11 and shall be designed and constructed so that:
  - (1) Cleaning and SANITIZING solutions circulate throughout a fixed system and contact all interior FOOD-CONTACT SURFACES (*critical*), Pf and
  - (2) The system is self-draining or capable of being completely drained of cleaning and SANITIZING solutions; and
- (B) CIP EQUIPMENT that is not designed to be disassembled for cleaning shall be designed with inspection access points to ensure that all interior FOOD-CONTACT SURFACES throughout the fixed system are being effectively cleaned.

#### 8-4-202.13 "V" Threads: Use Limitation.

Except for hot oil cooking or filtering EQUIPMENT, "V" type threads may not be used on FOOD-CONTACT SURFACES.

#### 8-4-202.14 Hot Oil Filtering Equipment.

Hot oil filtering EQUIPMENT shall meet the characteristics specified under § 8-4-202.11 or § 8-4-202.12 and shall be readily accessible for filter replacement and cleaning of the filter.

# 8-4-202.15 Can Openers.

Cutting or piercing parts of can openers shall be readily removable for cleaning and for replacement.

# 8-4-202.16 Non-food Contact Surfaces.

NonFOOD-CONTACT SURFACES shall be free of unnecessary ledges, projections, and crevices, and designed and constructed to allow easy cleaning and to facilitate maintenance.

#### 8-4-202.17 Kick Plates: Removable.

Kick plates shall be designed so that the areas behind them are accessible for inspection and cleaning by being:

- (A) Removable by one of the methods specified under Subparagraph 8-4-202.11(A)(5) or capable of being rotated open; and
- (B) Removable or capable of being rotated open without unlocking EQUIPMENT doors.

#### 8-4-202.18 Ventilation Hood Systems: Filters.

Filters or other grease extracting EQUIPMENT shall be designed to be readily removable for cleaning and replacement if not designed to be cleaned in place.

#### Accuracy

#### 8-4-203.11 Temperature Measuring Devices: Food.

- (A) FOOD TEMPERATURE MEASURING DEVICES that are scaled only in Celsius or dually scaled in Celsius and Fahrenheit shall be accurate to  $\pm 1$  °C in the intended range of use (*critical*). Pf
- (B) FOOD TEMPERATURE MEASURING DEVICES that are scaled only in Fahrenheit shall be accurate to  $\pm 2^{\circ}$ F in the intended range of use (*critical*). Pf

### 8-4-203.12 Temperature Measuring Devices: Ambient Air and Water.

- (A) Ambient air and water TEMPERATURE MEASURING DEVICES that are scaled in Celsius or dually scaled in Celsius and Fahrenheit shall be designed to be easily readable and accurate to  $\pm 1.5^{\circ}$ C in the intended range of use (*critical*). Pf
- (B) Ambient air and water TEMPERATURE MEASURING DEVICES that are scaled only in Fahrenheit shall be accurate to  $\pm 3^{\circ}$ F in the intended range of use (*critical*). Pf

#### 8-4-203.13 Pressure Measuring Devices: Mechanical Warewashing Equipment.

Pressure measuring devices that display the pressures in the water supply line for the fresh hot water SANITIZING rinse shall have increments of 7 kilopascals (1 pound per square inch) or smaller and shall be accurate to  $\pm 14$  kilopascals( $\pm 2$  pounds per square inch) in the range indicated on the manufacturer's data plate.

#### **Functionality**

#### 8-4-204.11 Ventilation Hood Systems: Drip Prevention.

Exhaust ventilation hood systems in FOOD preparation and WAREWASHING areas including components such as hoods, fans, guards, and ducting shall be designed to prevent grease or condensation from draining or dripping onto FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES.

#### 8-4-204.12 Equipment Openings: Closures and Deflectors.

(A) A cover or lid for EQUIPMENT shall overlap the opening and be sloped to drain.

- (B) An opening located within the top of a unit of EQUIPMENT that is designed for use with a cover or lid shall be flanged upward at least 5 millimeters (two-tenths of an inch).
- (C) Except as specified under  $\P$  (D) of this section, fixed piping, TEMPERATURE MEASURING DEVICES, rotary shafts, and other parts extending into equipment shall be provided with a watertight joint at the point where the item enters the EQUIPMENT.
- (D) If a watertight joint is not provided:
  - (1) The piping, TEMPERATURE MEASURING DEVICES, rotary shafts, and other parts extending through the openings shall be equipped with an apron designed to deflect condensation, drips, and dust from openings into the FOOD; and
  - (2) The opening shall be flanged as specified under  $\P$  (B) of this section.

# 8-4-204.13 Dispensing Equipment: Protection of Equipment and Food.

In EQUIPMENT that dispenses or vends liquid FOOD or ice in unPACKAGED form:

- (A) The delivery tube, chute, orifice, and splash surfaces directly above the container receiving the FOOD shall be designed in a manner, such as with barriers, baffles, or drip aprons, so that drips from condensation and splash are diverted from the opening of the container receiving the FOOD;
- (B) The delivery tube, chute, and orifice shall be protected from manual contact such as by being recessed;
- (C) The delivery tube or chute and orifice of EQUIPMENT used to vend liquid FOOD or ice in unPACKAGED form to self-service CONSUMERS shall be designed so that the delivery tube or chute and orifice are protected from dust, insects, rodents, and other contamination by a self-closing door if the EQUIPMENT is:
  - 1. Located in an outside area that does not otherwise afford the protection of an enclosure against the rain, windblown debris, insects, rodents, and other contaminants that are present in the environment, or
  - 2. Available for self-service during hours when it is not under the full-time supervision of a FOOD EMPLOYEE; and
- (D) The dispensing EQUIPMENT actuating lever or mechanism and filling device of CONSUMER self-service BEVERAGE dispensing EQUIPMENT shall be designed to prevent contact with the lip-contact surface of glasses or cups that are refilled.
- (E) Dispensing EQUIPMENT in which potentially HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) in a homogenous liquid form is

maintained outside of the temperature control requirements as specified under  $\P$  8-3-501.16(A) shall:

- 1. Be specifically designed and equipped to maintain the commercial sterility of aseptically PACKAGED FOOD in a homogenous liquid form for a specified duration from the time of opening the PACKAGING within the EQUIPMENT (critical); P and
- 2. Conform to the requirements for this EQUIPMENT as specified in National Sanitation Foundation (NSF) or American National Standards Institute (ANSI) 18-2006- Manual Food and Beverage Dispensing equipment (*critical*). P

# 8-4-204.14 Vending Machine, Vending Stage Closure

The dispensing compartment of a VENDING MACHINE including a machine that is designed to vend prepackaged snack food that is not potentially hazardous (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) such as chips, party mixes, and pretzels shall be equipped with a self-closing door or cover if the machine is:

- (A) Located in an outside area that does not otherwise afford the protection of an enclosure against the rain, windblown debris, insects, rodents, and other contaminants that are present in the environment; or
- (B) Available for self-service during hours when it is not under the full-time supervision of a FOOD EMPLOYEE.

#### 8-4-204.15 Bearings and Gear Boxes: Leak-proof.

EQUIPMENT containing bearings and gears that require lubricants shall be designed and constructed so that the lubricant cannot leak, drip, or be forced into FOOD or onto FOOD-CONTACT SURFACES.

# 8-4-204.16 Beverage Tubing: Separation.

Except for cold plates that are constructed integrally with an ice storage bin, BEVERAGE tubing and cold-plate BEVERAGE cooling devices may not be installed in contact with stored ice.

#### 8-4-204.17 Ice Units: Separation of Drains.

Liquid waste drain lines may not pass through an ice machine or ice storage bin.

### 8-4-204.18 Condenser Unit: Separation.

If a condenser unit is an integral component of EQUIPMENT, the condenser unit shall be separated from the FOOD and FOOD storage space by a dustproof barrier.

# 8-4-204.19 Can Openers on Vending Machines.

Cutting or piercing parts of can openers on VENDING MACHINES shall be protected from manual contact, dust, insects, rodents, and other contamination.

#### 8-4-204.110 Molluscan Shellfish Tanks.

- (A) Except as specified under  $\P$  (B) of this section, MOLLUSCAN SHELLFISH life support system display tanks may not be used to store or display shellfish that are offered for human consumption and shall be conspicuously marked so that it is obvious to the CONSUMER that the shellfish are for display only (*critical*). P
- (B) MOLLUSCAN SHELLFISH life-support system display tanks that are used to store or display shellfish that are offered for human consumption shall be operated and maintained in accordance with a variance granted by the REGULATORY AUTHORITY DISTRICT as specified in § 8-8-103.10 and a HACCP PLAN that (critical): Pf
  - (1) Is submitted by the PERMIT HOLDER and APPROVED as specified under § 8-8-103.11 (critical); Pf and
  - (2) Ensures that:
    - (a) Water used with FISH other than MOLLUSCAN SHELLFISH does not flow into the molluscan tank (critical),  $^{Pf}$
    - (b) The safety and quality of the shellfish as they were received are not compromised by the use of the tank (critical), Pf and
    - (c) The identity of the source of the SHELLSTOCK is retained as specified under  $\S$  8-3-203.12 (critical). Pf

#### 8-4-204.111 Vending Machines: Automatic Shutoff.

- (A) A machine vending POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall have an automatic control that prevents the machine from vending FOOD:
  - (1) If there is a power failure, mechanical failure, or other condition that results in an internal machine temperature that cannot maintain FOOD temperatures as specified under Chapter 8-3 (critical); P and
  - (2) If a condition specified under Subparagraph (A)(1) of this section occurs, until the machine is serviced and restocked with FOOD that has been maintained at temperatures specified under Chapter 8-3 (critical). P

- (B) When the automatic shutoff within a machine vending POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) is activated:
  - (1) In a refrigerated vending machine, the ambient air temperature may not exceed 5°C (41°F) for more than 30 minutes immediately after the machine is filled, serviced, or restocked (*critical*); P or
  - (2) In a hot holding vending machine, the ambient air temperature may not be less than 57°C (135°F) for more than 120 minutes immediately after the machine is filled, serviced, or restocked (*critical*). P

### 8-4-204.112 Temperature Measuring Devices.

- (A) In a mechanically refrigerated or hot FOOD storage unit, the sensor of a TEMPERATURE MEASURING DEVICE shall be located to measure the air temperature or a simulated product temperature in the warmest part of a mechanically refrigerated unit and in the coolest part of a hot FOOD storage unit.
- (B) Except as specified in  $\P$  (C) of this section, cold or hot holding EQUIPMENT used for POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be designed to include and shall be equipped with at least one integral or permanently affixed indicating thermometer, accurate to  $\pm 3^{\circ}$ F or  $\pm 1.5^{\circ}$ C, located to measure the air temperature in the warmest part of the facility and located to be easily readable.
- (C) Paragraph (B) of this section does not apply to EQUIPMENT for which the placement of a TEMPERATURE MEASURING DEVICE is not a practical means for measuring the ambient air surrounding the FOOD because of the design, type, and use of the EQUIPMENT, such as calrod units, heat lamps, cold plates, bainmaries, steam tables, insulated FOOD transport containers, and salad bars.
- (D) TEMPERATURE MEASURING DEVICES shall be designed to be easily readable.
- (E) FOOD TEMPERATURE MEASURING DEVICES and water TEMPERATURE MEASURING DEVICES on WAREWASHING machines shall have a numerical scale, printed record, or digital readout in increments no greater than 1°C or 2°F in the intended range of use (critical). Pf

# 8-4-204.113 Warewashing Machine: Data Plate Operating Specifications.

A WAREWASHING machine shall be provided with an easily accessible and readable data plate affixed to the machine by the manufacturer that indicates the machine's design and operation specifications including the:

(A) Temperatures required for washing, rinsing, and SANITIZING;

- (B) Pressure required for the fresh water SANITIZING rinse unless the machine is designed to use only a pumped SANITIZING rinse; and
- (C) Conveyor speed for conveyor machines or cycle time for stationary rack machines.

### 8-4-204.114 Warewashing Machines: Internal Baffles.

WAREWASHING machine wash and rinse tanks shall be equipped with baffles, curtains, or other means to minimize internal cross contamination of the solutions in wash and rinse tanks.

#### 8-4-204.115 Warewashing Machines: Temperature Measuring Devices.

A WAREWASHING machine shall be equipped with a TEMPERATURE MEASURING DEVICE that indicates the temperature of the water:

- (A) In each wash and rinse tank (critical); Pf and
- (B) As the water enters the hot water SANITIZING final rinse manifold or in the chemical SANITIZING solution tank (*critical*). Pf

# 8-4-204.116 Manual Warewashing Equipment: Heaters and Baskets.

If hot water is used for SANITIZATION in manual WAREWASHING operations, the SANITIZING compartment of the sink shall be:

- (A) Designed with an integral heating device that is capable of maintaining water at a temperature not less than 77°C (171°F) (critical); Pf and
- (B) Provided with a rack or basket to allow complete immersion of EQUIPMENT and UTENSILS into the hot water *(critical)*. Pf

# 8-4-204.117 Warewashing Machines: Automatic Dispensing of Detergents and Sanitizers.

A WAREWASHING machine that is installed after adoption of this Code by the REGULATORY AUTHORITY DISTRICT, shall be equipped to:

- (A) Automatically dispense detergents and SANITIZERS (critical); Pf and
- (B) Incorporate a visual means to verify that detergents and SANITIZERS are delivered or a visual or audible alarm to signal if the detergents and SANITIZERS are not delivered to the respective washing and SANITIZING cycles (*critical*). Pf

#### 8-4-204.118 Warewashing Machines: Flow Pressure Device.

- (A) WAREWASHING machines that provide a fresh hot water SANITIZING rinse shall be equipped with a pressure gauge or similar device such as a transducer that measures and displays the water pressure in the supply line immediately before entering the WAREWASHING machine; and
- (B) If the flow pressure measuring device is upstream of the fresh hot water SANITIZING rinse control valve, the device shall be mounted in a 6.4 millimeter or one-fourth inch Iron Pipe Size (IPS) valve.
- (C) Paragraphs (A) and (B) of this section do not apply to a machine that uses only a pumped or recirculated SANITIZING rinse.

#### 8-4-204.119 Warewashing Sinks and Drainboards: Self-Draining.

Sinks and drainboards of WAREWASHING sinks and machines shall be self-draining.

### 8-4-204.120 Equipment, Drainage.

EQUIPMENT compartments that are subject to accumulation of moisture due to conditions such as condensation, FOOD or BEVERAGE drip, or water from melting ice shall be sloped to an outlet that allows complete draining.

## 8-4-204.121 Vending Machines: Liquid Waste Products.

- (A) VENDING MACHINES designed to store BEVERAGES that are PACKAGED in containers made from paper products shall be equipped with diversion devices and retention pans or drains for container leakage.
- (B) Vending machines that dispense liquid FOOD in bulk shall be:
  - (1) Provided with an internally mounted waste receptacle for the collection of drip, spillage, overflow, or other internal wastes; and
  - (2) Equipped with an automatic shutoff device that will place the machine out of operation before the waste receptacle overflows.
- (C) Shutoff devices specified under Subparagraph (B)(2) of this section shall prevent water or liquid FOOD from continuously running if there is a failure of a flow control device in the water or liquid FOOD system or waste accumulation that could lead to overflow of the waste receptacle.

#### 8-4-204.122 Case Lot Handling Apparatuses: Move-ability.

Apparatuses, such as dollies, pallets, racks, and skids used to store and transport large quantities of PACKAGED FOODS received from a supplier in a cased or overwrapped lot,

shall be designed to be moved by hand or by conveniently available apparatuses such as hand trucks and forklifts.

# 8-4-204.123 Vending Machine Doors and Openings.

- (A) VENDING MACHINE doors and access opening covers to FOOD and container storage spaces shall be tight-fitting so that the space along the entire interface between the doors or covers and the cabinet of the machine, if the doors or covers are in a closed position, is no greater than 1.5 millimeters or one-sixteenth inch by:
  - (1) Being covered with louvers, screens, or materials that provide an equivalent opening of not greater than 1.5 millimeters or one-sixteenth inch. Screening of 12 or more mesh to 2.5 centimeters (12 mesh to 1 inch) meets this requirement;
  - (2) Effectively gasketed;
  - (3) Interface surfaces that are at least 13 millimeters or one-half inch wide; or
  - (4) Jambs or surfaces used to form an L-shaped entry path to the interface.
- (B) VENDING MACHINE service connection openings through an exterior wall of a machine shall be closed by sealants, clamps, or grommets so that the openings are no larger than 1.5 millimeters or one-sixteenth inch.

### **Acceptability**

# 8-4-205.10 Minimum Standards for Food Equipment: Certification and Classification.

FOOD EQUIPMENT that is certified or classified for sanitation by an American National Standards Institute (ANSI) accredited certification program is deemed to comply with Parts 4-1 and 4-2 of this chapter. Minimum standards of design and construction for sanitation of food equipment are formulated and published by the National Sanitation Foundation (NSF), or by other accredited associations, such as the American National Standards Institute (ANSI), Baking Industry Sanitation Standards Committee (BISSC), ETL Testing Laboratories, or Underwriters Laboratories, Inc. (UL) and only that equipment which is designed and constructed in accordance with the applicable Standards may be installed as new or replacement equipment

# 8-4-3 Numbers and Capacities

#### **Subparts**

- 1. 8-4-301 Equipment
- 2. 8-4-302 Utensils, Temperature Measuring Devices, and Testing Devices

# **Equipment**

#### 8-4-301.11 Cooling, Heating, and Holding Capacities.

EQUIPMENT for cooling and heating FOOD, and holding cold and hot FOOD, shall be sufficient in number and capacity to provide FOOD temperatures as specified under Chapter Section 3 (*critical*). Pf

#### 8-4-301.12 Manual Warewashing: Sink Compartment Requirements.

- (A) Except as specified in  $\P$  (C) of this section, a sink with at least 3 compartments shall be provided for manually washing, rinsing, and SANITIZING EQUIPMENT and UTENSILS (*critical*). Pf
- (B) Sink compartments shall be large enough to accommodate immersion of the largest EQUIPMENT and UTENSILS. If EQUIPMENT or UTENSILS are too large for the WAREWASHING sink, a WAREWASHING machine or alternative EQUIPMENT as specified in  $\P$  (C) of this section shall be used (*critical*). Pf
- (C) Alternative manual WAREWASHING EQUIPMENT may be used when there are special cleaning needs or constraints and its use is APPROVED. Alternative manual WAREWASHING EQUIPMENT may include:
  - (1) High-pressure detergent sprayers;
  - (2) Low- or line-pressure spray detergent foamers;
  - (3) Other task-specific cleaning EQUIPMENT;
  - (4) Brushes or other implements;
  - (5) 2-compartment sinks as specified under  $\P$  (D) and (E) of this section; or
  - (6) Receptacles that substitute for the compartments of a multi-compartment sink.
- (D) Before a 2-compartment sink is used:
  - (1) The PERMIT HOLDER shall have its use APPROVED; and
  - (2) The PERMIT HOLDER shall limit the number of KITCHENWARE items cleaned and SANITIZED in the 2-compartment sink, and shall limit WAREWASHING to batch operations for cleaning kitchenware such as between cutting one type of raw MEAT and another or cleanup at the end of a shift, and shall:

- (a) Make up the cleaning and SANITIZING solutions immediately before use and drain them immediately after use, and
- (b) Use a detergent-SANITIZER to SANITIZE and apply the detergent-sanitizer in accordance with the manufacturer's label instructions and as specified under § 8-4-501.115, or
- (c) Use a hot water SANITIZATION immersion step as specified under  $\P$  8-4-603.16(C).
- (E) A 2-compartment sink may not be used for WAREWASHING operations where cleaning and SANITIZING solutions are used for a continuous or intermittent flow of KITCHENWARE or TABLEWARE in an ongoing WAREWASHING process.

#### 8-4-301.13 Drainboards.

Drainboards, UTENSIL racks, or tables large enough to accommodate all soiled and cleaned items that may accumulate during hours of operation shall be provided for necessary UTENSIL holding before cleaning and after SANITIZING.

# 8-4-301.14 Ventilation Hood Systems: Adequacy.

Ventilation hood systems and devices shall be sufficient in number and capacity to prevent grease or condensation from collecting on walls and ceilings.

### 8-4-301.15 Clothes Washers and Dryers.

- (A) Except as specified in  $\P$  (B) of this section, if work clothes or LINENS are laundered on the PREMISES, a mechanical clothes washer and dryer shall be provided and used.
- (B) If on-PREMISES laundering is limited to wiping cloths intended to be used moist, or wiping cloths are air-dried as specified under § 8-4-901.12, a mechanical clothes washer and dryer need not be provided.

# Utensils, Temperature Measuring Devices, and Testing Devices

#### 8-4-302.11 Utensils: Consumer Self-Service.

A FOOD dispensing UTENSIL shall be available for each container displayed at a CONSUMER self-service unit such as a buffet or salad bar *(critical)*. Pf

#### 8-4-302.12 Food Temperature Measuring Devices.

- (A) FOOD TEMPERATURE MEASURING DEVICES shall be provided and readily accessible for use in ensuring attainment and maintenance of FOOD temperatures as specified under Chapter 8-3 (critical). Pf
- (B) A TEMPERATURE MEASURING DEVICE with a suitable small-diameter probe that is designed to measure the temperature of thin masses shall be provided and readily accessible to accurately measure the temperature in thin FOODS such as MEAT patties and FISH filets (*critical*). Pf

# 8-4-302.13 Temperature Measuring Devices, Manual and Mechanical Warewashing.

- (A) In manual WAREWASHING operations, a TEMPERATURE MEASURING DEVICE shall be provided and readily accessible for frequently measuring the washing and SANITIZING temperatures (*critical*). Pf
- (B) In hot water mechanical WAREWASHING operations, an irreversible registering temperature indicator shall be provided and readily accessible for measuring the UTENSIL surface temperature (*critical*). Pf

#### 8-4-302.14 Sanitizing Solutions: Testing Devices.

A test kit or other device that accurately measures the concentration in mg/L of SANITIZING solutions shall be provided (*critical*). Pf

# 8-4-4 Location and Installation

#### **Subparts**

- 1. 8-4-401 Location
- 2. 8-4-402 Installation

#### Location

# 8-4-401.11 Equipment, Clothes Washers and Dryers, and Storage Cabinets, Contamination Prevention.

- (A) Except as specified in  $\P$  (B) of this section, EQUIPMENT, a cabinet used for the storage of FOOD, or a cabinet that is used to store cleaned and SANITIZED EQUIPMENT, UTENSILS, laundered LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES may not be located:
  - (1) In locker rooms;
  - (2) In toilet rooms;
  - (3) In garbage rooms;

- (4) In mechanical rooms;
- (5) Under sewer lines that are not shielded to intercept potential drips;
- (6) Under leaking water lines including leaking automatic fire sprinkler heads or under lines on which water has condensed;
- (7) Under open stairwells; or
- (8) Under other sources of contamination.
- (B) A storage cabinet used for LINENS or SINGLE-SERVICE or SINGLE-USE ARTICLES may be stored in a locker room.
- (C) If a mechanical clothes washer or dryer is provided it shall be located so that the washer or dryer is protected from contamination and only where there is no exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.

#### Installation

## 8-4-402.11 Fixed Equipment: Spacing or Sealing.

- (A) EQUIPMENT that is fixed because it is not EASILY MOVABLE shall be installed so that it is:
  - (1) Spaced to allow access for cleaning along the sides, behind, and above the EQUIPMENT;
  - (2) Spaced from adjoining EQUIPMENT, walls, and ceilings a distance of not more than 1 millimeter or one thirty-second inch; or
  - (3) SEALED to adjoining EQUIPMENT or walls, if the EQUIPMENT is exposed to spillage or seepage.
- (B) COUNTER-MOUNTED EQUIPMENT that is not EASILY MOVABLE shall be installed to allow cleaning of the EQUIPMENT and areas underneath and around the EQUIPMENT by being:
  - (1) SEALED; or
  - (2) Elevated on legs as specified under ¶ 8-4-402.12(D).

#### 8-4-402.12 Fixed Equipment: Elevation or Sealing.

- (A) Except as specified in  $\P\P$  (B) and (C) of this section, floor-mounted EQUIPMENT that is not EASILY MOVABLE shall be SEALED to the floor or elevated on legs that provide at least a 15 centimeter (6 inch) clearance between the floor and the EQUIPMENT.
- (B) If no part of the floor under the floor-mounted EQUIPMENT is more than 15 centimeters (6 inches) from the point of cleaning access, the clearance space may be only 10 centimeters (4 inches).
- (C) This section does not apply to display shelving units, display refrigeration units, and display freezer units located in the CONSUMER shopping areas of a retail FOOD store, if the floor under the units is maintained clean.
- (D) Except as specified in  $\P$  (E) of this section, COUNTER-MOUNTED EQUIPMENT that is not EASILY MOVABLE shall be elevated on legs that provide at least a 10 centimeter (4 inch) clearance between the table and the EQUIPMENT.
- (E) The clearance space between the table and COUNTER-MOUNTED EQUIPMENT may be:
  - (1) 7.5 centimeters (3 inches) if the horizontal distance of the table top under the EQUIPMENT is no more than 50 centimeters (20 inches) from the point of access for cleaning; or
  - (2) 5 centimeters (2 inches) if the horizontal distance of the table top under the EQUIPMENT is no more than 7.5 centimeters (3 inches) from the point of access for cleaning.

# 8-4-5 Maintenance and Operation

#### Subparts

- 1. 8-4-501 Equipment
- 2. 8-4-502 Utensils and Temperature and Pressure Measuring Devices

# **Equipment**

#### 8-4-501.11 Good Repair and Proper Adjustment.

- (A) EQUIPMENT shall be maintained in a state of repair and condition that meets the requirements specified under Parts 8-4-1 and 8-4-2.
- (B) EQUIPMENT components such as doors, seals, hinges, fasteners, and kick plates shall be kept intact, tight, and adjusted in accordance with manufacturer's specifications.

(C) Cutting or piercing parts of can openers shall be kept sharp to minimize the creation of metal fragments that can contaminate FOOD when the container is opened.

# 8-4-501.12 Cutting Surfaces.

Surfaces such as cutting blocks and boards that are subject to scratching and scoring shall be resurfaced if they can no longer be effectively cleaned and SANITIZED, or discarded if they are not capable of being resurfaced.

#### 8-4-501.13 Microwave Ovens.

Microwave ovens shall meet the safety standards specified in 21 CFR 1030.10 Microwave ovens.

#### 8-4-501.14 Warewashing Equipment: Cleaning Frequency.

A WAREWASHING machine; the compartments of sinks, basins, or other receptacles used for washing and rinsing EQUIPMENT, UTENSILS, or raw FOODS, or laundering wiping cloths; and drainboards or other EQUIPMENT used to substitute for drainboards as specified under § 8-4-301.13 shall be cleaned:

- (A) Before use;
- (B) Throughout the day at a frequency necessary to prevent recontamination of EQUIPMENT and UTENSILS and to ensure that the EQUIPMENT performs its intended function; and
- (C) If used, at least every 24 hours.

#### 8-4-501.15 Warewashing Machines: Manufacturers' Operating Instructions.

- (A) A WAREWASHING machine and its auxiliary components shall be operated in accordance with the machine's data plate and other manufacturer's instructions.
- (B) A WAREWASHING machine's conveyor speed or automatic cycle times shall be maintained accurately timed in accordance with manufacturer's specifications.

## 8-4-501.16 Warewashing Sinks: Use Limitation.

- (A) A WAREWASHING sink may not be used for handwashing, food preparation, or as a utility sink as specified under § 8-2-301.15 (critical) <sup>P</sup>.
- (B) If a WAREWASHING sink is used to wash wiping cloths, wash produce, or thaw food, the sink shall be cleaned as specified under § 8-4-501.14 before and after each time it is used to wash wiping or wash produce or thaw food

.-Sinks used to wash or thaw FOOD shall be SANITIZED as specified under 8-4-7 before and after using the sink to wash produce or thaw food.

# 8-4-501.17 Warewashing Equipment: Cleaning Agents.

When used for WAREWASHING, the wash compartment of a sink, mechanical warewasher, or wash receptacle of alternative manual WAREWASHING EQUIPMENT as specified in ¶ 8-4-301.12(C), shall contain a wash solution of soap, detergent, acid cleaner, alkaline cleaner, degreaser, abrasive cleaner, or other cleaning agent according to the cleaning agent manufacturer's label instructions (*critical*). Pf

### 8-4-501.18 Warewashing Equipment: Clean Solutions.

The wash, rinse, and SANITIZE solutions shall be maintained clean.

#### 8-4-501.19 Manual Warewashing Equipment: Wash Solution Temperature.

The temperature of the wash solution in manual WAREWASHING EQUIPMENT shall be maintained at not less than 43°C (110°F) or the temperature specified on the cleaning agent manufacturer's label instructions (*critical*). Pf

# 8-4-501.110 Mechanical Warewashing Equipment: Wash Solution Temperature.

- (A) The temperature of the wash solution in spray type WAREWASHERS that use hot water to SANITIZE may not be less than:
  - (1) For a stationary rack, single temperature machine, 74°C (165°F) (critical); Pf
  - (2) For a stationary rack, dual temperature machine, 66°C (150°F) (critical); Pf
  - (3) For a single tank, conveyor, dual temperature machine, 71°C (160°F) (critical); Pf or
  - (4) For a multi-tank, conveyor, multi temperature machine, 66°C (150°F) (critical). Pf
- (B) The temperature of the wash solution in spray-type WAREWASHERS that use chemicals to SANITIZE may not be less than  $49^{\circ}$ C ( $120^{\circ}$ F) (*critical*). Pf

# 8-4-501.111 Manual Warewashing Equipment: Hot Water Sanitization Temperatures.

If immersion in hot water is used for SANITIZING in a manual operation, the temperature of the water shall be maintained at 77°C (171°F) or above (critical). P

# 8-4-501.112 Mechanical Warewashing Equipment: Hot Water Sanitization Temperatures.

- (A) Except as specified in  $\P$  (B) of this section, in a mechanical operation, the temperature of the fresh hot water SANITIZING rinse as it enters the manifold may not be more than 90°C (194°F), or less than (*critical*): Pf
  - (1) For a stationary rack, single temperature machine, 74°C (165°F) (critical): Pf or
  - (2) For all other machines, 82°C (180°F) (critical). Pf
- (B) The maximum temperature specified under  $\P$  (A) of this section, does not apply to the high pressure and temperature systems with wand-type, hand-held, spraying devices used for the in-place cleaning and SANITIZING of EQUIPMENT such as meat saws.

# 8-4-501.113 Mechanical Warewashing Equipment: Sanitization Pressure.

The flow pressure of the fresh hot water SANITIZING rinse in a WAREWASHING machine, as measured in the water line immediately downstream or upstream from the fresh hot water SANITIZING rinse control value, shall be within the range specified on the machine manufacturer's data plate and may not be less than 35 kilopascals (5 pounds per square inch) or more than 200 kilopascals (30 pounds per square inch).

# 8-4-501.114 Manual and Mechanical Warewashing Equipment: Chemical Sanitization - Temperature, pH, Concentration, and Hardness.

A chemical SANITIZER used in a SANITIZING solution for a manual or mechanical operation at contact times specified under  $\P$  8-4-703.11(C) shall meet the criteria specified under  $\S$  8-7-204.11 SANITIZERS, Criteria, shall be used in accordance with the EPA-registered label use instructions, and shall be used as follows (*critical*)  $^{P}$ :

(A) A chlorine solution shall have a minimum temperature based on the concentration and PH of the solution as listed in the following chart *(critical)*; P

| <b>Concentration Range</b> | Minimum Temperature      |                         |
|----------------------------|--------------------------|-------------------------|
| mg/L                       | pH 10 or less<br>°C (°F) | pH 8 or less<br>°C (°F) |
| 25 - 49                    | 49 (120)                 | 49 (120)                |
| 50-99                      | 38 (100)                 | 24 ( 75)                |

| 100 | 13 ( 55) | 13 ( 55) |
|-----|----------|----------|
|-----|----------|----------|

- (B) An iodine solution shall have a:
  - (1) Minimum temperature of 20°C (68°F) (critical), P
  - (2) PH of 5.0 or less or a PH no higher than the level for which the manufacturer specifies the solution is effective (*critical*), P and
  - (3) Concentration between 12.5 mg/L and 25 mg/L (critical); P
- (C) A quaternary ammonium compound solution shall:
  - (1) Have a minimum temperature of 24°C (75°F) (critical), P
  - (2) Have a concentration as specified under § 8-7-204.11 and as indicated by the manufacturer's use directions included in the labeling *(critical)*, <sup>P</sup> and
  - (3) Be used only in water with 500 mg/L hardness or less or in water having a hardness no greater than specified by the EPA-registered label use instructions (critical); P
- (D) If another solution of a chemical specified under  $\P\P$  (A) (C) of this section is used, the PERMIT HOLDER shall demonstrate to the REGULATORY AUTHORITY DISTRICT that the solution achieves SANITIZATION and the use of the solution shall be APPROVED (critical); P or
- (E) If a chemical SANITIZER other than chlorine, iodine, or a quaternary ammonium compound is used, it shall be applied in accordance with the EPA-registered label use instructions (*critical*). P

# 8-4-501.115 Manual Warewashing Equipment: Chemical Sanitization Using Detergent-Sanitizers.

If a detergent-SANITIZER is used to SANITIZE in a cleaning and SANITIZING procedure where there is no distinct water rinse between the washing and SANITIZING steps, the agent applied in the SANITIZING step shall be the same detergent-SANITIZER that is used in the washing step.

# 8-4-501.116 Warewashing Equipment: Determining Chemical Sanitizer Concentration.

Concentration of the SANITIZING solution shall be accurately determined by using a test kit or other device (*critical*). Pf

# Utensils and Temperature and Pressure Measuring Devices

#### 8-4-502.11 Good Repair and Calibration.

- (A) UTENSILS shall be maintained in a state of repair or condition that complies with the requirements specified under Sections 8-4-1 and 8-4-2 or shall be discarded.
- (B) FOOD TEMPERATURE MEASURING DEVICES shall be calibrated in accordance with manufacturer's specifications as necessary to ensure their accuracy (*critical*). Pf
- (C) Ambient air temperature, water pressure, and water TEMPERATURE MEASURING DEVICES shall be maintained in good repair and be accurate within the intended range of use.

### 8-4-502.12 Single-Service and Single-Use Articles: Required Use.

A FOOD ESTABLISHMENT without facilities specified under Sections 8-4-6 and 8-4-7 for cleaning and SANITIZING KITCHENWARE and TABLEWARE shall provide only SINGLE-USE KITCHENWARE, SINGLE-SERVICE ARTICLES, and SINGLE-USE ARTICLES for use by FOOD EMPLOYEES and SINGLE-SERVICE ARTICLES for use by CONSUMERS (*critical*). P

## 8-4-502.13 Single-Service and Single-Use Articles: Use Limitation.

- (A) SINGLE-SERVICE and SINGLE-USE ARTICLES may not be reused.
- (B) The bulk milk container dispensing tube shall be cut on the diagonal leaving no more than one inch protruding from the chilled dispensing head.

#### 8-4-502.14 Shells: Use Limitation.

Mollusk and crustacean shells may not be used more than once as serving containers.

# 8-4-6 Cleaning of Equipment and Utensils

#### **Subparts**

- 1. 8-4-601 Objective
- 2. 8-4-602 Frequency
- 3. 8-4-603 Methods

#### **Objective**

# 8-4-601.11 Equipment, Food-Contact Surfaces, Nonfood-Contact Surfaces, and Utensils.

- (A) EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be clean to sight and touch (*critical*). Pf
- (B) The FOOD-CONTACT SURFACES of cooking EQUIPMENT and pans shall be kept free of encrusted grease deposits and other soil accumulations.
- (C) NonFOOD-CONTACT SURFACES of EQUIPMENT shall be kept free of an accumulation of dust, dirt, FOOD residue, and other debris.

# Frequency

#### 8-4-602.11 Equipment Food-Contact Surfaces and Utensils.

- (A) EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be cleaned:
  - (1) Except as specified in  $\P$  (B) of this section, before each use with a different type of raw animal FOOD such as beef, FISH, lamb, pork, or POULTRY (*critical*);
  - (2) Each time there is a change from working with raw FOODS to working with READY-TO-EAT FOODS (*critical*); P
  - (3) Between uses with raw fruits and vegetables and with POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) (critical);
  - (4) Before using or storing a FOOD TEMPERATURE MEASURING DEVICE *(critical)*; <sup>P</sup> and
  - (5) At any time during the operation when contamination may have occurred (*critical*). P
- (B) Subparagraph (A)(1) of this section does not apply if the FOOD-CONTACT SURFACE or UTENSIL is in contact with a succession of different types of raw meat and poultry each requiring a higher cooking temperature as specified under § 8-3-401.11 than the previous type.
- (C) Except as specified in  $\P$  (D) of this section, if used with POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD), EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be cleaned throughout the day at least every 4 hours (*critical*). P
- (D) Surfaces of UTENSILS and EQUIPMENT contacting POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) may be cleaned less frequently than every 4 hours if:

- (1) In storage, containers of POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) and their contents are maintained at temperatures specified under Section 8-3 and the containers are cleaned when they are empty;
- (2) UTENSILS and EQUIPMENT are used to prepare FOOD in a refrigerated room or area that is maintained at one of the temperatures in the following chart and:
  - (a) The UTENSILS and EQUIPMENT are cleaned at the frequency in the following chart that corresponds to the temperature; and

| Temperature                        | Cleaning Frequency |
|------------------------------------|--------------------|
| 5.0°C (41°F) or less               | 24 hours           |
| >5.0°C - 7.2°C<br>(>41°F - 45°F)   | 20 hours           |
| >7.2°C - 10.0°C<br>(>45°F - 50°F)  | 16 hours           |
| >10.0°C - 12.8°C<br>(>50°F - 55°F) | 10 hours           |

- (b) The cleaning frequency based on the ambient temperature of the refrigerated room or area is documented in the FOOD ESTABLISHMENT.
- (3) Containers in serving situations such as salad bars, delis, and cafeteria lines hold READY-TO-EAT POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) that is maintained at the temperatures specified under Section 8-3, are intermittently combined with additional supplies of the same food that is at the required temperature, and the containers are cleaned at least every 24 hours;
- (4) TEMPERATURE MEASURING DEVICES are maintained in contact with FOOD, such as when left in a container of deli FOOD or in a roast, held at temperatures specified under Chapter Section 8-3;
- (5) EQUIPMENT is used for storage of PACKAGED CONSUMER OR UNPACKAGED FOOD such as a reach-in refrigerator and the EQUIPMENT is cleaned at a frequency necessary to preclude accumulation of soil residues;
- (6) The cleaning schedule is APPROVED based on consideration of:

- (a) Characteristics of the EQUIPMENT and its use,
- (b) The type of FOOD involved,
- (c) The amount of FOOD residue accumulation, and
- (d) The temperature at which the FOOD is maintained during the operation and the potential for the rapid and progressive multiplication of pathogenic or toxigenic microorganisms that are capable of causing foodborne disease; or
- (7) In-use UTENSILS are intermittently stored in a container of water in which the water is maintained at 57°C (135°F) or more and the UTENSILS and container are cleaned at least every 24 hours or at a frequency necessary to preclude accumulation of soil residues.
- (E) Except when dry cleaning methods are used as specified under §8-4-603.11, surfaces of UTENSILS and EQUIPMENT contacting FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) shall be cleaned:
  - (1) At any time when contamination may have occurred;
  - (2) At least every 24 hours for iced tea dispensers and CONSUMER self-service UTENSILS such as tongs, scoops, or ladles;
  - (3) Before restocking CONSUMER self-service EQUIPMENT and UTENSILS such as condiment dispensers and display containers; and
  - (4) In EQUIPMENT such as ice bins and BEVERAGE dispensing nozzles and enclosed components of EQUIPMENT such as ice makers, cooking oil storage tanks and distribution lines, BEVERAGE and syrup dispensing lines or tubes, coffee bean grinders, and water vending EQUIPMENT:
    - (a) At a frequency specified by the manufacturer, or
    - (b) Absent manufacturer specifications, at a frequency necessary to preclude accumulation of soil or mold.

#### 8-4-602.12 Cooking and Baking Equipment.

- (A) The FOOD-CONTACT SURFACES of cooking and baking EQUIPMENT shall be cleaned at least every 24 hours. This section does not apply to hot oil cooking and filtering EQUIPMENT if it is cleaned as specified in 8-4-602.11(D)(6).
- (B) The cavities and door seals of microwave ovens shall be cleaned at least every 24 hours by using the manufacturer's recommended cleaning procedure.

#### 8-4-602.13 Nonfood-Contact Surfaces.

NonFOOD-CONTACT SURFACES of EQUIPMENT shall be cleaned at a frequency necessary to preclude accumulation of soil residues.

#### Methods

# 8-4-603.11 Dry Cleaning.

- (A) If used, dry cleaning methods such as brushing, scraping, and vacuuming shall contact only surfaces that are soiled with dry FOOD residues that are not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD).
- (B) Cleaning EQUIPMENT used in dry cleaning FOOD-CONTACT SURFACES may not be used for any other purpose.

### 8-4-603.12 Pre-cleaning.

- (A) FOOD debris on EQUIPMENT and UTENSILS shall be scrapped over a waste disposal unit or garbage receptacle or shall be removed in a WAREWASHING machine with a prewash cycle.
- (B) If necessary for effective cleaning, UTENSILS and EQUIPMENT shall be pre-flushed, presoaked, or scrubbed with abrasives.

#### 8-4-603.13 Loading of Soiled Items: Warewashing Machines.

Soiled items to be cleaned in a WAREWASHING machine shall be loaded into racks, trays, or baskets or onto conveyors in a position that:

- (A) Exposes the items to the unobstructed spray from all cycles; and
- (B) Allows the items to drain.

#### 8-4-603.14 Wet Cleaning.

- (A) EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be effectively washed to remove or completely loosen soils by using the manual or mechanical means necessary such as the application of detergents containing wetting agents and emulsifiers; acid, alkaline, or abrasive cleaners; hot water; brushes; scouring pads; high-pressure sprays; or ultrasonic devices.
- (B) The washing procedures selected shall be based on the type and purpose of the EQUIPMENT or UTENSIL, and on the type of soil to be removed.

#### 8-4-603.15 Washing, Procedures for Alternative Manual Warewashing Equipment.

If washing in sink compartments or a WAREWASHING machine is impractical such as when the EQUIPMENT is fixed or the UTENSILS are too large, washing shall be done by using alternative manual WAREWASHING EQUIPMENT as specified in  $\P$  8-4-301.12(C) in accordance with the following procedures:

- (A) EQUIPMENT shall be disassembled as necessary to allow access of the detergent solution to all parts;
- (B) EQUIPMENT components and UTENSILS shall be scrapped or rough cleaned to remove FOOD particle accumulation; and
- (C) Equipment and utensils shall be washed as specified under  $\P$  8-4-603.14(A).

#### 8-4-603.16 Rinsing Procedures.

Washed UTENSILS and EQUIPMENT shall be rinsed so that abrasives are removed and cleaning chemicals are removed or diluted through the use of water or a detergent-sanitizer solution by using one of the following procedures:

- (A) Use of a distinct, separate water rinse after washing and before SANITIZING if using:
  - (1) A 3-compartment sink,
  - (2) Alternative manual WAREWASHING EQUIPMENT equivalent to a 3-compartment sink as specified in ¶ 8-4-301.12(C), or
  - (3) A 3-step washing, rinsing, and SANITIZING procedure in a WAREWASHING system for CIP (CLEAN-IN-PLACE) EQUIPMENT;
- (B) Use of a detergent-SANITIZER as specified under § 4-501.115 if using:
  - (1) Alternative WAREWASHING EQUIPMENT as specified in  $\P$  8-4-301.12(C) that is APPROVED for use with a detergent-SANITIZER, or
  - (2) A WAREWASHING system for CIP EQUIPMENT;
- (C) Use of a non-distinct water rinse that is integrated in the hot water SANITIZATION immersion step of a 2-compartment sink operation;
- (D) If using a WAREWASHING machine that does not recycle the SANITIZING solution as specified under  $\P$  (E) of this section, or alternative manual WAREWASHING EQUIPMENT such as sprayers, use of a non-distinct water rinse that is:
  - (1) Integrated in the application of the SANITIZING solution, and

- (2) Wasted immediately after each application; or
- (E) If using a WAREWASHING machine that recycles the SANITIZING solution for use in the next wash cycle, use of a non-distinct water rinse that is integrated in the application of the SANITIZING solution.

# 8-4-7 Sanitization of Equipment and Utensils

#### Subparts

- 1. 8-4-701 Objective
- 2. 8-4-702 Frequency
- 3. 8-4-703 Methods

# **Objective**

#### 8-4-701.10 Food-Contact Surfaces and Utensils.

EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be SANITIZED.

# Frequency

# 8-4-702.11 Before Use, After Cleaning.

UTENSILS and FOOD-CONTACT SURFACES of EQUIPMENT shall be SANITIZED before use after cleaning (*critical*). P

#### **Methods**

#### 8-4-703.11 Hot Water and Chemical.

After being cleaned, EQUIPMENT FOOD-CONTACT SURFACES and UTENSILS shall be SANITIZED in:

- (A) Hot water manual operations by immersion for at least 30 seconds and as specified under § 8-4-501.111 (critical); P
- (B) Hot water mechanical operations by being cycled through EQUIPMENT that is set up as specified under §§ 8-4-501.15, 8-4-501.112, and 8-4-501.113 and achieving a UTENSIL surface temperature of 71°C (160°F) as measured by an irreversible registering temperature indicator (*critical*); P or
- (C) Chemical manual or mechanical operations, including the application of SANITIZING chemicals by immersion, manual swabbing, brushing, or pressure

spraying methods, using a solution as specified under § 8-4-501.114. Contact times shall be consistent with those on EPA-registered label use instructions by providing:

- (1) Except as specified under Subparagraph (C)(2) of this section, a contact time of at least 10 seconds for a chlorine solution specified under  $\P$  8-4-501.114(A) (*critical*),  $^{P}$
- (2) A contact time of at least 7 seconds for a chlorine solution of 50 mg/L that has a PH of 10 or less and a temperature of at least 38°C (100°F) or a PH of 8 or less and a temperature of at least 24°C (75°F) (critical), P
- (3) A contact time of at least 30 seconds for other chemical SANITIZING solutions (*critical*), <sup>P</sup> or
- (4) A contact time used in relationship with a combination of temperature, concentration, and pH that, when evaluated for efficacy, yields SANITIZATION as defined in Subparagraph 1-201.10(B) (critical).

# 8-4-8 Laundering

# **Subparts**

- 1. 8-4-801 Objective
- 2. 8-4-802 Frequency
- 3. 8-4-803 Methods

# **Objective**

#### 8-4-801.11 Clean Linens.

Clean LINENS shall be free from FOOD residues and other soiling matter.

#### Frequency

#### 8-4-802.11 Specifications.

- (A) LINENS that do not come in direct contact with FOOD shall be laundered between operations if they become wet, sticky, or visibly soiled.
- (B) Cloth gloves used as specified in ¶ 8-3-304.15(D) shall be laundered before being used with a different type of raw animal FOOD such as beef, FISH, lamb, pork or POULTRY.
- (C) LINENS that are used as specified under § 8-3-304.13 and cloth napkins shall be laundered between each use.

- (D) Wet wiping cloths shall be laundered daily.
- (E) Dry wiping cloths shall be laundered as necessary to prevent contamination of FOOD and clean serving UTENSILS.

#### Methods

### 8-4-803.11 Storage of Soiled Linens.

Soiled LINENS shall be kept in clean, nonabsorbent receptacles or clean, washable laundry bags and stored and transported to prevent contamination of FOOD, clean EQUIPMENT, clean UTENSILS, and SINGLE-SERVICE and SINGLE-USE ARTICLES.

#### 8-4-803.12 Mechanical Washing.

- (A) Except as specified in  $\P$  (B) of this section, LINENS shall be mechanically washed.
- (B) In FOOD ESTABLISHMENTS in which only wiping cloths are laundered as specified in ¶ 8-4-301.15(B), the wiping cloths may be laundered in a mechanical washer, sink designated only for laundering wiping cloths, or a WAREWASHING or FOOD preparation sink that is cleaned as specified under § 8-4-501.14.

# 8-4-803.13 Use of Laundry Facilities.

- (A) Except as specified in  $\P$  (B) of this section, laundry facilities on the PREMISES of a FOOD ESTABLISHMENT shall be used only for the washing and drying of items used in the operation of the establishment.
- (B) Separate laundry facilities located on the PREMISES for the purpose of general laundering such as for institutions providing boarding and lodging may also be used for laundering FOOD ESTABLISHMENT items.

# 8-4-9 Protection of Clean Items

#### **Subparts**

- 1. 8-4-901 Drying
- 2. 8-4-902 Lubricating and Reassembling
- 3. 8-4-903 Storing Contamination
- 4. 8-4-904 Preventing Contamination

# **Drying**

#### 8-4-901.11 Equipment and Utensils: Air-Drying Required.

After cleaning and SANITIZING, EQUIPMENT and UTENSILS:

- (A) Shall be air-dried or used after adequate draining as specified in the first paragraph of 40 CFR 180.940 Tolerance exemptions for active and inert ingredients for use in antimicrobial formulations (food-contact surface SANITIZING solutions), before contact with FOOD; and
- (B) May not be cloth dried except that UTENSILS that have been air-dried may be polished with cloths that are maintained clean and dry.

#### 8-4-901.12 Wiping Cloths: Air-Drying Locations.

Wiping cloths laundered in a FOOD ESTABLISHMENT that does not have a mechanical clothes dryer as specified in ¶ 8-4-301.15(B) shall be air-dried in a location and in a manner that prevents contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES and the wiping cloths. This section does not apply if wiping cloths are stored after laundering in a SANITIZING solution as specified under § 8-4-501.114.

### Lubricating and Reassembling

#### 8-4-902.11 Food-Contact Surfaces.

Lubricants as specified under § 8-7-205.11 shall be applied to FOOD-CONTACT SURFACES that require lubrication in a manner that does not contaminate FOOD-CONTACT SURFACES.

#### 8-4-902.12 Equipment.

EOUIPMENT shall be reassembled so that FOOD-CONTACT SURFACES are not contaminated.

#### **Storing**

#### 8-4-903.11 Equipment, Utensils, Linens, and Single-Service and Single-Use Articles.

- (A) Except as specified in  $\P$  (D) of this section, cleaned EQUIPMENT and UTENSILS, laundered LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES shall be stored:
  - (1) In a clean, dry location;
  - (2) Where they are not exposed to splash, dust, or other contamination; and
  - (3) At least 15 cm (6 inches) above the floor.
- (B) Clean EQUIPMENT and UTENSILS shall be stored as specified under  $\P$  (A) of this section and shall be stored:

- (1) In a self-draining position that allows air drying; and
- (2) Covered or inverted.
- (C) SINGLE-SERVICE and SINGLE-USE ARTICLES shall be stored as specified under  $\P$  (A) of this section and shall be kept in the original protective PACKAGE or stored by using other means that afford protection from contamination until used.
- (D) Items that are kept in closed PACKAGES may be stored less than 15 cm (6 inches) above the floor on dollies, pallets, racks, and skids that are designed as specified under § 8-4-204.122.

#### 8-4-903.12 **Prohibitions.**

- (A) Except as specified in  $\P$  (B) of this section, cleaned and SANITIZED EQUIPMENT, UTENSILS, laundered LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES may not be stored:
  - (1) In locker rooms;
  - (2) In toilet rooms;
  - (3) In garbage rooms;
  - (4) In mechanical rooms;
  - (5) Under sewer lines that are not shielded to intercept potential drips;
  - (6) Under leaking water lines including leaking automatic fire sprinkler heads or under lines on which water has condensed:
  - (7) Under open stairwells; or
  - (8) Under other sources of contamination.
- (B) Laundered LINENS and SINGLE-SERVICE and SINGLE-USE ARTICLES that are PACKAGED or in a facility such as a cabinet may be stored in a locker room.

# **Preventing Contamination**

#### 8-4-904.11 Kitchenware and Tableware.

(A) SINGLE-SERVICE and SINGLE-USE ARTICLES and cleaned and SANITIZED UTENSILS shall be handled, displayed, and dispensed so that contamination of FOOD- and lipcontact surfaces is prevented.

- (B) Knives, forks, and spoons that are not pre-wrapped shall be presented so that only the handles are touched by EMPLOYEES and by CONSUMERS if CONSUMER self-service is provided.
- (C) Except as specified under  $\P$  (B) of this section, SINGLE-SERVICE ARTICLES that are intended for FOOD- or lip-contact shall be furnished for CONSUMER self-service with the original individual wrapper intact or from an APPROVED dispenser.

#### 8-4-904.12 Soiled and Clean Tableware.

Soiled TABLEWARE shall be removed from CONSUMER eating and drinking areas and handled so that clean TABLEWARE is not contaminated.

#### 8-4-904.13 Preset Tableware.

- (A) Except as specified in  $\P$  (B) of this section, TABLEWARE that is preset shall be protected from contamination by being wrapped, covered, or inverted.
- (B) Preset TABLEWARE may be exposed if:
  - (1) Unused settings are removed when a CONSUMER is seated; or
  - (2) Settings not removed when a CONSUMER is seated are cleaned and SANITIZED before further use.

#### 8-4-904.14 Rinsing Equipment and Utensils after Cleaning and Sanitizing.

After being cleaned and SANITIZED, EQUIPMENT and UTENSILS shall not be rinsed before air drying or use unless:

- (A) The rinse is applied directly from a potable water supply by a WAREWASHING machine that is maintained and operated as specified under Sections 8-4-204 and 8-4-501; and
- (B) The rinse is applied only after the EQUIPMENT and UTENSILS have been SANITIZED by the application of hot water or by the application of a chemical SANITIZER solution whose EPA-registered label use instructions call for rinsing off the SANITIZER after it is applied in a commercial WAREWASHING machine.

# Section 8-5 Water, Plumbing, and Waste

#### **Parts**

- 1. 8-5-1 Water
- 2. 8-5-2 Plumbing System

- 3. 8-5-3 Mobile Water Tank And Mobile Food Establishment Water Tank
- 4. 8-5-4 Sewage, Other Liquid Waste, And Rainwater
- 5. 8-5-5 Refuse, Recyclables, And Returnables

# 8-5-1 Water

### **Subparts**

- 8-5-101 Source
- 8-5-102 Quality
- 8-5-103 Quantity and Availability
- 8-5-104 Distribution, Delivery, and Retention

#### Source

#### 8-5-101.11 Approved System.

DRINKING WATER shall be obtained from an APPROVED source that is:

- (A) A PUBLIC WATER SYSTEM (critical); P or
- (B) A nonPUBLIC WATER SYSTEM that is constructed, maintained, and operated according to LAW (critical).  $^{P}$

#### 8-5-101.12 System Flushing and Disinfection.

A DRINKING WATER system shall be flushed and disinfected before being placed in service after construction, repair, or modification and after an emergency situation, such as a flood, that may introduce contaminants to the system *(critical)*.

#### 8-5-101.13 Bottled Drinking Water.

BOTTLED DRINKING WATER used or sold in a FOOD ESTABLISHMENT shall be obtained from APPROVED sources in accordance with 21 CFR 129 - Processing and Bottling of Bottled DRINKING WATER (critical). P

# Quality

#### 8-5-102.11 Standards.

Except as specified under § 8-5-102.12:

- (A) Water from a PUBLIC WATER SYSTEM shall meet 40 CFR 141 National Primary Drinking Water Regulations and Arizona State Department of Environmental Quality Safe Drinking Water Regulation AAC 18-4 and state DRINKING WATER quality standards (critical); P and
- (B) Water from a nonPUBLIC WATER SYSTEM shall meet Arizona State Department of Environmental Quality Safe Drinking Water Regulation AAC 18-4 (critical). P

# 8-5-102.12 Nondrinking Water.

- (A) A nonDRINKING WATER supply shall be used only if its use is APPROVED (critical). P
- (B) Nondrinking water shall be used only for non-culinary purposes such as air conditioning, nonfood EQUIPMENT cooling, and fire protection (*critical*). P

#### 8-5-102.13 Sampling.

Except when used as specified under § 5-102.12, water from a nonPUBLIC WATER SYSTEM shall be sampled and tested at least annually and as required by Arizona State Department of Environmental Quality Safe Drinking Water Regulation AAC 18-4 by state water quality regulations (critical). Pf

# **8-5-102.14 Sample Report**

The most recent sample report for the nonPUBLIC WATER SYSTEM shall be retained on file in the FOOD ESTABLISHMENT AND OF the report shall be maintained as specified by state water quality regulations.

# Quantity and Availability

#### 8-5-103.11 Capacity.

- (A) The water source and system shall be of sufficient capacity to meet the peak water demands of the FOOD ESTABLISHMENT (critical). Pf
- (B) Hot water generation and distribution systems shall be sufficient to meet the peak hot water demands throughout the FOOD ESTABLISHMENT (critical). Pf

#### 8-5-103.12 Pressure.

Water under pressure shall be provided to all fixtures, EQUIPMENT, and nonFOOD EQUIPMENT that are required to use water except that water supplied as specified under

¶¶ 8-5-104.12(A) and (B) to a TEMPORARY FOOD ESTABLISHMENT or in response to a temporary interruption of a water supply need not be under pressure (*critical*). Pf

# Distribution, Delivery, and Retention

# 8-5-104.11 System.

Water shall be received from the source through the use of:

- (A) An APPROVED public water main (critical); Pf or
- (B) One or more of the following that shall be constructed, maintained, and operated according to LAW (*critical*): Pf
  - (1) Nonpublic water main, water pumps, pipes, hoses, connections, and other appurtenances must be manufactured for drinking water and meet FDA, ANSI/NSF applicable standards or American Water Works Association specifications (*critical*), Pf
  - (2) <u>Water transport vehicles that are permitted/licensed as required under Coconino County Environmental Services Code, Chapter 19 for Transportation of Water in Bulk for Domestic Use (Appendix Transportation of Water in Bulk for Domestic Use Chapter 19) (critical), Pf or</u>
  - (3) Water containers must be manufactured for drinking water and meet FDA, ANSI/NSF Standard 61 or American Water Works Association specifications (critical). Pf

# 8-5-104.12 Alternative Water Supply.

Water meeting the requirements specified under Subparts 5-101, 5-102, and 5-103 shall be made available for a mobile facility, for a TEMPORARY FOOD ESTABLISHMENT without a permanent water supply, and for a FOOD ESTABLISHMENT with a temporary interruption of its water supply through:

- (A) A supply of containers of commercially BOTTLED DRINKING WATER must meet Arizona Department of Health Services (ADHS) regulation AAC 9-8-2 for *Bottled Water (critical)*; Pf
- (B) One or more closed portable water containers must be manufactured for drinking water and meet FDA, ANSI/NSF Standard 61 or American Water Works Association specifications (*critical*); Pf
- (C) An enclosed vehicular water tank that is permitted/licensed as required under Coconino County Environmental Services Code Rules and Regulations Chapter 19 for Transportation of Water in Bulk for Domestic Use *(critical)*; Pf

- (D) An on-PREMISES water storage tank must be manufactured for drinking water and meet FDA, ANSI/NSF Standard 61 or American Water Works Association specifications (*critical*); Pf or
- (E) Piping, tubing, or hoses connected to an adjacent APPROVED source must be manufactured for drinking water and meet FDA, ANSI/NSF applicable standards or American Water Works Association specifications (*critical*). Pf

# 8-5-2 Plumbing System

# Subparts

- 8-5-201 Materials
- 8-5-202 Design, Construction, and Installation
- 8-5-203 Numbers and Capacities
- 8-5-204 Location and Placement
- 8-5-205 Operation and Maintenance

#### **Materials**

# 8-5-201.11 Approved.

- (A) A PLUMBING SYSTEM and hoses conveying water shall be constructed and repaired with APPROVED materials that are manufactured for drinking water and meet FDA, ANSI/NSF applicable standards or American Water Works Association specifications (*critical*). P
- (B) A water filter shall be made of SAFE MATERIALS must be manufactured for drinking water and meet FDA, ANSI/NSF applicable standards or American Water Works Association specifications (*critical*). P

# Design, Construction, and Installation

#### 8-5-202.11 Approved System and Cleanable Fixtures.

- (A) A PLUMBING SYSTEM shall be designed, constructed, and installed according to LAW (critical). P
- (B) A PLUMBING FIXTURE such as a HANDWASHING SINK, toilet, or urinal shall be EASILY CLEANABLE.

#### 8-5-202.12 Handwashing Sink: Installation.

(A) A HANDWASHING SINK shall be equipped to provide water at a temperature of at least 38°C (100°F) through a mixing valve or combination faucet (*critical*). Pf

- (B) A steam mixing valve may not be used at a HANDWASHING SINK.
- (C) A self-closing, slow-closing, or metering faucet shall provide a flow of water for at least 15 seconds without the need to reactivate the faucet.
- (D) An automatic handwashing facility shall be installed in accordance with manufacturer's instructions.

#### 8-5-202.13 Backflow Prevention: Air Gap.

An air gap between the water supply inlet and the flood level rim of the PLUMBING FIXTURE, EQUIPMENT, or nonFOOD EQUIPMENT shall be at least twice the diameter of the water supply inlet and may not be less than 25 mm (1 inch) (CRITICAL). P

# 8-5-202.14 Backflow Prevention Device: Design Standard.

A backflow or backsiphonage prevention device installed on a water supply system shall meet American Society of Sanitary Engineering (A.S.S.E.) standards for construction, installation, maintenance, inspection, and testing for that specific application and type of device (*critical*). P

#### 8-5-202.15 Conditioning Device: Design.

A water filter, screen, and other water conditioning device installed on water lines shall be designed to facilitate disassembly for periodic servicing and cleaning. A water filter element shall be of the replaceable type.

# Numbers and Capacities

# 8-5-203.11 Handwashing Sinks.

- (A) Except as specified in ¶¶ (B) and (C) of this section, at least 1 HANDWASHING SINK, a number of HANDWASHING SINKS necessary for their convenient use by EMPLOYEES in areas specified under § 8-5-204.11, and not fewer than the number of HANDWASHING SINKS required by LAW shall be provided (*critical*). Pf
- (B) If APPROVED and capable of removing the types of soils encountered in the FOOD operations involved, automatic handwashing facilities may be substituted for HANDWASHING SINKS in a FOOD ESTABLISHMENT that has at least 1 HANDWASHING SINK.
- (C) If APPROVED, when FOOD exposure is limited and HANDWASHING SINKS are not conveniently available, such as in some mobile or TEMPORARY FOOD ESTABLISHMENTS or at some VENDING MACHINE LOCATIONS, EMPLOYEES may use chemically treated towelettes for handwashing.

(D) Hand wash sink(s) may not be used as a food preparation sink, dish wash sink, or dish wash sink (critical). P

#### 8-5-203.12 Toilets and Urinals.

For employees, at least 1 toilet and not fewer than the toilets and rest rooms for the public shall comply with local building codes. If authorized by LAW and urinals are substituted for toilets, the substitution shall be done as specified in LAW.

#### 8-5-203.13 Service Sink.

- (A) At least 1 service sink or 1 curbed cleaning facility equipped with a floor drain shall be provided and conveniently located for the cleaning of mops or similar wet floor cleaning tools and for the disposal of mop water and similar liquid waste.
- (B) Toilets and urinals may not be used as a service sink for the disposal of mop water and similar liquid waste.
- (C) Service sink(s) may not be used as a hand wash sink, food preparation sink, or dish wash sink (*critical*). P

#### 8-5-203.14 Backflow Prevention Device: When Required.

A PLUMBING SYSTEM shall be installed to preclude backflow of a solid, liquid, or gas contaminant into the water supply system at each point of use at the FOOD ESTABLISHMENT, including on a hose bibb if a hose is attached or on a hose bibb if a hose is not attached and backflow prevention is required by LAW, by:

- (A) Providing an air gap as specified under § 8-5-202.13 (critical) P; or
- (B) Installing an APPROVED backflow prevention device as specified under § 8-5-202.14 (critical). P

#### 8-5-203.15 Backflow Prevention Device: Carbonator.

- (A) If not provided with an air gap as specified under § 8-5-202.13, a dual check valve with an intermediate vent preceded by a screen of not less than 100 mesh to 25.4 mm (100 mesh to 1 inch) shall be installed upstream from a carbonating device and downstream from any copper in the water supply line.
- (B) A dual check valve attached to the carbonator need not be of the vented type if an air gap or vented backflow prevention device has been otherwise provided as specified under  $\P$  (A) of this section.

#### 8-5-203.16 Food Preparation Sink: When Required.

- (A) At least 1 food preparation sink equipped with an indirect drain as specified under § 8-5-202.13 shall be provided and conveniently located for the proper preparation and washing of foods, such as produce.
- (B) Dish wash sinks, hand wash sinks, and mop sinks may not be used as a food preparation sink.

# Location and Placement

# 8-5-204.11 Handwashing Sinks.

A HANDWASHING SINK shall be located:

- (A) To allow convenient use by EMPLOYEES in FOOD preparation, FOOD dispensing, and WAREWASHING areas (critical); Pf and
- (B) In, or immediately adjacent to, toilet rooms (critical). Pf

#### 8-5-204.12 Backflow Prevention Device: Location.

A backflow prevention device shall be located so that it may be serviced and maintained.

# 8-5-204.13 Conditioning Device: Location.

A water filter, screen, and other water conditioning device installed on water lines shall be located to facilitate disassembly for periodic servicing and cleaning.

# **Operation and Maintenance**

# 8-5-205.11 Using a Handwashing Sink.

- (A) A HANDWASHING SINK shall be maintained so that it is accessible at all times for EMPLOYEE use (*critical*). Pf
- (B) A HANDWASHING SINK may not be used for purposes other than handwashing (critical). Pf
- (C) An automatic handwashing facility shall be used in accordance with manufacturer's instructions (*critical*). Pf

#### 8-5-205.12 Prohibiting a Cross Connection.

- (A) A PERSON may not create a cross connection by connecting a pipe or conduit between the DRINKING WATER system and a nonDRINKING WATER system or a water system of unknown quality (*critical*). P
- (B) The piping of a nonDRINKING WATER system shall be durably identified so that it is readily distinguishable from piping that carries DRINKING WATER (*critical*). Pf

# 8-5-205.13 Scheduling Inspection and Service for a Water System Device.

A device such as a water treatment device or backflow preventer shall be scheduled for inspection and service, in accordance with manufacturer's instructions and as necessary to prevent device failure based on local water conditions, and records demonstrating inspection and service shall be maintained by the PERSON IN CHARGE (critical). Pf

# 8-5-205.14 Water Reservoir of Fogging Devices: Cleaning.

- (A) A reservoir that is used to supply water to a device such as a produce fogger shall be:
  - (1) Maintained in accordance with manufacturer's specifications (critical); P and
  - (2) Cleaned in accordance with manufacturer's specifications or according to the procedures specified under  $\P$  (B) of this section, whichever is more stringent *(critical)*.
- (B) Cleaning procedures shall include at least the following steps and shall be conducted at least once a week:
  - (1) Draining and complete disassembly of the water and aerosol contact parts (critical);  $^{P}$
  - (2) Brush-cleaning the reservoir, aerosol tubing, and discharge nozzles with a suitable detergent solution (*critical*); <sup>P</sup>
  - (3) Flushing the complete system with water to remove the detergent solution and particulate accumulation (*critical*); <sup>P</sup> and
  - (4) Rinsing by immersing, spraying, or swabbing the reservoir, aerosol tubing, and discharge nozzles with at least 50 MG/L hypochlorite solution (*critical*). P

#### 8-5-205.15 System Maintained in Good Repair.

A PLUMBING SYSTEM shall be:

- (A) Repaired according to LAW (critical); P and
- (B) Maintained in good repair.

# 8-5-3 Mobile Water Tank and Mobile Food Establishment Water Tank

#### **Subparts**

- 8-5-301 Materials
- 8-5-302 Design and Construction
- 8-5-303 Numbers and Capacities
- 8-5-304 Operation and Maintenance

#### **Materials**

# 8-5-301.11 Approved.

Materials that are used in the construction of a mobile water tank, mobile FOOD ESTABLISHMENT water tank, and appurtenances shall be:

- (A) Safe (critical); P
- (B) Durable, CORROSION-RESISTANT, and nonabsorbent; and
- (C) Finished to have a SMOOTH, EASILY CLEANABLE surface.

# Design and Construction

#### 8-5-302.11 Enclosed System: Sloped to Drain.

A mobile water tank shall be:

- (A) Enclosed from the filling inlet to the discharge outlet; and
- (B) Sloped to an outlet that allows complete drainage of the tank.

# 8-5-302.12 Inspection and Cleaning Port: Protected and Secured.

If a water tank is designed with an access port for inspection and cleaning, the opening shall be in the top of the tank and:

- (A) Flanged upward at least 13 mm (one-half inch); and
- (B) Equipped with a port cover assembly that is:

(1) Provided with a gasket and a device for securing the cover in place, and

(2) Flanged to overlap the opening and sloped to drain.

# 8-5-302.13 "V" Type Threads: Use Limitation.

A fitting with "V" type threads on a water tank inlet or outlet shall be allowed only when a hose is permanently attached.

#### 8-5-302.14 Tank Vent: Protected.

If provided, a water tank vent shall terminate in a downward direction and shall be covered with:

(A) 16 mesh to 25.4 mm (16 mesh to 1 inch) screen or equivalent when the vent is in a protected area; or

(B) A protective filter when the vent is in an area that is not protected from windblown dirt and debris.

# 8-5-302.15 Inlet and Outlet: Sloped to Drain.

(A) A water tank and its inlet and outlet shall be sloped to drain.

(B) A water tank inlet shall be positioned so that it is protected from contaminants such as waste discharge, road dust, oil, or grease.

#### 8-5-302.16 Hose: Construction and Identification.

A hose used for conveying DRINKING WATER from a water tank shall be:

(A) Safe (critical); P

(B) Durable, CORROSION-RESISTANT, and non-absorbent;

(C) Resistant to pitting, chipping, crazing, scratching, scoring, distortion, and decomposition;

(D) Finished with a SMOOTH interior surface: and

(E) Clearly and durably identified as to its use if not permanently attached.

# Numbers and Capacities

# 8-5-303.11 Filter: Compressed Air.

A filter that does not pass oil or oil vapors shall be installed in the air supply line between the compressor and DRINKING WATER system when compressed air is used to pressurize the water tank system *(critical)*. P

#### 8-5-303.12 Protective Cover or Device.

A cap and keeper chain, closed cabinet, closed storage tube, or other APPROVED protective cover or device shall be provided for a water inlet, outlet, and hose.

#### 8-5-303.13 Mobile Food Establishment Tank Inlet.

A mobile FOOD ESTABLISHMENT'S water tank inlet shall be:

- (A) 19.1 mm (three-fourths inch) in inner diameter or less; and
- (B) Provided with a hose connection of a size or type that will prevent its use for any other service.

# Operation and Maintenance

#### 8-5-304.11 System Flushing and Sanitization.

A water tank, pump, and hoses shall be flushed and SANITIZED before being placed in service after construction, repair, modification, and periods of nonuse (*critical*). P

#### 8-5-304.12 Using a Pump and Hoses: Backflow Prevention.

A PERSON shall operate a water tank, pump, and hoses so that backflow and other contamination of the water supply are prevented.

#### 8-5-304.13 Protecting Inlet, Outlet, and Hose Fitting.

If not in use, a water tank and hose inlet and outlet fitting shall be protected using a cover or device as specified under § 8-5-303.12.

#### 8-5-304.14 Tank, Pump, and Hoses: Dedication.

- (A) Except as specified in  $\P$  (B) of this section, a water tank, pump, and hoses used for conveying DRINKING WATER shall be used for no other purpose (*critical*).
- (B) Water tanks, pumps, and hoses APPROVED for liquid FOODS may be used for conveying DRINKING WATER if they are cleaned and SANITIZED before they are used to convey water.

# 8-5-4 Sewage, Other Liquid Waste, and Rainwater

# Subparts

- 8-5-401 Mobile Holding Tank
- 8-5-402 Retention, Drainage, and Delivery
- 8-5-403 Disposal Facility

# Mobile Holding Tank

# 8-5-401.11 Capacity and Drainage.

A SEWAGE holding tank in a mobile FOOD ESTABLISHMENT shall be:

- (A) Sized 15 percent larger in capacity than the water supply tank; and
- (B) Sloped to a drain that is 25 mm (1 inch) in inner diameter or greater, equipped with a shut-off valve.

# Retention, Drainage, and Delivery

# 8-5-402.10 Establishment Drainage System.

#### Design, construction, and installation

FOOD ESTABLISHMENT drainage systems, including grease traps, that convey SEWAGE shall be designed and installed as specified under ¶ 8-5-202.11(A).

#### 8-5-402.11 Backflow Prevention.

- (A) Except as specified in  $\P$  (B), (C), and (D) of this section, a direct connection may not exist between the SEWAGE system and a drain originating from EQUIPMENT in which FOOD, portable EQUIPMENT, or UTENSILS are placed (*critical*).
- (B) Paragraph (A) of this section does not apply to floor drains that originate in refrigerated spaces that are constructed as an integral part of the building.
- (C) If allowed by LAW, a WAREWASHING machine may have a direct connection between its waste outlet and a floor drain when the machine is located within 1.5 m (5 feet) of a trapped floor drain and the machine outlet is connected to the inlet side of a properly vented floor drain trap.
- (D) If allowed by LAW, a WAREWASHING or culinary sink may have a direct connection

# 8-5-402.12 Grease Trap.

Location and placement

If used, a grease trap shall be located to be easily accessible for cleaning.

#### 8-5-402.13 Conveying Sewage.

Operation and maintenance | SEWAGE shall be conveyed to the point of disposal

through an APPROVED sanitary SEWAGE system or other system, including use of SEWAGE transport vehicles, waste retention tanks, pumps, pipes, hoses, and connections that are constructed, maintained, and operated according to LAW (critical). P

# 8-5-402.14 Removing Mobile Food Establishment Wastes.

SEWAGE and other liquid wastes shall be removed from a mobile FOOD ESTABLISHMENT at an APPROVED waste SERVICING AREA or by a SEWAGE transport vehicle in such a way that a public health HAZARD or nuisance is not created (*critical*). Pf

#### 8-5-402.15 Flushing a Waste Retention Tank.

A tank for liquid waste retention shall be thoroughly flushed and drained in a sanitary manner during the servicing operation.

# Disposal Facility

# 8-5-403.11 Approved Sewage Disposal System.

Design and Construction SEWAGE shall be disposed through an APPROVED facility that is:

- (A) A public SEWAGE treatment plant (critical); P or
- (B) An individual SEWAGE disposal system that is <u>permitted</u>, sized, constructed, maintained, and operated according to LAW (critical). P

# 8-5-403.12 Other Liquid Wastes and Rainwater.

Condensate drainage and other non-SEWAGE liquids and rainwater shall be drained from point of discharge to disposal according to LAW.

# 8-5-5 Refuse, Recyclables, and Returnables

# **Subparts**

- 8-5-501 Facilities on the Premises
- 8-5-502 Removal
- 8-5-503 Facilities for Disposal and Recycling

#### Facilities on the Premises

# 8-5-501.10 Indoor Storage Area.

Materials, design, construction, and installation

If located within the FOOD

ESTABLISHMENT, a storage area for REFUSE, recyclables, and returnables shall meet the requirements specified under §§ 8-6-101.11, 8-6-201.11-8-6-201.18, 8-6-202.15, and 8-6-202.16.

# 8-5-501.11 Outdoor Storage Surface.

An outdoor storage surface for REFUSE, recyclables, and returnables shall be constructed of nonabsorbent material such as concrete or asphalt and shall be SMOOTH, durable, and sloped to drain.

#### 8-5-501.12 Outdoor Enclosure.

If used, an outdoor enclosure for REFUSE, recyclables, and returnables shall be constructed of durable and cleanable materials.

# 8-5-501.13 Receptacles.

- (A) Except as specified in  $\P$  (B) of this section, receptacles and waste handling units for REFUSE, recyclables, and returnables and for use with materials containing FOOD residue shall be durable, cleanable, insect- and rodent-resistant, leak-proof, and nonabsorbent.
- (B) Plastic bags and wet strength paper bags may be used to line receptacles for storage inside the FOOD ESTABLISHMENT, or within closed outside receptacles.

#### 8-5-501.14 Receptacles in Vending Machines.

Except for a receptacle for BEVERAGE bottle crown closures, a REFUSE receptacle may not be located within a VENDING MACHINE.

#### 8-5-501.15 Outside Receptacles.

- (A) Receptacles and waste handling units for REFUSE, recyclables, and returnables used with materials containing FOOD residue and used outside the FOOD ESTABLISHMENT shall be designed and constructed to have tight-fitting lids, doors, or covers.
- (B) Receptacles and waste handling units for REFUSE and recyclables such as an onsite compactor shall be installed so that accumulation of debris and insect and rodent attraction and harborage are minimized and effective cleaning is facilitated around and, if the unit is not installed flush with the base pad, under the unit.

# 8-5-501.16 Storage Areas, Rooms, and Receptacles: Capacity and Availability.

Numbers and capacities (A) An inside storage room and area and outside storage area and enclosure, and receptacles shall be of sufficient capacity to hold REFUSE, recyclables, and returnables that accumulate.

- (B) A receptacle shall be provided in each area of the FOOD ESTABLISHMENT or PREMISES where REFUSE is generated or commonly discarded, or where recyclables or returnables are placed.
- (C) If disposable towels are used at handwashing lavatories, a waste receptacle shall be located at each lavatory or group of adjacent lavatories.

#### 8-5-501.17 Toilet Room Receptacle: Covered.

A toilet room used by females shall be provided with a covered receptacle for sanitary napkins.

# 8-5-501.18 Cleaning Implements and Supplies.

- (A) Except as specified in  $\P$  (B) of this section, suitable cleaning implements and supplies such as high pressure pumps, hot water, steam, and detergent shall be provided as necessary for effective cleaning of receptacles and waste handling units for REFUSE, recyclables, and returnables.
- (B) If APPROVED, off-PREMISES-based cleaning services may be used if on-PREMISES cleaning implements and supplies are not provided.

# 8-5-501.19 Storage Areas, Redeeming Machines, Receptacles and Waste Handling Units: Location.

Location and placement (A) An area designated for REFUSE, recyclables,

returnables, and, except as specified in  $\P$  (B) of this section, a redeeming machine for recyclables or returnables shall be located so that it is separate from FOOD,

EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES and a public health HAZARD or nuisance is not created.

- (B) A redeeming machine may be located in the PACKAGED FOOD storage area or CONSUMER area of a FOOD ESTABLISHMENT if FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES are not subject to contamination from the machines and a public health HAZARD or nuisance is not created.
- (C) The location of receptacles and waste handling units for REFUSE, recyclables, and returnables may not create a public health HAZARD or nuisance or interfere with the cleaning of adjacent space.

#### 8-5-501.110 Storing Refuse, Recyclables, and Returnables.

Operation and maintenance REFUSE, recyclables, and returnables shall be stored in receptacles or waste handling units so that they are inaccessible to insects and rodents.

#### 8-5-501.111 Areas, Enclosures, and Receptacles: Good Repair.

Storage areas, enclosures, and receptacles for REFUSE, recyclables, and returnables shall be maintained in good repair.

# 8-5-501.112 Outside Storage Prohibitions.

- (A) Except as specified in  $\P$  (B) of this section, REFUSE receptacles not meeting the requirements specified under  $\P$  8-5-501.13(A) such as receptacles that are not rodent-resistant, unprotected plastic bags and paper bags, or baled units that contain materials with FOOD residue may not be stored outside.
- (B) Cardboard or other packaging material that does not contain FOOD residues and that is awaiting regularly scheduled delivery to a recycling or disposal site may be stored outside without being in a covered receptacle if it is stored so that it does not create a rodent harborage problem.

#### 8-5-501.113 Covering Receptacles.

Receptacles and waste handling units for REFUSE, recyclables, and returnables shall be kept covered:

- (A) Inside the FOOD ESTABLISHMENT if the receptacles and units:
  - (1) Contain FOOD residue and are not in continuous use; or
  - (2) After they are filled; and

(B) With tight-fitting lids or doors if kept outside the FOOD ESTABLISHMENT.

# 8-5-501.114 Using Drain Plugs.

Drains in receptacles and waste handling units for REFUSE, recyclables, and returnables shall have drain plugs in place.

# 8-5-501.115 Maintaining Refuse Areas and Enclosures.

A storage area and enclosure for REFUSE, recyclables, or returnables shall be maintained free of unnecessary items, as specified under § 8-6-501.114, and clean.

#### 8-5-501.116 Cleaning Receptacles.

- (A) Receptacles and waste handling units for REFUSE, recyclables, and returnables shall be thoroughly cleaned in a way that does not contaminate FOOD, EQUIPMENT, UTENSILS, LINENS, or SINGLE-SERVICE and SINGLE-USE ARTICLES, and waste water shall be disposed of as specified under § 8-5-402.13.
- (B) Soiled receptacles and waste handling units for REFUSE, recyclables, and returnables shall be cleaned at a frequency necessary to prevent them from developing a buildup of soil or becoming attractants for insects and rodents.

#### Removal

#### 8-5-502.11 Frequency.

REFUSE, recyclables, and returnables shall be removed from the PREMISES at a frequency that will minimize the development of objectionable odors and other conditions that attract or harbor insects and rodents.

# 8-5-502.12 Receptacles or Vehicles.

REFUSE, recyclables, and returnables shall be removed from the PREMISES by way of:

- (A) Portable receptacles that are constructed and maintained according to LAW; or
- (B) A transport vehicle that is constructed, maintained, and operated to LAW.

# Facilities for Disposal and Recycling

# 8-5-503.11 Community or Individual Facility.

Solid waste not disposed of through the SEWAGE system such as through grinders and pulpers shall be recycled or disposed of in an APPROVED public or private community recycling or REFUSE facility; or solid waste shall be disposed of in an individual REFUSE

facility such as a landfill or incinerator which is sized, constructed, maintained, and operated according to LAW.

# **Section 8-6** Physical Facilities

#### **Parts**

- 1. 8-6-1 Materials for Construction and Repair
- 2. 8-6-2 Design, Construction, and Installation
- 3. 8-6-3 Numbers and Capacities
- 4. **8-6-4 Location and Placement**
- 5. 8-6-5 Maintenance and Operation

# 8-6-1 Materials for Construction and Repair

# Subparts

- 1. 8-6-101 Indoor Areas
- 2. **8-6-102 Outdoor Areas**

#### Indoor Areas

#### 8-6-101.11 Surface Characteristics.

- (A) Except as specified in  $\P$  (B) of this section, materials for indoor floor, wall, and ceiling surfaces under conditions of normal use shall be:
  - (1) SMOOTH, durable, and EASILY CLEANABLE for areas where FOOD ESTABLISHMENT operations are conducted;
  - (2) Closely woven and EASILY CLEANABLE carpet for carpeted areas; and
  - (3) Nonabsorbent for areas subject to moisture such as FOOD preparation areas, walk-in refrigerators, WAREWASHING areas, toilet rooms, mobile FOOD ESTABLISHMENT SERVICING AREAS, and areas subject to flushing or spray cleaning methods.

#### (B) In a TEMPORARY FOOD ESTABLISHMENT:

- (1) If graded to drain, a floor may be concrete, machine-laid asphalt, or dirt or gravel if it is covered with mats, removable platforms, duckboards, or other APPROVED materials that are effectively treated to control dust and mud; and
- (2) Walls and ceilings may be constructed of a material that protects the interior from the weather and windblown dust and debris.

#### **Outdoor Areas**

#### 8-6-102.11 Surface Characteristics.

- (A) The outdoor walking and driving areas shall be surfaced with concrete, asphalt, or gravel or other materials that have been effectively treated to minimize dust, facilitate maintenance, and prevent muddy conditions.
- (B) Exterior surfaces of buildings and mobile FOOD ESTABLISHMENTS shall be of weather-resistant materials and shall comply with LAW.
- (C) Outdoor storage areas for REFUSE, recyclables, or returnables shall be of materials specified under §§ 8-5-501.11 and 8-5-501.12.

# 8-6-2 Design, Construction, and Installation

#### **Subparts**

- 1. **8-6-201** Cleanability
- 2. **8-6-202** Functionality

# Cleanability

#### 8-6-201.11 Floors, Walls, and Ceilings.

Except as specified under § 8-6-201.14 and except for anti-slip floor coverings or applications that may be used for safety reasons, floors, floor coverings, walls, wall coverings, and ceilings shall be designed, constructed, and installed so they are SMOOTH and EASILY CLEANABLE.

# 8-6-201.12 Floors, Walls, and Ceilings: Utility Lines.

- (A) Utility service lines and pipes may not be unnecessarily exposed.
- (B) Exposed utility service lines and pipes shall be installed so they do not obstruct or prevent cleaning of the floors, walls, or ceilings.
- (C) Exposed horizontal utility service lines and pipes may not be installed on the floor.

#### 8-6-201.13 Floor and Wall Junctures: Coved, and Enclosed or Sealed.

(A) In FOOD ESTABLISHMENTS in which cleaning methods other than water flushing are used for cleaning floors, the floor and wall junctures shall be coved and closed to no larger than 1 mm (one thirty-second inch).

(B) The floors in FOOD ESTABLISHMENTS in which water flush cleaning methods are used shall be provided with drains and be graded to drain and the floor and wall junctures shall be coved and SEALED.

#### 8-6-201.14 Floor Carpeting: Restrictions and Installation.

- (A) A floor covering such as carpeting or similar material may not be installed as a floor covering in FOOD preparation areas, walk-in refrigerators, WAREWASHING areas, toilet room areas where handwashing lavatories, toilets, and urinals are located, REFUSE storage rooms, or other areas where the floor is subject to moisture, flushing, or spray cleaning methods.
- (B) If carpeting is installed as a floor covering in areas other than those specified under  $\P$  (A) of this section, it shall be:
  - 1. Securely attached to the floor with a durable mastic, by using a stretch and tack method, or by another method; and
  - 2. Installed tightly against the wall under the coving or installed away from the wall with a space between the carpet and the wall and with the edges of the carpet secured by metal stripping or some other means.

#### 8-6-201.15 Floor Covering: Mats and Duckboards.

Mats and duckboards shall be designed to be removable and EASILY CLEANABLE.

# 8-6-201.16 Wall and Ceiling Coverings and Coatings.

- (A) Wall and ceiling covering materials shall be attached so that they are EASILY CLEANABLE.
- (B) Except in areas used only for dry storage, concrete, porous blocks, or bricks used for indoor wall construction shall be finished and SEALED to provide a SMOOTH, nonabsorbent, EASILY CLEANABLE surface.

#### 8-6-201.17 Walls and Ceilings: Attachments.

- (A) Except as specified in  $\P$  (B) of this section, attachments to walls and ceilings such as light fixtures, mechanical room ventilation system components, vent covers, wall mounted fans, decorative items, and other attachments shall be EASILY CLEANABLE.
- (B) In a CONSUMER area, wall and ceiling surfaces and decorative items and attachments that are provided for ambiance need not meet this requirement if they are kept clean.

#### 8-6-201.18 Walls and Ceilings, Studs, Joists, and Rafters.

Except for TEMPORARY FOOD ESTABLISHMENTS, studs, joists, and rafters may not be exposed in areas subject to moisture.

# **Functionality**

#### 8-6-202.11 Light Bulbs: Protective Shielding.

- (A) Except as specified in  $\P$  (B) of this section, light bulbs shall be shielded, coated, or otherwise shatter-resistant in areas where there is exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; or unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.
- (B) Shielded, coated, or otherwise shatter-resistant bulbs need not be used in areas used only for storing FOOD in unopened packages, if:
  - (1) The integrity of the packages cannot be affected by broken glass falling onto them; and
  - (2) The packages are capable of being cleaned of debris from broken bulbs before the packages are opened.
- (C) An infrared or other heat lamp shall be protected against breakage by a shield surrounding and extending beyond the bulb so that only the face of the bulb is exposed.

# 8-6-202.12 Heating, Ventilating, Air Conditioning System Vents.

Heating, ventilating, and air conditioning systems shall be designed and installed so that make-up air intake and exhaust vents do not cause contamination of FOOD, FOOD-CONTACT SURFACES, EQUIPMENT, or UTENSILS.

## 8-6-202.13 Insect Control Devices: Design and Installation.

- (A) Insect control devices that are used to electrocute or stun flying insects shall be designed to retain the insect within the device.
- (B) Insect control devices shall be installed so that:
  - (1) The devices are not located over a FOOD preparation area; and
  - (2) Dead insects and insect fragments are prevented from being impelled onto or falling on exposed FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES.

# 8-6-202.14 Toilet Rooms: Enclosed.

Except where a toilet room is located outside a FOOD ESTABLISHMENT and does not open directly into the FOOD ESTABLISHMENT such as a toilet room that is provided by the management of a shopping mall, a toilet room located on the PREMISES shall be completely enclosed and provided with a tight-fitting and self-closing door.

# 8-6-202.15 Outer Openings: Protected.

- (A) Except as specified in  $\P$  (B), (C), and (E) and under  $\P$  (D) of this section, outer openings of a FOOD ESTABLISHMENT shall be protected against the entry of insects and rodents by:
  - (1) Filling or closing holes and other gaps along floors, walls, and ceilings;
  - (2) Closed, tight-fitting windows; and
  - (3) Solid, self-closing, tight-fitting doors.
- (B) Paragraph (A) of this section does not apply if a FOOD ESTABLISHMENT opens into a larger structure, such as a mall, airport, or office building, or into an attached structure, such as a porch, and the outer openings from the larger or attached structure are protected against the entry of insects and rodents.
- (C) Exterior doors used as exits need not be self-closing if they are:
  - (1) Solid and tight-fitting;
  - (2) Designated for use only when an emergency exists, by the fire protection authority that has jurisdiction over the FOOD ESTABLISHMENT; and
  - (3) Limited-use so they are not used for entrance or exit from the building for purposes other than the designated emergency exit use.
- (D) Except as specified in  $\P\P$  (B) and (E) of this section, if the windows or doors of a FOOD ESTABLISHMENT, or of a larger structure within which a FOOD ESTABLISHMENT is located, are kept open for ventilation or other purposes or a TEMPORARY FOOD ESTABLISHMENT is not provided with windows and doors as specified under  $\P$  (A) of this section, the openings shall be protected against the entry of insects and rodents by:
  - (1) 16 mesh to 25.4 mm (16 mesh to 1 inch) screens;
  - (2) Properly designed and installed air curtains to control flying insects; or
  - (3) Other effective means.

(E) Paragraph (D) of this section does not apply if flying insects and other pests are absent due to the location of the ESTABLISHMENT, the weather, or other limiting condition.

#### 8-6-202.16 Exterior Walls and Roofs: Protective Barrier.

Perimeter walls and roofs of a FOOD ESTABLISHMENT shall effectively protect the establishment from the weather and the entry of insects, rodents, and other animals.

# 8-6-202.17 Outdoor Food Vending Areas: Overhead Protection.

Except for machines that vend canned BEVERAGES, if located outside, a machine used to vend FOOD shall be provided with overhead protection.

# 8-6-202.18 Outdoor Servicing Areas: Overhead Protection.

Except for areas used only for the loading of water or the discharge of SEWAGE and other liquid waste, through the use of a closed system of hoses, SERVICING AREAS shall be provided with overhead protection.

#### 8-6-202.19 Outdoor Walking and Driving Surfaces: Graded to Drain.

Exterior walking and driving surfaces shall be graded to drain.

#### 8-6-202.110 Outdoor Refuse Areas: Curbed and Graded to Drain.

Outdoor REFUSE areas shall be constructed in accordance with LAW and shall be curbed and graded to drain to collect and dispose of liquid waste from the REFUSE and from cleaning the area and waste receptacles.

#### 8-6-202.111 Private Homes and Living or Sleeping Quarters: Use Prohibition.

A private home, a room used as living or sleeping quarters, or an area directly opening into a room used as living or sleeping quarters may not be used for conducting FOOD ESTABLISHMENT operations (*critical*).

#### 8-6-202.112 Living or Sleeping Quarters: Separation.

Living or sleeping quarters located on the PREMISES of a FOOD ESTABLISHMENT such as those provided for lodging registration clerks or resident managers shall be separated from rooms and areas used for FOOD ESTABLISHMENT operations by complete partitioning and solid self-closing doors.

# 8-6-3 Numbers and Capacities

**Subparts** 

- 1. 8-6-301 Handwashing Sinks
- 2. **8-6-302** Toilets and Urinals
- 3. **8-6-303** Lighting
- 4. **8-6-304 Ventilation**
- 5. 8-6-305 Dressing Areas and Lockers
- 6. **8-6-306 Service Sinks**

# Handwashing Sinks

# 8-6-301.10 Minimum Number.

HANDWASHING SINKS shall be provided as specified under § 8-5-203.11.

### 8-6-301.11 Handwashing Cleanser: Availability.

Each HANDWASHING SINK or group of 2 adjacent HANDWASHING SINKS shall be provided with a supply of dispensable hand cleaning liquid <u>or</u> powdered soap <del>or bar soap</del> (critical). Pf

# 8-6-301.12 Hand Drying Provision.

Each HANDWASHING SINK or group of adjacent HANDWASHING SINKS shall be provided with:

- (A) Individual, disposable towels (critical); Pf
- (B) A continuous towel system that supplies the user with a clean towel (critical); Pf or
- (C) A heated-air hand drying device (critical); Pf or
- (D) A hand drying device that employs an air-knife system that delivers high velocity, pressurized air at ambient temperatures (*critical*). Pf

# 8-6-301.13 Handwashing Aids and Devices, Use Restrictions.

A sink used for FOOD preparation or UTENSIL washing, or a service sink or curbed cleaning facility used for the disposal of mop water or similar wastes, may not be provided with the handwashing aids and devices required for a HANDWASHING SINK as specified under §§ 8-6-301.11 and 8-6-301.12 and 8-5-501.16(C).

#### 8-6-301.14 Handwashing Signage.

A sign or poster that notifies FOOD EMPLOYEES to wash their hands shall be provided at all HANDWASHING SINKS used by FOOD EMPLOYEES and shall be clearly visible to FOOD EMPLOYEES.

# 8-6-301.20 Disposable Towels: Waste Receptacle.

A HANDWASHING SINK or group of adjacent HANDWASHING SINKS that is provided with disposable towels shall be provided with a waste receptacle as specified under § 8-5-501.16(C).

#### Toilets and Urinals

#### 8-6-302.10 Minimum Number.

Toilets and urinals shall be provided as specified under § 8-5-203.12.

# 8-6-302.11 Toilet Tissue: Availability.

A supply of toilet tissue shall be available at each toilet (critical). Pf

# Lighting

#### 8-6-303.11 Intensity.

The light intensity shall be:

- (A) At least 108 lux (10 foot candles) at a distance of 75 cm (30 inches) above the floor, in walk-in refrigeration units and dry FOOD storage areas and in other areas and rooms during periods of cleaning;
- (B) At least 215 lux (20 foot candles):
  - (1) At a surface where FOOD is provided for CONSUMER self-service such as buffets and salad bars or where fresh produce or PACKAGED FOODS are sold or offered for consumption,
  - (2) Inside EQUIPMENT such as reach-in and under-counter refrigerators; and
  - (3) At a distance of 75 cm (30 inches) above the floor in areas used for handwashing, WAREWASHING, and EQUIPMENT and UTENSIL storage, and in toilet rooms; and
- (C) At least 540 lux (50 foot candles) at a surface where a FOOD EMPLOYEE is working with FOOD or working with UTENSILS or EQUIPMENT such as knives, slicers, grinders, or saws where EMPLOYEE safety is a factor.

#### Ventilation

#### 8-6-304.11 Mechanical.

If necessary to keep rooms free of excessive heat, steam, condensation, vapors, obnoxious odors, smoke, and fumes, mechanical ventilation of sufficient capacity shall be provided.

# **Dressing Areas and Lockers**

# **8-6-305.11 Designation.**

- (A) Dressing rooms or dressing areas shall be designated if EMPLOYEES routinely change their clothes in the establishment.
- (B) Lockers or other suitable facilities shall be provided for the orderly storage of EMPLOYEES' clothing and other possessions.

# Service Sinks

#### 8-6-306.10 Availability.

A service sink or curbed cleaning facility shall be provided as specified under § 8-5-203.13(A).

# 8-6-4 Location and Placement

# **Subparts**

- 1. 8-6-401 Handwashing Sinks
- 2. **8-6-402** Toilet Rooms
- 3. 8-6-403 Employee Accommodations
- 4. 8-6-404 Distressed Merchandise
- 5. 8-6-405 Refuse, Recyclables, and Returnables

# Handwashing Sinks

# 8-6-401.10 Conveniently Located.

HANDWASHING SINKS shall be conveniently located as specified under § 8-5-204.11.

# Toilet Rooms

# 8-6-402.11 Convenience and Accessibility.

Toilet rooms shall be conveniently located and accessible to EMPLOYEES during all hours of operation.

# **Employee Accommodations**

#### 8-6-403.11 Designated Areas.

- (A) Areas designated for EMPLOYEES to eat, drink, and use tobacco shall be located so that FOOD, EQUIPMENT, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES are protected from contamination.
- (B) Lockers or other suitable facilities shall be located in a designated room or area where contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES cannot occur.

# Distressed Merchandise

#### 8-6-404.11 Segregation and Location.

Products that are held by the PERMIT HOLDER for credit, redemption, or return to the distributor, such as damaged, spoiled, or recalled products, shall be segregated and held in designated areas that are separated from FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES (*critical*). Pf

# Refuse, Recyclables, and Returnables

# 8-6-405.10 Receptacles, Waste Handling Units, and Designated Storage Areas.

Units, receptacles, and areas designated for storage of REFUSE and recyclable and returnable containers shall be located as specified under § 8-5-501.19.

# 8-6-5 Maintenance and Operation

# Subpart

1. 8-6-501 Premises, Structures, Attachments, and Fixtures - Methods

Premises, Structures, Attachments, and Fixtures - Methods

# 8-6-501.11 Repairing.

PHYSICAL FACILITIES shall be maintained in good repair.

# 8-6-501.12 Cleaning, Frequency, and Restrictions.

- (A) PHYSICAL FACILITIES shall be cleaned as often as necessary to keep them clean.
- (B) Except for cleaning that is necessary due to a spill or other accident, cleaning shall be done during periods when the least amount of FOOD is exposed such as after closing.

#### 8-6-501.13 Cleaning Floors: Dustless Methods.

- (A) Except as specified in  $\P$  (B) of this section, only dustless methods of cleaning shall be used, such as wet cleaning, vacuum cleaning, mopping with treated dust mops, or sweeping using a broom and dust-arresting compounds.
- (B) Spills or drippage on floors that occur between normal floor cleaning times may be cleaned:
  - (1) Without the use of dust-arresting compounds; and
  - (2) In the case of liquid spills or drippage, with the use of a small amount of absorbent compound such as sawdust or diatomaceous earth applied immediately before spot cleaning.

#### 8-6-501.14 Cleaning Ventilation Systems: Nuisance and Discharge Prohibition.

- (A) Intake and exhaust air ducts shall be cleaned and filters changed so they are not a source of contamination by dust, dirt, and other materials.
- (B) If vented to the outside, ventilation systems may not create a public health HAZARD or nuisance or unLAWful discharge.

# 8-6-501.15 Cleaning Maintenance Tools: Preventing Contamination.

FOOD preparation sinks, HANDWASHING SINKS, and WAREWASHING EQUIPMENT may not be used for the cleaning of maintenance tools, the preparation or holding of maintenance materials, or the disposal of mop water and similar liquid wastes (*critical*). Pf

# 8-6-501.16 Drying Mops.

After use, mops shall be placed in a position that allows them to air-dry without soiling walls, EQUIPMENT, or supplies.

#### 8-6-501.17 Absorbent Materials on Floors: Use Limitation.

Except as specified in §8-6-501.13(B), sawdust, wood shavings, granular salt, baked clay, diatomaceous earth, or similar materials may not be used on floors.

#### 8-6-501.18 Cleaning of Plumbing Fixtures.

PLUMBING FIXTURES such as HANDWASHING SINKS, toilets, and urinals shall be cleaned as often as necessary to keep them clean.

#### 8-6-501.19 Closing Toilet Room Doors.

Except during cleaning and maintenance operations, toilet room doors as specified under § 8-6-202.14 shall be kept closed.

# 8-6-501.110 Using Dressing Rooms and Lockers.

- (A) Dressing rooms shall be used by EMPLOYEES if the EMPLOYEES regularly change their clothes in the establishment.
- (B) Lockers or other suitable facilities shall be used for the orderly storage of EMPLOYEE clothing and other possessions.

# 8-6-501.111 Controlling Pests.

The PREMISES shall be maintained free of insects, rodents, and other pests. The presence of insects, rodents, and other pests shall be controlled to eliminate their presence on the PREMISES by:

- (A) Routinely inspecting incoming shipments of FOOD and supplies;
- (B) Routinely inspecting the PREMISES for evidence of pests;
- (C) Using methods, if pests are found, such as trapping devices or other means of pest control as specified under §§ 8-7-202.12, 8-7-206.12, and 8-7-206.13 (critical); Pf and
- (D) Eliminating harborage conditions.

# 8-6-501.112 Removing Dead or Trapped Birds, Insects, Rodents, and Other Pests.

Dead or trapped birds, insects, rodents, and other pests shall be removed from control devices and the PREMISES at a frequency that prevents their accumulation, decomposition, or the attraction of pests.

#### 8-6-501.113 Storing Maintenance Tools.

Maintenance tools such as brooms, mops, vacuum cleaners, and similar items shall be:

- (A) Stored so they do not contaminate FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES; and
- (B) Stored in an orderly manner that facilitates cleaning the area used for storing the maintenance tools.

#### 8-6-501.114 Maintaining Premises: Unnecessary Items and Litter.

The PREMISES shall be free of:

- (A) Items that are unnecessary to the operation or maintenance of the establishment such as EQUIPMENT that is nonfunctional or no longer used; and
- (B) Litter.

# 8-6-501.115 Prohibiting Animals.

- (A) Except as specified in  $\P$  (B) and (C) of this section, live animals may not be allowed on the PREMISES of a FOOD ESTABLISHMENT (*critical*). Pf
- (B) Live animals may be allowed in the following situations if the contamination of FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES cannot result:
  - (1) Edible FISH or decorative FISH in aquariums, shellfish or crustacea on ice or under refrigeration, and shellfish and crustacea in display tank systems;
  - (2) Patrol dogs accompanying police or security officers in offices and dining, sales, and storage areas, and sentry dogs running loose in outside fenced areas;
  - (3) In areas that are not used for FOOD preparation and that are usually open for customers, such as dining and sales areas, SERVICE ANIMALS that are controlled by the disabled EMPLOYEE or PERSON, if a health or safety HAZARD will not result from the presence or activities of the SERVICE ANIMAL;
  - (4) Pets in the common dining areas of institutional care facilities such as nursing homes, assisted living facilities, group homes, or residential care facilities at times other than during meals if:
    - (a) Effective partitioning and self-closing doors separate the common dining areas from FOOD storage or FOOD preparation areas,
    - (b) Condiments, EQUIPMENT, and UTENSILS are stored in enclosed cabinets or removed from the common dining areas when pets are present, and
    - (c) Dining areas including tables, countertops, and similar surfaces are effectively cleaned before the next meal service; and
  - (5) In areas that are not used for FOOD preparation, storage, sales, display, or dining, in which there are caged animals or animals that are similarly confined, such as in a variety store that sells pets or a tourist park that displays animals.

- (6) Pets may be limited to designated outdoor areas, but are prohibited inside food areas including traveling through an establishment. The following must be adhered to for these designated outdoor areas
  - (a) Employees cannot touch pets while serving food, beverages, handling tableware or before entering other parts of the food operation;
  - (b) Pets shall not come into contact with food (including condiments), serving dishes, utensils, tableware, linens, single-service ware, or any other items involved in food service;
  - (c) Pets shall not be allowed on chairs, tables, or other furnishings;
  - (d) Pets shall be kept on a physical restraint (e.g. leash) at all times;
  - (e) Any accidents involving a pet including vomit, fecal matter or urine shall be immediately and properly cleaned and disinfected. Establishments are required to keep a cleaning kit for such purposes on hand at all times; and
  - (f) Signage reminding employees and customers of the rules shall be posted in the designated outdoor areas.
- (C) Live or dead FISH bait may be stored if contamination of FOOD; clean EQUIPMENT, UTENSILS, and LINENS; and unwrapped SINGLE-SERVICE and SINGLE-USE ARTICLES cannot result.

# Section 8-7 Poisonous or Toxic Materials

#### **Parts**

- 1. 8-7-1 Labeling and Identification
- 2. 8-7-2 Operational Supplies and Applications
- 3. 8-7-3 Stock and Retail Sale

# 8-7-1 Labeling and Identification

#### Subparts

- 1. 8-7-101 Original Containers
- 2. 8-7-102 Working Containers

# **Original Containers**

# 8-7-101.11 Identifying Information, Prominence.

Containers of POISONOUS OR TOXIC MATERIALS and PERSONAL CARE ITEMS shall bear a legible manufacturer's label (*critical*). Pf

# **Working Containers**

#### 8-7-102.11 Common Name.

Working containers used for storing POISONOUS OR TOXIC MATERIALS such as cleaners and SANITIZERS taken from bulk supplies shall be clearly and individually identified with the common name of the material (*critical*). Pf

# 8-7-2 Operational Supplies and Applications

# **Subparts**

- 1. **8-7-201 Storage**
- 2. **8-7-202** Presence and Use
- 3. 8-7-203 Container Prohibitions
- 4. **8-7-204** Chemicals
- 5. **8-7-205** Lubricants
- 6. **8-7-206** Pesticides
- 7. **8-7-207 Medicines**
- 8. 8-7-208 First Aid Supplies
- 9. 8-7-209 Other Personal Care Items

# **Storage**

#### 8-7-201.11 Separation.

POISONOUS OR TOXIC MATERIALS shall be stored so they cannot contaminate FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES by:

- (A) Separating the POISONOUS OR TOXIC MATERIALS by spacing or partitioning (critical);  $^{\rm P}$  and
- (B) Locating the POISONOUS OR TOXIC MATERIALS in an area that is not above FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE or SINGLE-USE ARTICLES. This paragraph does not apply to EQUIPMENT and UTENSIL cleaners and SANITIZERS that are stored in WAREWASHING areas for availability and convenience if the materials are stored to prevent contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES (*critical*). P

#### Presence and Use

#### 8-7-202.11 Restriction.

- (A) Only those POISONOUS OR TOXIC MATERIALS that are required for the operation and maintenance of a FOOD ESTABLISHMENT, such as for the cleaning and SANITIZING of EQUIPMENT and UTENSILS and the control of insects and rodents, shall be allowed in a FOOD ESTABLISHMENT (*critical*). Pf
- (B) Paragraph (A) of this section does not apply to PACKAGED POISONOUS OR TOXIC MATERIALS that are for retail sale.

#### 8-7-202.12 Conditions of Use.

POISONOUS OR TOXIC MATERIALS shall be:

- (A) Used according to:
  - (1) LAW and this Code,
  - (2) Manufacturer's use directions included in labeling, and, for a pesticide, manufacturer's label instructions that state that use is allowed in a FOOD ESTABLISHMENT (*critical*), P
  - (3) The conditions of certification, if certification is required, for use of the pest control materials (*critical*), <sup>P</sup> and
  - (4) Additional conditions that may be established by the REGULATORY AUTHORITY DISTRICT; and
- (B) Applied so that:
  - (1) A HAZARD to EMPLOYEES or other PERSONS is not constituted (*critical*), and
  - (2) Contamination including toxic residues due to drip, drain, fog, splash or spray on FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES is prevented, and for a RESTRICTED USE PESTICIDE, this is achieved by (*critical*): P
    - (a) Removing the items (critical), P
    - (b) Covering the items with impermeable covers (critical), P or
    - (c) Taking other appropriate preventive actions (critical), P and
    - (d) Cleaning and SANITIZING EQUIPMENT and UTENSILS after the application (critical). P

(C) A RESTRICTED USE PESTICIDE shall be applied only by an applicator certified as defined in 7 USC 136 Definitions, (e) Certified Applicator, of the Federal Insecticide, Fungicide, and Rodenticide Act, or a PERSON under the direct supervision of a certified applicator (*critical*). Pf

# **Container Prohibitions**

#### 8-7-203.11 Poisonous or Toxic Material Containers.

A container previously used to store POISONOUS OR TOXIC MATERIALS may not be used to store, transport, or dispense FOOD (*critical*). P

#### **Chemicals**

#### 8-7-204.11 Sanitizers: Criteria.

Chemical SANITIZERS and other chemical antimicrobials applied to FOOD-CONTACT SURFACEs shall meet the requirements specified in 40 CFR 180.940 Tolerance exemptions for active and inert ingredients for use in antimicrobial formulations (food-contact surface sanitizing solutions) (critical). P

# 8-7-204.12 Chemicals for Washing, Treatment, Storage and Processing Fruits and Vegetables, Criteria.

- (A) Chemicals, including those generated on-site, used to wash or peel raw, whole fruits and vegetables shall:
  - (1) Be an approved food additive listed for this intended use in 21 CFR 173 (critical), P or
  - (2) Be generally recognized as safe (GRAS) for this intended use (critical), P or
  - (3) Be the subject of an effective food contact notification for this intended use (only effective for the manufacturer or supplier identified in the notification) (*critical*), <sup>P</sup> and
  - (4) Meet the requirements in 40 CFR 156 Labeling Requirements for Pesticide and Devices (*critical*). P
- (B) Ozone as an antimicrobial agent used in the treatment, storage, and processing of fruits and vegetables in a FOOD ESTABLISHMENT shall meet the requirements specified in 21 CFR 173.368 Ozone (*critical*). P

#### 8-7-204.13 Boiler Water Additives: Criteria.

Chemicals used as boiler water ADDITIVES shall meet the requirements specified in 21 CFR 173.310 Boiler water additives (*critical*). P

# 8-7-204.14 Drying Agents: Criteria.

Drying agents used in conjunction with SANITIZATION shall:

- (A) Contain only components that are listed as one of the following:
  - (1) Generally recognized as safe for use in FOOD as specified in 21 CFR 182 Substances Generally Recognized as Safe, or 21 CFR 184 Direct Food Substances Affirmed as Generally Recognized as Safe (*critical*), P
  - (2) Generally recognized as safe for the intended use as specified in 21 CFR 186 Indirect Food Substances Affirmed as Generally Recognized as Safe *(critical)*, P
  - (3) APPROVED for use as a drying agent under a prior sanction specified in 21 CFR 181 Prior-Sanctioned Food Ingredients (*critical*), P
  - (4) Specifically regulated as an indirect FOOD ADDITIVE for use as a drying agent as specified in 21 CFR Parts 175-178 (*critical*), <sup>P</sup> or
  - (5) APPROVED for use as a drying agent under the threshold of regulation process established by 21 CFR 170.39 Threshold of regulation for substances used in food-contact articles (*critical*); <sup>P</sup> and
- (B) When SANITIZATION is with chemicals, the approval required under Subparagraph (A)(3) or (A)(5) of this section or the regulation as an indirect FOOD ADDITIVE required under Subparagraph (A)(4) of this section, shall be specifically for use with chemical SANITIZING solutions (*critical*).

#### Lubricants

#### 8-7-205.11 Incidental Food Contact: Criteria.

Lubricants shall meet the requirements specified in 21 CFR 178.3570 Lubricants with incidental food contact, if they are used on FOOD-CONTACT SURFACES, on bearings and gears located on or within FOOD-CONTACT SURFACES, or on bearings and gears that are located so that lubricants may leak, drip, or be forced into FOOD or onto FOOD-CONTACT SURFACES (*critical*). P

# **Pesticides**

# 8-7-206.11 Restricted Use Pesticides: Criteria.

RESTRICTED USE PESTICIDES specified under ¶ 7-202.12(C) shall meet the requirements specified in 40 CFR 152 Subpart I - Classification of Pesticides. P

<u>Pesticides must be applied by applicators that are currently licensed by the State of Arizona Office of Pest Management (critical).</u>

#### 8-7-206.12 Rodent Bait Stations.

Rodent bait shall be contained in a covered, tamper-resistant bait station. P

Rodent bait stations must be provided, monitored, and maintained by a licensed pest control applicator (*critical*). P

# 8-7-206.13 Tracking Powders: Pest Control and Monitoring.

- (A) Except as specified in  $\P$  (B) of this section, a tracking powder pesticide may not be used in a FOOD ESTABLISHMENT (*critical*).
- (B) If used, a nontoxic tracking powder such as talcum or flour may not contaminate FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES.

# **Medicines**

# 8-7-207.11 Restriction and Storage.

- (A) Except for medicines that are stored or displayed for retail sale, only those medicines that are necessary for the health of EMPLOYEES shall be allowed in a FOOD ESTABLISHMENT (*critical*). Pf
- (B) Medicines that are in a FOOD ESTABLISHMENT for the EMPLOYEES' use shall be labeled as specified under § 8-7-101.11 and located to prevent the contamination of FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES (critical). P

# 8-7-207.12 Refrigerated Medicines: Storage.

Medicines belonging to EMPLOYEES or to children in a day care center that require refrigeration and are stored in a FOOD refrigerator shall be:

- (A) Stored in a package or container and kept inside a covered, leak proof container that is identified as a container for the storage of medicines (*critical*); <sup>P</sup> and
- (B) Located so they are inaccessible to children (critical). P

# First Aid Supplies

# 8-7-208.11 Storage.

First aid supplies that are in a FOOD ESTABLISHMENT for the EMPLOYEES' use shall be:

- (A) Labeled as specified under § 8-7-101.11 (critical); Pf and
- (B) Stored in a kit or a container that is located to prevent the contamination of FOOD, EQUIPMENT, UTENSILS, and LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES (critical). P

#### Other Personal Care Items

# 8-7-209.11 Storage.

Except as specified under §§ 8-7-207.12 and 8-7-208.11, EMPLOYEES shall store their PERSONAL CARE ITEMS in facilities as specified under § 8-6-305.11(B).

# 8-7-3 Stock and Retail Sale

# Subpart

# 1. 8-7-301 Storage and Display

# Storage and Display

# 8-7-301.11 Separation.

POISONOUS OR TOXIC MATERIALS shall be stored and displayed for retail sale so they cannot contaminate FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE and SINGLE-USE ARTICLES by:

- (A) Separating the POISONOUS or TOXIC MATERIALS by spacing or partitioning (*critical*); <sup>P</sup> and
- (B) Locating the POISONOUS OR TOXIC MATERIALS in an area that is not above FOOD, EQUIPMENT, UTENSILS, LINENS, and SINGLE-SERVICE or SINGLE-USE ARTICLES (critical). P

# **SEC.8-8 MOBILE FOOD OPERATIONS**

#### **Parts**

- 4. 8-8-1 General Requirements
- 5. **8-8-2 Food Safety**
- 6. 8-8-3 Physical Requirements

- 7. 8-8-4 Water & Wastewater Requirements
- 8. 8-8-5 Additional Food Peddler Requirements

# **8-8-1 GENERAL REQUIREMENTS**

#### **Subparts**

- 1. 8-8-101 Condition
- 2. 8-8-102 License Requirements
- 3. **8-8-103 Plan Review**

#### **Condition**

# 8-8-101.11 Sanitary Condition

(A) Every Mobile Food Establishment shall be operated and maintained in a clean and sanitary condition in compliance with the appropriate sections of the DISTRICT Food Code. It is prohibited to transport pets, chemicals, or other items that are not part of the food operation. The DISTRICT may impose additional requirements to protect against health hazards related to environmental conditions, food protection and the capacity of the equipment to maintain product temperature which may include prohibiting the sale or distribution of some or all potentially hazardous foods (critical).

# License and Certification Requirements

#### 8-8-102.11 Licenses for Mobile Food Units

- (A) No person shall operate a Mobile Food Operation without first obtaining and holding a license to do so from the DISTRICT. A separate license is required for each establishment. Licenses are nontransferable from person-to-person, place-to-place, or establishment-to-establishment (critical). Mobile Food Operation includes:
  - 1. Pushcart that refers to a non-self-propelled vehicle designated to be readily movable which is limited to the serving of non-potentially hazardous foods or commissary-wrapped potentially hazardous foods maintained at proper temperatures, or limited to the assembling and serving of frankfurters and
  - 2. Food Peddlers that refers to a retail food establishment in operation from a vehicle designated to be readily movable from which is wrapped, properly labeled non-potentially hazardous foods or packaged potentially hazardous foods held at proper temperatures, frozen or canned prepared food which, packaged, portioned, kept in ready-to-eat unites or raw fruits and vegetables are vended, sold, or given away but in which establishment the food is not composed, compounded, thawed, reheated, cut, cooked, processed or prepared. Food peddlers may dispense soda fountain drinks, hot drinks, and assemble snow cones from approved and properly installed equipment.

- (B) <u>Each Mobile Food Operation shall display a sticker color coded to the current year which will be displayed at the rear of the unit in the upper right hand corner.</u>
- (C) Mobile Food Operation license holders that desire to perform operations not allowed under their license, while operating in conjunction with a fair, rodeo, parade or other type of public gathering, shall submit an application for a temporary food permit.

### 8-8-102.12 Certification Requirements

Persons operating a Mobile Food Establishment shall hold a valid Food Managers' Certificate or a valid Food Handlers' Certificate issued by DISTRICT depending on the type of food operation being conducted as required in §8-2-102.20, 8-2-102.21, and 8-2-102.22 (critical). P

### Plan Review Requirements

### 8-8-103 APPROVAL OF PLANS

No Mobile Food Establishment shall be constructed, nor shall any major alteration or addition be made thereto, unless detailed plans and specifications and a complete menu for the establishment have been provided to and approved by, the DISTRICT; nor shall any construction, alteration, or addition be made except in accordance with approved plans (critical). P

### 8-8-2 FOOD SAFETY

#### **Subparts**

- 1. **8-8-201 Food Protection**
- 2. 8-8-202 Commissary Requirements

#### Food Protection

### 8-8-201.11 FOOD PROTECTION

- (A) <u>Time only as a public health control shall not be used in Mobile Food</u> <u>Establishments or Food Peddler Operations</u>
- (B) <u>Food preparation on Mobile Food Units and Pushcarts shall be simplified to reduce excessive steps where food may become contaminated. Only minimum food preparations shall be approved.</u>
- (C) When a Mobile Food Establishment is in transit, all closures shall be in place and all food appropriately protected.

- (D) The DISTRICT shall approve, in writing, the procedures used by any Mobile Food Establishment for providing samples of food to the consumer.
- (E) The DISTRICT shall approve, in writing, the procedures used by any Mobile Food Unit or Pushcart for outdoor cooking, processing, composing, compounding, preparing, grilling, storage, or service of any food or beverage.
- (F) <u>Heating and refrigeration appliances and compartments must be equipped</u> with properly located, easily readable thermometers which are accurate within ±2°F.
- (G) <u>Mobile Food Establishments shall provide only single-service articles for</u> use by the consumer.
- (H) <u>Mobile Food Establishments shall ensure that condiments not in individual packages are provided in dispenser bottles or in other containers protected from contamination (critical).</u>
- (I) <u>Except when washing fruits and vegetables as specified in this Code</u>, or when otherwise APPROVED FOOD EMPLOYEES may not contact exposed, READY-TO-EAT FOOD with their bare hands and shall use suitable UTENSILS such as deli tissue, spatulas, tongs, SINGLE-USE gloves, or dispensing EQUIPMENT (critical). P
- (J) All packaged foods shall be labeled in accordance with 21 CFR 101 and CCFC 2009, Sec. 3, 602.11.
- (K) All potentially hazardous foods prepared or sold from a Mobile Food

  Establishment shall be prepared on the same day of sale or service. Hold

  over of potentially hazardous foods from a previous day's operation

  leftovers are is prohibited (critical).
- (L) <u>Mobile Food Establishments shall not prepare or offer for sale any type of potentially hazardous food that is raw or raw-marinated without proper consumer notification as outlined in the Current Status of Consumer Advisory Language, CCFC, Section 3, 603.11 (critical). P</u>
- (M) All food that is held for consumer self-service shall be wrapped to protect it from contamination (critical). P
- (N) Mobile Food Establishments that provide potentially hazardous foods held hot that are manufactured by a food processing plant shall only provide those items that bear a "Sell By" date placed on the food by the food processing plant. "Sell By" dates shall be legible and plainly presented to the consumer and not obscured in any way. All foods must be sold within 24 hours from being placed on the vehicle.

### Commissary Requirements

### 8-8-202.11 **COMMISSARY**

(A) Base of operations.

- 1. Mobile Food Establishments shall operate from an approved commissary and shall report at least daily to such locations for supplies, food storage, vehicle and equipment cleaning, waste disposal and service operations (critical). P
- 2. The commissary used as a base of operations shall be constructed and operated in compliance with the requirements of this code.

### B. <u>Servicing and operation</u>

- 1. Servicing area
- a. A Mobile Food Establishment servicing area shall be provided and shall include at least overhead protection for any supplying, cleaning or servicing operation. Within this servicing area, there shall be a location provided for the flushing and drainage of liquid wastes separate from the location of food and related supplies. A service area is not required where only packaged food is offered on the Mobile Food Establishment or where Mobile Food Establishments do not contain water or waste retention tanks.
- b. The surface of the servicing area shall be constructed of a smooth nonabsorbent material, such as concrete or machine-laid asphalt and shall be maintained in good repair, kept clean and be graded to drain.
- 2. <u>Serving operations</u>
- a. Potable water servicing equipment shall be installed according to A.C.R.R. Title 9, Chapter 8, Article 2 and shall be stored and handled in a way that protects the water and equipment from contamination (critical). P
- b. The Mobile Food Establishment liquid waste retention tank, where used, shall be thoroughly flushed and drained during the servicing operation.
   All liquid waste shall be discharged to a sanitary sewage disposal system (critical). P
- C. For Mobile Food Establishments using an existing licensed facility as an approved commissary which is not owned by the Mobile Food

  Establishment, a Commissary Agreement is required at the time of license issue and renewal, and as part of the documents submitted during plan review.
- D. Mobile Food Establishments serving potentially hazardous foods shall maintain a log of visits to the approved commissary. This log shall be maintained using permanent, waterproof ink, and maintained with the unit at all times.

### 8-8-3 PHYSICAL FACILITIES

### **Subparts**

- 1. 8-8-301 Physical Requirements
- 2. 8-8-302 Interior Requirements

### Physical Requirements

### **8-8-301.11** Posting of Licenses

For all Mobile Food Establishments, the name of the establishment shall be plainly indicated on sides and rear of the exterior of the vehicle in letters of contrasting colors at least three inches high with a minimum of 3/8 inch wide. When more than one license is issued with the same business name to the same business owner, the vehicle number shall be plainly indicated with the business name.

### 8-8-301.12 Separation of Vehicle Components from Food and Food Equipment

Compressors, auxiliary engines, generators and similar mechanical units that are not an integral part of the food preparation or storage equipment shall be installed completely separated from the food preparation and storage areas and accessible from the exterior for all Mobile Food Establishments.

### **8-8-301.13** Notification of Operational Locations

Mobile Food Establishments shall provide information by fax, email or mail) concerning any change in daily operations to the DISTRICT within 5 business days. Information such as location, address and business name, time in and out of each stop shall be provided in a format prescribed by the DISTRICT.

### **8-8-301.14 Food Equipment**

Only utensils and equipment necessary for the operation and maintenance of a Mobile Food Establishment shall be kept on the vehicle or booth enclosure.

### **8-8-301.15** Water Requirements

- (A) <u>Mobile Food Establishments shall provide an approved potable water hose</u> <u>designated for drinking water use only. This hose is to be used only to fill the fresh water tank in accordance with this Code (critical).</u>
- (B) Mobile Food Establishments shall operate with hot (≥ 100°F) and cold potable water, dispensed hand washing soap and sanitary single use towels, which are available for immediate use at all times (critical).

### 8-8-301.16 Toilet Facilities

Sanitary toilet facilities with adequate hand washing facilities for use by employees shall be readily available within 200 feet of all Mobile Food Establishments that are operated at the same site for more than a one-hour period (critical). P

### 8-8-301.18 Solid Waste Storage

- (A) Adequate and durable waste containers of easily cleanable construction with self-closing covers shall be furnished for the use of consumers and the operator for all Mobile Food Establishments.
- (B) The area within which a Mobile Food Establishment is operating shall be kept clean and free from litter, garbage, rubble and debris at all times.

### 8-8-301.19 Hand Washing and Dish Washing Requirements

(A) The sink will have a hand washing lavatory at least 9 inches wide, 9 inches long, and 5 inches deep shall be permanently installed on all Mobile Food Units and Pushcarts.

### Interior Requirements

### 8-8-302.11 <u>Interior Construction Requirements</u>

(A) Food service openings for Mobile Food Units shall be:

- 1. <u>Limited to 216 square inches (such as 12 inches X 18 inches) each and equipped with a screen (16-mesh per square inch minimum) or solid door, which shall be kept closed when not in use.</u>
- 2. Multiple service openings shall be at least 18 inches apart.
- (B) The cab or driving portion of the vehicle for a Mobile Food Unit shall be separated from the food preparation and serving area of the vehicle by a permanent solid wall partition.
- (C) <u>Doors to the food preparation and serving area shall be effectively self-closing and kept closed for Mobile Food Units.</u>
- (D) Interior walls and ceilings of a mobile food unit shall be light in color.

### 8-8-4 WATER AND WASTEWATER SYSTEM REQUIREMENTS

### **Subparts**

- 1. 8-8-401 Water System Capacity
- 2, 8-8-402 Flow Rates
- 3. 8-8-403 Backflow Prevention
- 4. 8-8-404 Water & Wastewater Design
- 5. 8-8-405 Wastewater Disposal

### **Capacity**

### 8-8-401.11 Water System Capacity

(A) A potable water system under pressure, supplying hot and cold water, of a minimum capacity of 30 gallons, shall be installed permanently in Mobile Food Units for food preparation, utensil washing and sanitation, and hand washing (critical).

(B) Pushcarts shall have a permanently installed potable water storage tank providing water under pressure with a minimum capacity of 5 gallons for the purpose of hand washing only (critical). P

### Flows

### 8-8-402.11 Flow Rates

Mobile Food Units and Pushcarts shall provide a minimum flow rate of hot and cold water to each sink basin of one gallon per minute.

### **Backflow Prevention**

### 8-8-403.11 Backflow Prevention

All connections on the vehicle for servicing Mobile Food Units and Pushcarts shall be of different size or type than those used for supplying potable water to these vehicles. The waste connection shall be located lower and below than the water inlet connection to preclude contamination of the potable water system. In addition, waste water outlets shall be greater than one inch unless otherwise approved by the DISTRICT (critical).

### Water and Wastewater Design

### 8-8-404.11 Water and Wastewater Design Specifications

- (A) All water tanks, pumps, and hoses shall be flushed and sanitized before being placed in service after construction, repair, modification, and periods of nonuse longer than 7-days. Potable water tanks shall be flushed and sanitized monthly (critical). P
- (B) Materials that are used in the construction of a Mobile Food Establishment water tank, and appurtenances shall be safe, durable, corrosion-resistant, and non-absorbent; and finished to have a smooth, easily cleanable surface (*critical*). P
- (C) The fixtures or connectors for the inlet on a potable water storage tank for all Mobile Food Units and Pushcarts shall not be the same size or type as fixtures or connectors used to drain wastewater tanks (critical). P
- (D) Potable water system inlets shall be protected against use for any other service and from potential contamination from any source. In addition, potable water inlet shall be no larger than ¾ inch unless otherwise approved by the DISTRICT.
- (E) Mobile Food Units and Pushcarts shall not connect to water or wastewater systems except during servicing operations at a commissary or as approved by the DISTRICT
- (F) Water and sewage piping connected to and serving Mobile Food Establishments (when approved for Mobile Food Units and Pushcarts) shall not exceed 50 feet in length.

- (G) Mobile Food Establishments connected to an approved potable water system shall also be connected to an approved sewage disposal system (critical). 
  (H) "Y" type connections are prohibited on water and sewage lines for Mobile Food Units and Pushcarts.
- (I) Fluid waste storage tank with a capacity at least 15% larger than the potable water storage tank shall be installed on all Mobile Food Units and Pushcarts and shall be sloped to a drain that is one (1) inch in diameter or greater, equipped with a shut-off valve.

### Wastewater Disposal

### 8-8-405.11 Wastewater Disposal

Wastewater holding tanks shall be emptied into an approved sewage disposal system (critical). P

### 8-8-5 ADDITIONAL FOOD PEDDLER REQUIREMENTS

### **Subparts**

- 1. 8-8-501 Business Signage Requirements
- 2. 8-8-502 Outdoor Food Protection
- 3. 8-8-503 Frozen Food
- 4. 8-8-504 Produce Requirements
- 5. 8-8-505 Non-Potentially Hazardous Food Requirements
- 6. 8-8-506 Vehicle Requirements for Food Peddlers
- 7. 8-8-507 Labeling Requirements for Packaged Foods

### **Business Signage Requirements**

### 8-8-501.11 Business Signage

Food Peddlers that sell foods outside their vehicles shall provide a permanent sign indicating their business name in letters at least 6 inches high and 1 inch wide. The sign shall be visible to the consumer and shall be in addition to the signs used on the vehicle.

### **Outdoor Food Protection**

### **8-8-502.11 Food Protection**

All food shall be stored and displayed at least 24 inches above the ground surface when operating outdoors.

### Frozen Food Requirements

#### **8-8-503.11** Frozen Foods

All packaged frozen foods shall be maintained frozen and sold or offered for sale to consumers frozen (critical). P

### **Produce Requirements**

### 8-8-504.11 Produce Requirements

Cutting of produce, melons, vegetables or fruits is prohibited onsite except to show that an item is seedless, ripe, of appropriate color, or other characteristic unless produce was washed and cut in a licensed food operation. Cut produce, vegetables, fruits, or melons shall not be offered as a sample for tasting to consumers and shall not be sold. Cutting as a method of portioning is prohibited (critical).

### Non-Potentially Hazardous Food Requirements

### 8-8-505.11 Non-Potentially Hazardous Food

Approved utensils must be used to dispense non-potentially hazardous foods. Food Peddlers may dispense soda fountain drinks and assemble snow cones from properly installed equipment. Drinks must be properly dispensed from bulk containers. In addition, when these open food products are to be distributed, approved, self-contained hand washing facilities shall be installed (critical).

### Vehicle Requirements for Food Peddlers

### 8-8-506.11 Vehicle Requirements

- (A) Vehicles used for peddling prepackaged food must comply with the following:
  - 1. Prepackaged food must be transported inside a vehicle in an approved container to prevent contamination. Food shall not be exposed to the outdoors (e.g. transported in a truck bed), or transported inside a vehicle trunk (critical) <sup>P</sup>;
  - 2. Shall have proper cold and hot holding commercial equipment to maintain proper food temperatures as specified in this code (critical) P:
  - 3. Pets shall not be transported in a vehicle containing food intended for human consumption (critical) P;
  - 4. <u>Unauthorized personnel who are not food workers shall not be transported in a vehicle with food intended for human consumption;</u>
  - 5. No smoking or use of electronic smoking devices is allowed in a private vehicle when food intended for human consumption is transported;
  - 6. The inside of a vehicle must be clean and sanitary; free of filth, debris, and unnecessary articles (critical) P;
  - 7. Proper signage with the business name shall be provided on at least one side of the vehicle that includes the business name with lettering that is at least 3" in height and 3/8" wide. When more than one vehicle is licensed a vehicle number shall be plainly indicated along with the business name; and

8. <u>Health permits/licenses shall be properly displayed along with the business name.</u>

### Labeling Requirements for Packaged Foods

### 8-8-507.11 Packaged Food Labeling Requirements

Food Peddlers shall sell properly labeled food that is obtained from approved sources only. Sale of food from other Mobile Food Establishments or prepared at home is prohibited (critical).

### **SEC.8-9 TEMPORARY FOOD FACILITIES**

#### **Parts**

- 1. 8-9-1 General Requirements
- 2. 8-9-2 Operational Requirements
- 3. 8-9-3 Physical Requirements
- 4. 8-9-4 <u>Food Safety</u> Requirements
- **5. 8-9-5** Water and Wastewater Requirements
- 6. 8-9-6 Food Sampler Requirements
- 7. 8-9-7 Farmers' Market Requirements
- 8. 8-9-8 <u>Backcountry Variance Requirements</u>

### 8-9-1 GENERAL REQUIREMENTS

### **Subparts**

- 4. 8-9-101 General Requirements
- 5. 8-9-102 Permit & Certification Requirements
- 6. 8-9-103 Plan Review

### General Requirements

### 8-9-101.11 General Requirements

Temporary Food Service Establishments shall comply with all requirements in this Code applicable to food service establishments, except as otherwise provided in this Section. The DISTRICT may impose additional requirements to protect against health hazards related to the conduct of the Temporary Food Service Establishment, may prohibit the sale of some or all potentially hazardous foods, and when no health hazard will result, may waive or modify requirements of this Section.

### 8-9-102.11 **Permit Requirements**

No person shall operate a Temporary Food Service Establishment without a valid permit issued by the DISTRICT. A separate permit is required for each temporary facility unless the temporary facility is located immediately adjacent to a licensed food establishment and operated by the licensed facility. Permits are nontransferable from person-to-person, place-to-place or vehicle-to-vehicle according to §2-1-1 in the Coconino County Environmental Services Code (critical).

### 8-9-102.12 <u>Certification Requirements</u>

At a minimum, each Temporary Food Service Establishment shall have at least one food worker who has a valid food safety training card from a source approved by this DISTRICT (training cards cannot be more than 3 years old) (critical). P

### Plan Review Requirements

### 8-9-103.11 Plan Review Requirements

To operate a Temporary Food Service Facility, each food vendor must submit an application at least 10 days prior to the event for review. Applications received after the 10 days will be charged a penalty fee. Establishments with a valid DISTRICT food permit/license must obtain a Temporary Food Service permit. A permit to operate is valid for one event or for multiple events over a 6 month period.

### 8-9-2 OPERATIONAL REQUIREMENTS

#### **Subparts**

- 7. 8-9-201 Restricted Food Operations
- 8. 8-9-202 Food Requirements for Tent Operations
- 9. 8-9-203 Commissary Requirements

### Restricted Food Operations

### **8-9-201.11** Restricted Food Operations

Only those food items requiring limited preparations such as hamburgers, hot dogs, or other approved basic menu items shall be prepared. Foods requiring extensive hand contact or multiple steps shall be prohibited from sale or distribution. This prohibition does not apply to foods that have been prepared and/or packaged in facilities meeting the requirements of this Code.

### Food Requirements for Tent Operations

### **8-9-202.11** Food Operations for Tents

### Tents are allowed for the following types of food service only:

- (A) Cooking of pre-formed hamburgers and hot dogs
- (B) Grilling/barbecuing meats that have been prepared in an enclosed mobile unit or commissary so long as only one raw meat type is used;
- (C) Cooking/reheating of commercially processed foods (critical) <sup>P</sup>;
- (D) Deep frying of commercially processed foods such as fish and chips;
- (E)Preparation of non-potentially hazardous foods such as kettle corn, lemonade/orange drinks and cotton candy (*critical*) <sup>P</sup>; and
- (F) Outdoor buffets must be limited to four hours duration at each setting (critical). P

### Commissary Requirements

### 8-9-203.11 Commissary Requirements

- (A) A Commissary is a base of operation for temporary food facilities. An outdoor food service facility must operate from a commissary or other fixed food service establishment and shall report at least daily to such location for all supplies and cleaning (critical). P
- (B) The commissary or other fixed food service establishment used as a base of operations shall be constructed and operated in compliance with the requirements of this Code.
- (C) Adequate and sufficient cooking facilities must be provided in the commissary in the event unsatisfactory weather conditions occur outside.

### 8-9-3 PHYSICAL REQUIREMENTS

### **Subparts**

- 1. 8-9-301 Construction Requirements
- 2. 8-9-302 Hand Wash Requirements
- 3. 8-9-303 Dishwashing Requirements

### Construction Requirements

### **8-9-301.11** Construction Requirements

- (A) Temporary Food Service Establishments operating with a Mobile Food Unit, Pushcart or Food Peddler shall comply with provisions of this code pertaining to Mobile Food Establishments.
- (B) <u>Booth or Tent construction shall include a ceiling and three walls (two sides and rear) and a floor.</u> Walls and ceilings shall be made of wood, canvass or other material that protects the interior of the establishment from the weather and that prevents the entrance of insects (critical). P
- (C) Doors to food preparation areas shall be self-closing.
- (D) Screening material may be used for wall construction and shall be at least 16 mesh to the inch. When screening material is used, additional wall covering must be maintained on-site in case of inclement weather.
- (E) Floors shall be constructed of concrete, asphalt, tight wood or other similar cleanable material kept in good repair. Dirt or gravel, when graded to drain, may be used as subflooring when covered with clean, removable platforms, duckboards, or

with other pre-approved suitable materials.

- (E) Counter-service openings shall not be larger than necessary for the particular operation conducted. These openings shall be provided with tight-fitting solid or screened doors or windows or shall be provided with fans installed and operated to restrict the entrance of flying insects. Counter-service openings shall be kept closed, except when in actual use.
- (F) A temporary food establishment shall not be constructed within 100 feet of any petting, ride or holding area for animals (*critical*). P

### Hand Wash Requirements

### 8-9-302.11 Hand Wash Requirements

A convenient hand washing facility shall be available for employee hand washing. This facility shall consist of at least warm (tempered) running water, dispensable soap and individual paper towels (critical). P

### Dishwashing Requirements

### 8-9-303.11 Dishwashing Requirements

Approved ware washing facilities shall be provided for all operations where multi-use utensils are required (critical). P

### 8-9-4 FOOD SAFETY REQUIREMENTS

### **Subparts**

- 1. 8-9-401 Food Safety Requirements
- 2. 8-9-402 Food Transportation Requirements
- 3. 8-9-403 Ice Requirements
- 4. 8-9-404 Single Service Food Articles

### General Food Safety Requirements

### 8-9-401.11 General Food Safety Requirements

- (A) Equipment shall be located and installed in a way that prevents food contamination and that also facilitates cleaning the establishment (critical). P
- (B) Food-contact surfaces of equipment shall be protected from contamination by consumers and other contaminating agents. Effective shields for such equipment shall be provided, as necessary to prevent contamination (critical).
- (C) <u>During preparation, unpackaged food shall be protected from environmental sources of contamination (critical).</u>
- (D) Storage of packaged food in contact with water or un-drained ice is prohibited. Wrapped sandwiches shall not be stored in direct contact with ice.
- (E) All food and single service articles must be stored at least 6 inches off the ground and must be stored covered to protect against inclement weather and insects/rodents.

### 8-9-401.12 Food Preparation

(A) At home preparation and storage are not approved. All operations must be conducted at an approved commissary or on-site at the event (critical).

### 8-9-401.13 **Hot and Cold Holding Food Requirements**

- (A) Cooking/Hot & Cold holding equipment must be NSF/ANSI sanitation underwritten. Home-style equipment is not acceptable or allowed (critical). P
- (B) Produce must be rinsed and scrubbed before preparation and service, and must be washed in a plumbed food preparation sink or be pre-washed (critical). P
- (C) Ambient air thermometers are required to be calibrated and to be present in all refrigeration equipment.
- (D) For operations serving potentially hazardous foods, metal stem probe thermometers are required to be calibrated and used to check internal food temperatures. Metal stem probe thermometers must have a range between  $0^{\circ}F 220^{\circ}F$ .

### **8-9-401.14** Food Handling

Bare hand food contact is not allowed. Employees must use single-use non-latex gloves, tongs, spatulas, tissue paper, or other approved utensils when handling ready-to-eat food (critical). P

### **8-9-401.15** Food Storage

Commercial refrigerators are required when potentially hazardous foods will be served at multi-day events. Coolers/Ice chests will only be approved under the following circumstances:

- 1. To store potentially hazardous foods for one day events,
- 2. To store potentially hazardous foods at a multi-day event if the food is stored overnight in an approved commissary (a signed commissary agreement must be signed and submitted with the application),
- 3. To store non-potentially hazardous foods like soda, condiments, raw vegetables, etc.

### Food Transportation Requirements

### **8-9-402.11** Food Transportation

Refrigerated, potentially hazardous food (time/temperature control for safety food) shall be at a temperature of 5°C (41°F) or below when transported and received at an event. Hot potentially hazardous food (time/temperature control for safety food) shall be at a temperature of 57°C (135°F) or greater when transported and received at an event (critical). P

### Ice Requirements

### 8-9-403.11 Ice Requirements

Ice that is consumed or that contact food shall be made under conditions meeting the requirements of this Code. The ice shall be obtained only in chipped, crushed or cubed form and in single-use safe plastic or wet-strength paper bags filled and sealed at the

point of manufacture. The ice shall be held in these bags until it is dispensed in a way that protects it from contamination (critical). P

### Single Service Food Equipment

### 8-9-404.11 Single Service Food Articles

All temporary food service establishments without effective facilities for cleaning and sanitizing tableware shall provide only single-service articles for use by the consumer.

### 8-9-5 WATER AND WASTEWATER REQUIREMENTS

### **Subparts**

- 1. 8-9-501 Water Requirements
- 2. 8-9-502 Wastewater Requirements
- 3. 8-9-503 Toilet Requirements

### Water Requirements

### 8-9-501.11 Water Requirements

Enough potable water shall be available in the establishment for food preparation, for cleaning and sanitizing utensils and equipment, and for hand washing. A heating facility capable of producing enough hot water for these purposes shall be provided on the premises or at the commissary (*critical*). P

### Wastewater Requirements

### 8-9-502.11 Wastewater Requirements

All sewage, including liquid waste, shall be disposed of according to AAC Title 18 Ch. 9 (critical). P

### Toilet Requirements

### 8-9-503.11 Toilet Requirements

Properly constructed toilets shall be provided and shall be maintained in a clean and sanitary condition at all times. A reasonable number of toilets shall be provided to accommodate all attendees, which are monitored and maintained on a routine basis for the duration of said event. Toilet facilities shall not be more than 400 feet from a food facility. The locations of all toilets shall be plainly indicated by signs (*critical*). P

### 8-9-6 FOOD SAMPLER REQUIREMENTS

### **Subparts**

### 1. 8-9-601 Food Sampler Requirements

### Food Sampler Requirements

### **8-9-601.11** Food Sampler Requirements

At a minimum, all samplers must have a tent, equipment to provide for adequate food protection and a hand washing station set up (critical). P

### 8-9-7 TEMPORARY EVENT GROUP PERMIT REQUIREMENTS

### Subparts

### 1. 8-9-701 Temporary Event Group Permit Requirements

### Temporary Event Group Permit Requirements

### 8-9-701.11 Temporary Event Group Permit Requirements

- (A) All Temporary Events involving Food Service Operations must apply for a Temporary Event Group Permit issued by the DISTRICT. Permits are valid for up to six months in a calendar year. Group events exceeding this timeframe will be considered permanent facilities subject to the provisions of this code relating to Food Establishments.
- (B) A Temporary Event Group Coordinator shall be designated for each group event and shall comply with the following:
  - 1. It is the responsibility of the Temporary Event Group Coordinator to apply for a group permit for limited food service or sampler operations covered under this permit to this office no later than 10 days prior to their operation at the event.
  - 2. It is the responsibility of the Temporary Event Group Coordinator to provide a list of all food service operations that plan to attend the event. A valid license or permit is required for each food service operation (critical). P
  - 3. Applications received after the 10 days will be charged a penalty fee.
  - 4. It is the responsibility of the Temporary Event Group Coordinator to comply with and/or apply for any applicable DISTRICT permits and licenses such as solid waste, wastewater, potable water, and campground (critical). P
- (C) The Temporary Event Group Permit is valid for limited food service operations such as food samplers and non-potentially hazardous food vendors.
- (D) Food vendors that sell only whole, uncut produce or non-sampled, pre-packaged, non-potentially hazardous foods are not required to be permitted.

### 8-9-8 BACKCOUNTRY VARIANCE REQUIREMENTS

### **Subparts**

### 1. 8-9-801 Backcountry Variance Requirements

### **Backcountry Variance Requirements**

### 8-9-801.11 Backcountry Variance Requirements

- (A) The DISTRICT may grant a variance for Backcountry Temporary Events by modifying or waiving the requirements of this code if in the opinion of the DISTRICT a health hazard or nuisance will not result from the variance. If a variance is granted, the DISTRICT shall retain the information specified under 8-5-17B in its records for the Backcountry Temporary Event.
- (B) Before a variance from a requirement of this code is approved, the information that shall be provided by the person requesting the variance and retained in the DISTRICT 's file on the Backcountry Temporary Event includes:
  - 1. A statement of the proposed variance of the code requirement citing relevant code section numbers;
  - 2. A food safety plan of the rationale for how the potential public health hazards and nuisances addressed by the relevant code sections will be alternatively addressed by the proposal.
  - 3. If the DISTRICT grants a variance the permit holder shall comply with the food safety plans and procedures that are submitted and approved as a basis for the modification or waiver, and maintain and provide to the DISTRICT, upon request, records specified that demonstrate that verification of the effectiveness of the operation or process is routinely employed.

### **SECTION 8-10 EDIBLE FOOD PRODUCTS**

### **Parts**

- 1. 8-10-1 Scope and Legal Authority
- 2. 8-10-2 General Requirements
- **3. 8-10-3 Prohibitions**
- 4. 8-10-4 Examination Condemnation
- 5. 8-10-5 Plan Review Requirements
- 6. **8-10-6 Facility Requirements**
- 7. **8-10-7 Enforcement**

### 8-10-1 SCOPE AND LEGAL AUTHORITY

### **Subparts**

### 1. 8-10-101 Scope and Legal Authority

### 8-10-101.11 Scope and Legal Authority

(A) <u>The Coconino County Board of Directors is authorized in A.R.S. 11-251(17)</u> to adopt provisions necessary to preserve the health of the county, and in A.R.S.

- 11-251(30) to make and enforce all local, police, sanitary and other regulations not in conflict with the general laws;
- (B) General law as found in A.A.C. R9-17-316 requires that the processing of Edible Food Products be prepared in a licensed facility pursuant to 9 A.A.C. 8, Article 1 and that these facilities comply with local ordinances and requirements of the local health department where the facility is located;
- (C) Edible food products are present in Coconino County;
- (**D**) Injuries, infections and occasional outbreaks of food-borne diseases may occur as a result of improper food handling procedures;
- (E) Requiring an Edible Food Products Infused with Medical Marijuana establishment to obtain a license is the most effective means of administering and regulating a public health program with sanitation standards, training requirements, and client education and disclosure;
- (F) The Coconino County Board of Directors enacted Ordinance 85-4, also known as the Environmental Services Code, on October 21, 1985 to provide minimum standards for the protection of the health of the people of Coconino County; and
- (G) It is the mission of the Coconino County Public Health Services DISTRICT to prevent disease and to provide a healthful environment for all.

### 8-10-2 GENERAL REQUIREMENTS

Subparts

### 2. 8-10-201 General Requirements

### 8-10-201.11 General Requirements

Edible Food Products Infused with Medical Marijuana Establishment processors, handlers and retailers must comply with the requirements of Chapter 8 of the Coconino County Environmental Services Code and Title 9 Health Services, Chapter 17.

Department of Health Services-Medical Marijuana Program. The Chief Health Officer may impose additional requirements to protect against health hazards related to the conduct of these operations, or may prohibit the sale of some or all food (critical).

### 8-10-3 PROHIBITIONS

**Subparts** 

### 3. 8-10-301 Prohibitions

### **8-10-301.11 Prohibitions**

The following acts are prohibited (critical) P:

- (A) It is prohibited to use marijuana in the processing of edible food products that is not from an approved source such as a qualifying patient, designated caregiver, or approved dispensary, in accordance with A.A.C. R9-17-316 A.
- (B) It is prohibited to dispense Edible Food Products without properly labeling all consumable products in accordance with A.A.C. R9-17-316.
- (C) It is prohibited to engage in the operation of an Edible Food Products Infused with Medical Marijuana Establishment out of a home or in violation of the appropriate City or County Planning and Zoning approval.
- (D) It is prohibited to own, operate, or solicit business as an Edible Food Products Infused with Medical Marijuana Establishment without first obtaining all necessary permits, licenses and approvals from the health authority (ADHS and CCPHSD).
- (E) It is prohibited to dispense Edible Food Products without obtaining a food establishment license.
- (F) It is prohibited to obtain or attempt to obtain a license to operate an Edible Food Products Infused with Medical Marijuana Establishment by means of fraud, misrepresentation, or concealment.
- (G) No emission of dust, fumes, vapors, or hazardous waste into the environment is permitted from any licensed establishment where Edible Food Products are prepared or processed.
- (H) It is prohibited to conduct any chemical extraction of marijuana within the premises any licensed establishment where Edible Food Products are prepared or processed.

### 8-10-4 EXAMINATION AND CONDEMNATION

Subparts

### 4. 8-10-401 Examination and Condemnation

### 8-10-401.11 Examination and Condemnation (critical) P

Samples of Edible Food Products may be taken and examined by the Chief Health
Officer as often as he/she deems necessary for the detection of unwholesomeness or
adulteration. The Chief Health Officer shall, at her/his discretion, condemn and forbid for
sale or cause to be removed or destroyed, food or drink which he/she deems

unwholesome or adulterated in accordance with this Code. Any Edible Food Products deemed unwholesome or adulterated will be condemned and discarded in accordance with A.A.C. Regulation 9-17-315 B. 4. i. and Regulation 9-17-315 B. 7.e.

### 8-10-5 PLAN REVIEW REQUIREMENTS

### **Subparts**

### 5. 8-10-501 Plan Review Requirements

### 8-10-501.11 Plan Review Requirements

(A) Plans for the construction, alteration, or remodeling of any Edible Food Products Infused with Medical Marijuana Establishment shall be reviewed by the Chief Health Officer for compliance with this Code. No construction, alteration, or remodeling shall be undertaken until such plans have been approved (critical). P

(B) Plans for Edible Food Products Infused with Medical Marijuana
Establishments must include a detailed written outline describing how Edible
Food Products will be stored, handled, prepared, and served; separately from
other foods.

### 8-10-6 FACILITY REQUIREMENTS

### **Subparts**

- 1. 8-10-601 Edible Facility Compliance
- 2. 8-10-602 Packaging & Labeling Requirements
- 3. 8-10-603 Potentially Hazardous Food Requirements
- 4. 8-10-604 Edible Product Separation Requirements

### Edible Facility Compliance

### 8-10-601.11 Edible Facility Compliance

- (A) Edible Food Products Infused with Medical Marijuana Establishment premises shall comply with all parts of this Code.
- (B) The storage, preparation, packaging, and serving of Edible Food Products shall comply with all parts of this Code.

### Packaging & Labeling Requirements

### 8-10-60.11 Edible Facility Compliance

(A) Packaging that makes the product attractive to children or imitates candy is

prohibited. Any Edible Food Product that is made to resemble a typical food product (e.g. brownies, cakes, etc.) must be packaged in opaque (non-see-through) packaging (critical). P

(B) Product labeling shall comply with the Food and Drug Administration Labeling laws in addition to the requirements listed in the A.A.C. R9-17-316.

### Potentially Hazardous Food Requirements

### 8-10-603.11 Potentially Hazardous Food Requirements

Products that are identified as potentially hazardous foods shall comply with all cooking temperatures, cold holding temperatures, and hot holding temperatures described in the Food Code (critical). P

### **Edible Product Separation Requirements**

### 8-10-604.11 Edible Product Separation Requirements

Edible Food Products will be stored, handled, prepared, and served separately from other foods. The applicant must provide demonstrate how the processing of edible food products will not have an adverse effect on other food products being processed or dispensed at the food establishment that are not infused with medical marijuana (critical). P

### 8-10-7 ENFORCEMENT REQUIREMENTS

### Subparts

- 1. 8-10-701 General Enforcement
- 2. 8-10-702 Specific Enforcement

### General Enforcement

### 8-10-701.11

All parts of this Code for enforcement shall apply.

### Specific Enforcement

### 8-10-702.11

If the Chief Health Officer has reasonable cause to suspect that a communicable disease is or may be transmitted by an operator, by use of unapproved or malfunctioning equipment, or by unsanitary or unsafe conditions that may adversely affect the health of the public, upon written notice to the owner or operator, may issue an order to immediately suspend the license of the licensed establishment until the Chief Health Officer determines there is no further risk to the public health. Such an order shall state the cause for the action.

# Section 8-11 Compliance and Enforcement

#### **Parts**

- 1. 8-11-1 Code Applicability
- 2. 8-11-2 Plan Submission and Approval
- 3. 8-11-3 Permit/License to Operate
- 4. 8-11-4 Inspection and Correction of Violations
- 5. 8-11-5 Prevention of Foodborne Disease Transmission by Employees

### 8-11-1 Code Applicability

### **Subparts**

- 1. 8-11-101 Use for Intended Purpose
- 2. 8-11-102 Additional Requirements
- 3. 8-11-103 Variances

### Use for Intended Purpose

### 8-11-101.11 Public Health Protection

- 1. (A) The <u>DISTRICT</u> shall apply this Code to promote its underlying purpose, as specified in this code, of safeguarding public health and ensuring that FOOD is safe, unADULTERATED, and honestly presented when offered to the CONSUMER.
- 2. (B) In enforcing the provisions of this Code, the <u>DISTRICT</u> shall assess existing facilities or EQUIPMENT that were in use before the effective date of this Code based on the following considerations:
  - 1. (1) Whether the facilities or EQUIPMENT are in good repair and capable of being maintained in a sanitary condition;
  - 2. (2) Whether FOOD-CONTACT SURFACES comply with this code;
  - 3. (3) Whether the capacities of cooling, heating, and holding EQUIPMENT are sufficient to comply with regulations in this code; and
  - 4. (4) The existence of a documented agreement with the PERMIT/<u>LICENSE</u> HOLDER that the facilities or EQUIPMENT will be replaced as specified in this code.

### 8-11-101.11 Prohibition

The floors, walls, ceilings, receptacles, implements, machinery, and equipment of every food establishment, all vehicles used in the transportation of food shall not be kept or permitted to remain in an unclean, unhealthful, or unsanitary condition (critical)<sup>Pf</sup>.

### Additional Requirements

### 8-11-102.11 Preventing Health Hazards: Provision for Conditions Not Addressed

- (A) If necessary to protect against public health HAZARDS or nuisances, the <u>DISTRICT</u> regulatory authority may impose specific requirements in addition to the requirements contained in this Code that are authorized by LAW.
- (B) The <u>DISTRICT</u> shall document the conditions that necessitate the imposition of additional requirements and the underlying public health rationale. The documentation shall be provided to the PERMIT/<u>LICENSE</u> applicant or PERMIT/<u>LICENSE</u> HOLDER and a copy shall be maintained in the <u>DISTRICT's regulatory authority</u> file for the FOOD ESTABLISHMENT.

### 8-11-102.12 Salvage and Sale of Damaged Food

### (A) Permit/License Required

No person shall hold, sell, offer for sale, give away, or otherwise deal in damaged food without holding a valid permit/license to do so from the Department. Such permit/license shall be in addition to any other permit/license held by the permittee/licensee under this Code (*critical*). P

### (B) Items of Sanitation

<u>Damaged food operations shall comply with the appropriate parts of this Code and with the specific provisions of this section.</u>

- 1. Damaged food shall be stored apart from other food and food products in a section or area of the premises clearly designed by sign as the "Damaged Foods Section" (critical). P
- 2. Damaged food shall be labeled, either on the food itself or on its container or package, to indicate the nature of the damage, such as "fire damaged", "radiation damaged", etc. (critical).
- 3. No person shall sell, offer for sale or give away damaged food which is unclean, unwholesome, contaminated, unfit or otherwise dangerous or deleterious to health (critical). P

Any person in responsible charge of a food establishment wherein food has been subjected to any of the deleterious influences described in this regulation, shall notify the Department thereof before marketing such food (critical).

#### **Variances**

#### 8-11-103.11 Modifications and Waivers

The <u>DISTRICT</u> regulatory authority may grant a VARIANCE by modifying or waiving the requirements of this Code if in the opinion of the <u>DISTRICT</u> regulatory authority a health HAZARD or nuisance will not result from the VARIANCE. If a VARIANCE is granted, the <u>DISTRICT</u> REGULATORY AUTHORITY shall retain the information specified in this code in its records for the FOOD ESTABLISHMENT.

### 8-11-103.12 Documentation of Proposed Variance and Justification

Before a VARIANCE from a requirement of this Code is APPROVED, the information that shall be provided by the PERSON requesting the VARIANCE and retained in the <u>DISTRICTS</u> <u>REGULATORY AUTHORITIES</u> file on the FOOD ESTABLISHMENT includes:

- (A) A statement of the proposed VARIANCE of the Code requirement citing relevant Code section numbers (*critical*); Pf
- (B) An analysis of the rationale for how the potential public health HAZARDS and nuisances addressed by the relevant Code sections will be alternatively addressed by the proposal *(critical)*; Pf and
- (C) A HACCP PLAN if required as specified in this code that includes the information specified in this code as it is relevant to the VARIANCE requested (*critical*). Pf

### 8-11-103.13 Conformance with Approved Procedures

If the <u>DISTRICT</u> <u>REGULATORY AUTHORITY</u> grants a VARIANCE as specified in this code, or a HACCP PLAN is otherwise required as specified in this code, the PERMIT HOLDER shall:

- (A) Comply with the HACCP PLANs and procedures that are submitted as specified in this code and APPROVED as a basis for the modification or waiver (*critical*); <sup>P</sup> and
- (B) Maintain and provide to the <u>DISTRICT</u> <u>REGULATORY AUTHORITY</u>, upon request, records specified in this code that demonstrate that the following are routinely employed;
  - (1) Procedures for monitoring the CRITICAL CONTROL POINTS (critical), Pf
  - (2) Monitoring of the CRITICAL CONTROL POINTS (critical), Pf
  - (3) Verification of the effectiveness of the operation or process (*critical*), <sup>Pf</sup> and
  - (4) Necessary corrective actions if there is failure at a CRITICAL CONTROL POINT *(critical)*. Pf

### 8-11-2 Plan Submission and Approval

### **Subparts**

- 1. 8-11-201 Facility and Operating Plans
- 2. 8-11-202 Confidentiality
- 3. 8-11-203 Construction Inspection and Approval
- 4. 8-11-204 Time-frames

### Facility and Operating Plans

### 8-11-201.11 When Plans Are Required

A PERMIT/<u>LICENSE</u> applicant or PERMIT/<u>LICENSE</u> HOLDER shall submit to the <u>DISTRICT</u> REGULATORY AUTHORITY Properly prepared plans and specifications for review and approval before:

- (A) The construction of a FOOD ESTABLISHMENT (critical); Pf
- (B) The conversion of an existing structure for use as a FOOD ESTABLISHMENT (*critical*); Pf or
- (C) The remodeling of a FOOD ESTABLISHMENT or a change of type of FOOD ESTABLISHMENT or FOOD operation as specified in this code if the <u>DISTRICT</u> REGULATORY AUTHORITY determines that plans and specifications are necessary to ensure compliance with this Code (*critical*). Pf

### 8-11-201.12 Contents of the Plans and Specifications

The plans and specifications for a FOOD ESTABLISHMENT, including a FOOD ESTABLISHMENT specified in this code, shall include, as required by the <u>DISTRICT</u> REGULATORY AUTHORITY based on the type of operation, type of FOOD preparation, and FOODS prepared, the following information to demonstrate conformance with Code provisions:

- (A) Intended menu;
- (B) Anticipated volume of FOOD to be stored, prepared, and sold or served;
- (C) Proposed layout, mechanical schematics, construction materials, and finish schedules;
- (D) Proposed EQUIPMENT types, manufacturers, model numbers, locations, dimensions, performance capacities, and installation specifications;
- (E) Evidence that standard procedures that ensure compliance with the requirements of this Code are developed or are being developed; and
- (F) Other information that may be required by the <u>DISTRICT REGULATORY AUTHORITY</u> for the proper review of the proposed construction, conversion or modification, and procedures for operating a FOOD ESTABLISHMENT.

### 8-11-201.13 When a HACCP Plan is Required

- (A) Before engaging in an activity that requires a HACCP PLAN, a LICENSE/PERMIT applicant or LICENSE/PERMIT HOLDER shall submit to the DISTRICT REGULATORY AUTHORITY for approval a properly prepared HACCP PLAN as specified in this code and the relevant provisions of this Code if:
  - (1) Submission of a HACCP PLAN is required according to LAW;
  - (2) A VARIANCE is required as specified in this code;
  - (3) The <u>DISTRICT</u> REGULATORY AUTHORITY determines that a FOOD preparation or processing method requires a VARIANCE based on a plan submittal specified in this code, an inspectional finding, or a VARIANCE request.
- (B) A LICENSE/PERMIT applicant or LICENSE/PERMIT HOLDER shall have a properly prepared HACCP PLAN as specified in this code.

#### 8-11-201.14 Contents of a HACCP Plan

For a FOOD ESTABLISHMENT that is required in this code to have a HACCP PLAN, the plan and specifications shall indicate:

- (A) A categorization of the types of POTENTIALLY HAZARDOUS FOODS (TIME/TEMPERATURE CONTROL FOR SAFETY FOODS) that are specified in the menu such as soups and sauces, salads, and bulk, solid FOODS such as MEAT roasts, or of other FOODS that are specified by the <u>DISTRICT REGULATORY AUTHORITY (critical)</u>; Pf
- (B) A flow diagram by specific FOOD or category type identifying CRITICAL CONTROL POINTS and providing information on the following:
  - (1) Ingredients, materials, and EQUIPMENT used in the preparation of that FOOD (critical),  $^{Pf}$  and
  - (2) Formulations or recipes that delineate methods and procedural control measures that address the FOOD safety concerns involved (*critical*); Pf
- (C) FOOD EMPLOYEE and supervisory training plan that addresses the FOOD safety issues of concern (*critical*); Pf
- (D) A statement of standard operating procedures for the plan under consideration including clearly identifying:
  - (1) Each CRITICAL CONTROL POINT (critical), Pf
  - (2) The CRITICAL LIMITS for each CRITICAL CONTROL POINT (critical), Pf

- (3) The method and frequency for monitoring and controlling each CRITICAL CONTROL POINT by the FOOD EMPLOYEE designated by the PERSON IN CHARGE (critical), Pf
- (4) The method and frequency for the PERSON IN CHARGE to routinely verify that the FOOD EMPLOYEE is following standard operating procedures and monitoring CRITICAL CONTROL POINTS (*critical*), Pf
- (5) Action to be taken by the PERSON IN CHARGE if the CRITICAL LIMITS for each CRITICAL CONTROL POINT are not met (*critical*), Pf and
- (6) Records to be maintained by the PERSON IN CHARGE to demonstrate that the HACCP PLAN is properly operated and managed (*critical*); <sup>Pf</sup> and
- (E) Additional scientific data or other information, as required by the <u>DISTRICT</u> REGULATORY AUTHORITY, supporting the determination that FOOD safety is not compromised by the proposal <u>(critical)</u>. Pf

### **Confidentiality**

#### 8-11-202.11 Trade Secrets

The <u>DISTRICT REGULATORY AUTHORITY</u> shall treat as confidential in accordance with LAW, information that meets the criteria specified in LAW for a trade secret and is contained on inspection report forms and in the plans and specifications submitted as specified in this code

### Construction Inspection and Approval

### 8-11-203.11 Preoperational Inspections

The <u>DISTRICT REGULATORY AUTHORITY</u> shall conduct one or more preoperational inspections to verify that the FOOD ESTABLISHMENT is constructed and equipped in accordance with the APPROVED plans and APPROVED modifications of those plans, has established standard operating procedures as specified in this code, and is in compliance with LAW and this Code.

### Time Frames

### 8-11-204.11 Time-frames

A. This Section applies to the Department and to a local health department or public health services DISTRICT to which the duty to comply with A.R.S. Title 41, Chapter 6, Article 7.1 has been delegated by the Department.

- B. The overall time-frame described in A.R.S. § 41-1072 for each type of approval granted by the DISTRICT is provided in Table 1. The applicant, LICENSE HOLDER, or requester and the DISTRICT may agree in writing to extend the substantive review time-frame and the overall time-frame. An extension of the substantive review time-frame and the overall time-frame may not exceed 25% of the overall time-frame.
- C. The administrative completeness review time-frame described in A.R.S. § 41-1072 for each type of approval granted by the DISTRICT is provided in Table 1 and begins on the date that the DISTRICT receives an application or request for approval.
  - 1. The DISTRICT shall mail a notice of administrative completeness or deficiencies to the applicant, LICENSE HOLDER, or requester within the administrative completeness review time-frame.
    - <u>a.</u> A notice of deficiencies shall list each deficiency and the information and documentation needed to complete the application or request for approval.
    - b. If the DISTRICT issues a notice of deficiencies within the administrative completeness review time-frame, the administrative completeness review time-frame and the overall time-frame are suspended from the date that the notice is issued until the date that the DISTRICT receives the missing information from the applicant, LICENSE HOLDER, or requester.
    - c. If the applicant, LICENSE HOLDER, or requester fails to submit to the DISTRICT all of the information and documents listed in the notice of deficiencies within 180 days from the date that the DISTRICT mailed the notice of deficiencies, the DISTRICT shall consider the application or request for approval withdrawn.
  - 2. If the DISTRICT issues a LICENSE or other approval to the applicant, LICENSE HOLDER, or requester during the administrative completeness review time-frame, the DISTRICT shall not issue a separate written notice of administrative completeness.
- D. The substantive review time-frame described in A.R.S. § 41-1072 is provided in Table 1 and begins as of the date on the notice of administrative completeness.
  - 1. The DISTRICT shall mail written notification of approval or denial of the application or other request for approval to the applicant, LICENSE HOLDER, or requester within the substantive review time-frame.
  - 2. As part of the substantive review for a FOOD ESTABLISHMENT LICENSE, the DISTRICT may complete an inspection that may require more than one visit to the FOOD ESTABLISHMENT.
  - 3. During the substantive review time-frame, the DISTRICT may make one comprehensive written request for additional information, unless the DISTRICT and the applicant, LICENSE HOLDER, or requester have agreed in writing to allow the DISTRICT to submit supplemental requests for information.
    - a. The comprehensive written request regarding a FOOD ESTABLISHMENT LICENSE application may include a request for submission of plans and specifications, as described in this code.
    - b. The comprehensive written request regarding a request for a VARIANCE as specified in this code may include a request for a HACCP PLAN, as described in this code, if the DISTRICT determines that a HACCP PLAN is required.
    - c. If the DISTRICT issues a comprehensive written request or a supplemental request for information, the substantive review time-frame and the overall

- time-frame are suspended from the date that the DISTRICT issues the request until the date that the DISTRICT receives all of the information requested.
- 4. The DISTRICT shall issue a license or an approval unless:
  - a. For a FOOD ESTABLISHMENT LICENSE application, the DISTRICT determines that the application for a FOOD ESTABLISHMENT LICENSE or the FOOD ESTABLISHMENT does not satisfy all of the requirements of this Article;
  - b. For a VARIANCE, the DISTRICT determines that the request for a VARIANCE fails to demonstrate that the VARIANCE will not result in a health HAZARD or nuisance;
  - c. For approval of plans and specifications, the DISTRICT determines that the plans and specifications do not satisfy all of the requirements of this Article;
  - d. For approval of a HACCP PLAN, the DISTRICT determines that the HACCP PLAN does not satisfy all of the requirements of this Article;
  - e. For approval of an inspection form, the Department determines that the inspection form does not satisfy all of the requirements of R9-8-108(B)-(C); or
  - f. For approval of a quality assurance program, the Department determines that the quality assurance program does not satisfy all of the requirements of R9-8-108(E)(1).
- 5. If the DISTRICT denies an application or request for approval, the DISTRICT shall send to the applicant, LICENSE HOLDER or requester a written notice of denial setting forth the reasons for the denial and all other information required by A.R.S. § 41-1076.
- E. For the purpose of computing time-frames in this Section, the day of the act, event, or default from which the designated period of time begins to run is not included. Intermediate Saturdays, Sundays, and legal holidays are included in the computation. The last day of the period so computed is included unless it is a Saturday, a Sunday, or a legal holiday, in which event the period runs until the end of the next day that is not a Saturday, a Sunday, or a legal holiday.

Table 1. Time-frames (in days)

| Type of Approval                           | Statutory<br>Authority    | Overall<br>Time-<br>frame | Administrative Completeness Review Time- frame | Substantive<br>Review Time-<br>frame |
|--------------------------------------------|---------------------------|---------------------------|------------------------------------------------|--------------------------------------|
| FOOD ESTABLISHMENT LICENSE                 | 136(H)(4)                 | 00                        | <u>30</u>                                      | <u>30</u>                            |
| Approval of VARIANCE under FC § 8-103.10   | A.R.S. § 36-<br>136(H)(4) | 90                        | 30                                             | <u>60</u>                            |
| 8-201.11                                   | 130(H)(4)                 |                           | <u>30</u>                                      | <u>60</u>                            |
| Approval of HACCP PLAN under FC § 8-201.13 | A.R.S. § 36-<br>136(H)(4) | 90                        | 30                                             | <u>60</u>                            |

| Approval of Inspect                   | A.R.S. § 36-<br>136(H)(4) | 90 | 30 | <u>60</u> |
|---------------------------------------|---------------------------|----|----|-----------|
| Approval of Qual<br>Assurance Program | A.R.S. § 36-<br>136(H)(4) | 90 | 30 | <u>60</u> |

### 8-11-3 Permit/License to Operate

### **Subparts**

- 1. 8-11-301 Requirement
- 2. 8-11-302 Application Procedure
- 3. 8-11-303 Issuance
- 4. 8-11-304 Conditions of Retention

### Requirement

### 8-11-301.11 Prerequisite for Operation

A PERSON may not operate a FOOD ESTABLISHMENT without a valid PERMIT/<u>LICENSE</u> to operate issued by the <u>REGULATORY AUTHORITY</u> <u>DISTRICT</u> (*critical*). Pf

### Application Procedure

### 8-11-302.11 Submission 30 Calendar Days before Proposed Opening

An applicant shall submit an application for a PERMIT/<u>LICENSE</u> at least 30 calendar days before the date planned for opening a FOOD ESTABLISHMENT or the expiration date of the current PERMIT for an existing facility.

### **8-11-302.12** Form of Submission

A PERSON desiring to operate a FOOD ESTABLISHMENT shall submit to the REGULATORY AUTHORITY DISTRICT a written application for a PERMIT/LICENSE on a form provided by the REGULATORY AUTHORITY DISTRICT.

### 8-11-302.13 Qualifications and Responsibilities of Applicants

To qualify for a PERMIT/LICENSE, an applicant shall:

- (A) Be an owner of the FOOD ESTABLISHMENT or an officer of the legal ownership;
- (B) Comply with the requirements of this Code;
- (C) As specified in this code, agree to allow access to the FOOD ESTABLISHMENT and to provide required information; and

(D) Pay the applicable PERMIT/<u>LICENSE</u> fees at the time the application is submitted.

### 8-11-302.14 Contents of the Application

The application shall include:

- (A) The name, birth date, mailing address, telephone number, and signature of the PERSON applying for the PERMIT/<u>LICENSE</u> and the name, mailing address, and location of the FOOD ESTABLISHMENT;
- (B) Information specifying whether the FOOD ESTABLISHMENT is owned by an association, corporation, individual, partnership, or other legal entity;
- (C) A statement specifying whether the FOOD ESTABLISHMENT:
  - (1) Is mobile or stationary and temporary or permanent, and
  - (2) Is an operation that includes one or more of the following:
    - (a) Prepares, offers for sale, or serves POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD):
      - (i) Only to order upon a CONSUMER'S request,
      - (ii) In advance in quantities based on projected CONSUMER demand and discards FOOD that is not sold or served at an APPROVED frequency, or
      - (iii) Using time as the public health control as specified in this code.
    - (b) Prepares POTENTIALLY HAZARDOUS FOOD (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) in advance using a FOOD preparation method that involves two or more steps which may include combining POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) ingredients; cooking; cooling; reheating; hot or cold holding; freezing; or thawing,
    - (c) Prepares FOOD as specified under Subparagraph (C)(2)(b) of this section for delivery to and consumption at a location off the PREMISES of the FOOD ESTABLISHMENT where it is prepared,
    - (d) Prepares FOOD as specified under Subparagraph (C)(2)(b) of this section for service to a HIGHLY SUSCEPTIBLE POPULATION,

- (e) Prepares only FOOD that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL OF SAFETY FOOD), or
- (f) Does not prepare, but offers for sale only prepackaged food that is not POTENTIALLY HAZARDOUS (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD);
- (D) The name, title, address, and telephone number of the PERSON directly responsible for the FOOD ESTABLISHMENT;
- (E) The name, title, address, and telephone number of the PERSON who functions as the immediate supervisor of the PERSON specified under  $\P$  (D) of this section such as the zone, REGULATORY AUTHORITY DISTRICT, or regional supervisor;
- (F) The names, titles, and addresses of:
  - (1) The PERSONS comprising the legal ownership as specified under  $\P$  (B) of this section including the owners and officers, and
  - (2) The local resident agent if one is required based on the type of legal ownership;
- (G) A statement signed by the applicant that:
  - (1) Attests to the accuracy of the information provided in the application, and
  - (2) Affirms that the applicant will:
    - (a) Comply with this Code, and
    - (b) Allow the REGULATORY AUTHORITY DISTRICT access to the establishment as specified in this code; and
- (H) Other information required by the REGULATORY AUTHORITY DISTRICT.

#### *Issuance*

### 8-11-303.11 New, Converted, or Remodeled Establishments

For FOOD ESTABLISHMENTS that are required to submit plans as specified in this code the REGULATORY AUTHORITY DISTRICT shall issue a PERMIT/LICENSE to the applicant after:

- (A) A properly completed application is submitted;
- (B) The required fee is submitted;

- (C) The required plans, specifications, and information are reviewed and APPROVED; and
- (D) A preoperational inspection as specified in this code shows that the establishment is built or remodeled in accordance with the APPROVED plans and specifications and that the establishment is in compliance with this Code.

## 8-11-303.12 Existing Establishments: Permit/<u>License</u> Renewal, and Change of Ownership

The REGULATORY AUTHORITY DISTRICT may renew a PERMIT/LICENSE for an existing FOOD ESTABLISHMENT or may issue a PERMIT/LICENSE to a new owner of an existing FOOD ESTABLISHMENT after a properly completed application is submitted, reviewed, and APPROVED, the fees are paid, and an inspection shows that the establishment is in compliance with this Code.

### 8-11-303.13 Denial of Application for Permit/<u>License</u>: Notice

If an application for a PERMIT/<u>LICENSE</u> to operate is denied, the <del>REGULATORY AUTHORITY</del> <u>DISTRICT</u> shall provide the applicant with a notice that includes:

- (A) The specific reasons and Code citations for the PERMIT/LICENSE denial;
- (B) The actions, if any, that the applicant must take to qualify for a  $\frac{\text{PERMIT}}{\text{LICENSE}}$ ; and
- (C) Advisement of the applicant's right of appeal and the process and time frames for appeal that are provided in LAW.

### Conditions of Retention

### 8-11-304.11 Responsibilities of the REGULATORY AUTHORITY DISTRICT

- (A) At the time a PERMIT/<u>LICENSE</u> is first issued, the <u>REGULATORY AUTHORITY</u> <u>DISTRICT</u> shall provide to the PERMIT/<u>LICENSE</u> HOLDER a copy of this Code so that the PERMIT/<u>LICENSE</u> HOLDER is notified of the compliance requirements and the conditions of retention, as specified in this code, that are applicable to the PERMIT.
- (B) Failure to provide the information specified in  $\P$  (A) of this section does not prevent the <u>REGULATORY AUTHORITY DISTRICT</u> from taking authorized action or seeking remedies if the <u>PERMIT/LICENSE</u> HOLDER fails to comply with this Code or an order, warning, or directive of the <u>REGULATORY AUTHORITY</u> DISTRICT.

### 8-11-304.12 Responsibilities of the Permit/License Holder

Upon acceptance of the PERMIT/<u>LICENSE</u> issued by the <del>REGULATORY AUTHORITY</del> DISTRICT, the PERMIT/LICENSE HOLDER in order to retain the PERMIT/LICENSE shall:

- (A) Post the PERMIT/<u>LICENSE</u> in a location in the FOOD ESTABLISHMENT that is conspicuous to CONSUMERS;
- (B) Comply with the provisions of this Code including the conditions of a granted VARIANCE as specified in this code, and APPROVED plans as specified in this code;
- (C) If a FOOD ESTABLISHMENT is required as specified in this code to operate under a HACCP PLAN, comply with the plan as specified in this code;
- (D) Immediately contact the REGULATORY AUTHORITY DISTRICT to report an illness of a FOOD EMPLOYEE or CONDITIONAL EMPLOYEE as specified in this code;
- (E) Immediately discontinue operations and notify the REGULATORY AUTHORITY DISTRICT if an IMMINENT HEALTH HAZARD may exist as specified in this code;
- (F) Allow representatives of the REGULATORY AUTHORITY DISTRICT access to the FOOD ESTABLISHMENT as specified in this code;
- (G) Replace existing facilities and EQUIPMENT specified in this code with facilities and EQUIPMENT that comply with this Code if:
  - (1) The REGULATORY AUTHORITY DISTRICT directs the replacement because the facilities and EQUIPMENT constitute a public health HAZARD or nuisance or no longer comply with the criteria upon which the facilities and EQUIPMENT were accepted,
  - (2) The REGULATORY AUTHORITY DISTRICT directs the replacement of the facilities and EQUIPMENT because of a change of ownership, or
  - (3) The facilities and EQUIPMENT are replaced in the normal course of operation;
- (H) Comply with directives of the REGULATORY AUTHORITY DISTRICT including time frames for corrective actions specified in inspection reports, notices, orders, warnings, and other directives issued by the REGULATORY AUTHORITY DISTRICT in regard to the PERMIT/LICENSE HOLDER'S FOOD ESTABLISHMENT or in response to community emergencies;
- (I) Accept notices issued and served by the REGULATORY AUTHORITY DISTRICT according to LAW; and
- (J) Be subject to the administrative, civil, injunctive, and criminal remedies authorized in LAW for failure to comply with this Code or a directive of the

REGULATORY AUTHORITY DISTRICT, including time frames for corrective actions specified in inspection reports, notices, orders, warnings, and other directives.

(K) Notify customers that a copy of the most recent establishment inspection report is available upon request by posting a sign or placard in a location in the food establishment that is conspicuous to customers or by another method acceptable to the REGULATORY AUTHORITY DISTRICT.

#### 8-11-304.13 Permits/Licenses Not Transferable

A PERMIT/<u>LICENSE</u> may not be transferred from one PERSON to another PERSON, from one FOOD ESTABLISHMENT to another, or from one type of operation to another if the FOOD operation changes from the type of operation specified in the application as specified in this code and the change in operation is not APPROVED.

### 8-11-4 Inspection and Correction of Violations

### **Subparts**

- 1. 8-11-401 Frequency
- 2. 8-11-402 Competency and Access
- 3. 8-11-403 Report of Findings
- 4. 8-11-404 Imminent Health Hazard
- 5. 8-11-405 Priority Item or Priority Foundation Item Violation
- 6. 8-11-406 Core Item Violation
- 7. 8-11-407 Suspension, Revocation, and Hearings

### Frequency

### 8-11-401.11 Establishing Inspection Interval

- (A) Except as specified in  $\P$  (B) and (C) of this section, the REGULATORY AUTHORITY DISTRICT shall inspect a FOOD ESTABLISHMENT at least once every 6 months.
- (B) The REGULATORY AUTHORITY DISTRICT may increase the interval between inspections beyond 6 months if:
  - (1) The FOOD ESTABLISHMENT is fully operating under an APPROVED and validated HACCP PLAN as specified in this code;
  - (2) The FOOD ESTABLISHMENT is assigned a less frequent inspection frequency based on a written RISK-based inspection schedule that is being uniformly applied throughout the jurisdiction and at least once every 6 months the establishment is contacted by telephone or other means by the REGULATORY AUTHORITY DISTRICT to ensure that the establishment manager and the nature of FOOD operation are not changed; or

- (3) The establishment's operation involves only coffee service and other unpackaged or prepackaged food that is not potentially hazardous (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) such as carbonated BEVERAGES and snack food such as chips, nuts, popcorn, and pretzels.
- (C) The REGULATORY AUTHORITY DISTRICT shall periodically inspect throughout its PERMIT period a TEMPORARY FOOD ESTABLISHMENT that prepares, sells, or serves unpackaged potentially Hazardous food (TIME/TEMPERATURE CONTROL FOR SAFETY FOOD) and that:
  - (1) Has improvised rather than permanent facilities or EQUIPMENT for accomplishing functions such as hand washing, FOOD preparation and protection, FOOD temperature control, WAREWASHING, providing DRINKING WATER, waste retention and disposal, and insect and rodent control; or
  - (2) Has inexperienced FOOD EMPLOYEES.

### 8-11-401.12 Performance and Risk-Based

Within the parameters specified in this code, the REGULATORY AUTHORITY DISTRICT shall prioritize, and conduct more frequent inspections based upon its assessment of a FOOD ESTABLISHMENT'S history of compliance with this Code and the establishment's potential as a vector of foodborne illness by evaluating:

- (A) Past performance, for nonconformance with Code or HACCP PLAN requirements that are PRIORITY ITEMS or PRIORITY FOUNDATION ITEMS;
- (B) Past performance, for numerous or repeat violations of Code or HACCP PLAN requirements that are CORE ITEMS;
- (C) Past performance, for complaints investigated and found to be valid;
- (D) The HAZARDS associated with the particular FOODS that are prepared, stored, or served;
- (E) The type of operation including the methods and extent of FOOD storage, preparation, and service;
- (F) The number of people served; and
- (G) Whether the population served is a HIGHLY SUSCEPTIBLE POPULATION.

### 8-11-402.11 Competency of Inspectors

An authorized representative of the REGULATORY AUTHORITY DISTRICT who inspects a FOOD ESTABLISHMENT or conducts plan review for compliance with this Code shall be

Registered as a Sanitarian from the Arizona Sanitarians' Council and have the knowledge, skills, and ability to adequately perform the his/her required duties.

#### Access

### 8-11-402.12 Right of Entry Allowed at Reasonable Times after Due Notice

After the REGULATORY AUTHORITY DISTRICT presents official credentials and provides notice of the purpose of, and an intent to conduct, an inspection, the PERSON IN CHARGE shall allow the REGULATORY AUTHORITY DISTRICT to determine if the FOOD ESTABLISHMENT is in compliance with this Code by allowing access to the establishment, allowing inspection, and providing information and records specified in this Code and to which the REGULATORY AUTHORITY DISTRICT is entitled according to LAW, during the FOOD ESTABLISHMENT'S hours of operation and other reasonable times.

### 8-11-402.13 Refusal, Notification of Right to Access, and Final Request for Access

If a PERSON denies access to the REGULATORY AUTHORITY DISTRICT, the REGULATORY AUTHORITY DISTRICT shall:

- (A) Inform the PERSON that:
  - (1) The PERMIT/<u>LICENSE</u> HOLDER is required to allow access to the <u>REGULATORY AUTHORITY DISTRICT</u> as specified in this code of this Code,
  - (2) Access is a condition of the acceptance and retention of a FOOD ESTABLISHMENT PERMIT/LICENSE to operate as specified in this code, and
  - (3) If access is denied, an order issued by the appropriate authority allowing access, hereinafter referred to as an inspection order, may be obtained according to LAW; and
- (B) Make a final request for access.

### **8-11-402.14 Refusal: Reporting**

If after the REGULATORY AUTHORITY DISTRICT presents credentials and provides notice as specified in this code, explains the authority upon which access is requested, and makes a final request for access as specified in this code, the PERSON IN CHARGE continues to REFUSE access, the REGULATORY AUTHORITY DISTRICT shall provide details of the denial of access on an inspection report form.

### 8-11-402.15 Inspection Order to Gain Access

If denied access to a FOOD ESTABLISHMENT for an authorized purpose and after complying with this code, the REGULATORY AUTHORITY DISTRICT may issue, or apply for the issuance of, an inspection order to gain access as provided in LAW.

### Report of Findings

### 8-11-403.11 Documenting Information and Observations

The REGULATORY AUTHORITY DISTRICT shall document on an inspection report form:

- (A) Administrative information about the FOOD ESTABLISHMENT'S legal identity, street and mailing addresses, type of establishment and operation as specified in this code inspection date, and other information such as type of water supply and SEWAGE disposal, status of the PERMIT/<u>LICENSE</u>, and personnel certificates that may be required; and
- (B) Specific factual observations of violation conditions or other deviations from this Code that require correction by the PERMIT/<u>LICENSE HOLDER</u> including:
  - (1) Failure of the PERSON IN CHARGE to demonstrate the knowledge of foodborne illness prevention, application of HACCP principles, and the requirements of this Code as specified in this code,
  - (2) Failure of FOOD EMPLOYEES, CONDITIONAL EMPLOYEES, and the PERSON IN CHARGE to report a disease or medical condition as specified in this code,
  - (3) Nonconformance with PRIORITY ITEMS OR PRIORITY FOUNDATION ITEMS of this Code,
  - (4) Failure of the appropriate FOOD EMPLOYEES to demonstrate their knowledge of, and ability to perform in accordance with, the procedural, monitoring, verification, and corrective action practices required by the REGULATORY AUTHORITY DISTRICT as specified in this code,
  - (5) Failure of the PERSON IN CHARGE to provide records required by the REGULATORY AUTHORITY DISTRICT for determining conformance with a HACCP PLAN as specified in this code, and
  - (6) Nonconformance with CRITICAL LIMITS of a HACCP PLAN.

### 8-11-403.12 Specifying Time Frame for Corrections

The REGULATORY AUTHORITY DISTRICT shall specify on the inspection report form the time frame for correction of the violations as specified in this code.

### 8-11-403.13 Issuing Report and Obtaining Acknowledgment of Receipt

At the conclusion of the inspection and according to LAW, the REGULATORY AUTHORITY DISTRICT shall provide a copy of the completed inspection report and the notice to correct violations to the PERMIT/LICENSE HOLDER or to the PERSON IN CHARGE, and request a signed acknowledgment of receipt.

### 8-11-403.14 Refusal to Sign Acknowledgment

The **REGULATORY AUTHORITY** DISTRICT shall:

- (A) Inform a PERSON who declines to sign an acknowledgment of receipt of inspectional findings as specified in this code that:
  - (1) An acknowledgment of receipt is not an agreement with findings,
  - (2) Refusal to sign an acknowledgment of receipt will not affect the PERMIT/<u>LICENSE</u> HOLDER'S obligation to correct the violations noted in the inspection report within the time frames specified, and
  - (3) A refusal to sign an acknowledgment of receipt is noted in the inspection report and conveyed to the REGULATORY AUTHORITY DISTRICT'S historical record for the FOOD ESTABLISHMENT; and
- (B) Make a final request that the PERSON IN CHARGE sign an acknowledgment receipt of inspectional findings.

#### 8-11-403.15 Public Information

Except as specified in § 8-202.10, the REGULATORY AUTHORITY DISTRICT shall treat the inspection report as a public document and shall make it available for disclosure to a PERSON who requests it as provided in LAW.

### Imminent Health Hazard

### 8-11-404.11 Ceasing Operations and Reporting

- (A) Except as specified in  $\P$  (B) of this section, a PERMIT/<u>LICENSE</u> HOLDER shall immediately discontinue operations and notify the <u>REGULATORY AUTHORITY DISTRICT</u> if an IMMINENT HEALTH HAZARD may exist because of an emergency such as a fire, flood, extended interruption of electrical or water service, SEWAGE backup, misuse of POISONOUS OR TOXIC MATERIALS, onset of an apparent foodborne illness outbreak, gross insanitary occurrence or condition, or other circumstance that may endanger public health (CRITICAL).
- (*B*) A PERMIT/<u>LICENSE</u> HOLDER need not discontinue operations in an area of an establishment that is unaffected by the IMMINENT HEALTH HAZARD.

### 8-11-404.12 Cease and Desist and Abatement of a Public Nuisance

- (A) Engaging in any practice in violation of this Article is a public nuisance.
- (B) If the REGULATORY AUTHORITY DISTRICT has reasonable cause to believe that any FOOD ESTABLISHMENT is creating or maintaining a nuisance, the REGULATORY AUTHORITY DISTRICT shall order the PERMIT/LICENSE HOLDER for the FOOD ESTABLISHMENT to cease and desist such activity and to abate the nuisance as follows:
  - 1. The REGULATORY AUTHORITY DISTRICT shall serve upon the PERMIT/LICENSE HOLDER for the FOOD ESTABLISHMENT a written cease and desist and abatement order requiring the PERMIT/LICENSE HOLDER to cease and desist such activity and to remove the nuisance within 24 hours of receipt of the order at the PERMIT/LICENSE HOLDER's expense. The order shall contain the following:
    - a. A reference to the statute or rule that is alleged to have been violated or on which the order is based,
    - b. A description of the PERMIT/<u>LICENSE</u> HOLDER's right to request a hearing, and
    - c. A description of the PERMIT/<u>LICENSE</u> HOLDER's right to request an informal settlement conference.
  - 2. The REGULATORY AUTHORITY DISTRICT shall serve the order and any subsequent notices by personal delivery or certified mail, return receipt requested, to the PERMIT/LICENSE HOLDER's or other party's last address of record with the REGULATORY AUTHORITY DISTRICT or by any other method reasonably calculated to effect actual notice on the LICENSE HOLDER or other party.
  - 3. The PERMIT/<u>LICENSE</u> HOLDER or another party whose rights were determined by the order may obtain a hearing to appeal the order by filing a written notice of appeal with the <u>REGULATORY AUTHORITY DISTRICT</u> within 30 days after receiving the order. The PERMIT/<u>LICENSE</u> HOLDER or other party appealing the order shall serve the notice of appeal upon the <u>REGULATORY AUTHORITY DISTRICT</u> by personal delivery or certified mail, return receipt requested, to the office of the <u>REGULATORY AUTHORITY DISTRICT</u> or by any other method reasonably calculated to effect actual notice on the <u>REGULATORY AUTHORITY DISTRICT</u>.
  - 4. If a notice of appeal is timely filed, the REGULATORY AUTHORITY DISTRICT shall do 1 of the following:
    - a. If the <u>REGULATORY AUTHORITY DISTRICT</u> is the Department or a local health department or public health services <u>DISTRICT</u> to which the duty to comply with A.R.S. Title 41, Chapter 6, Article 10 has been delegated, the notification and hearing shall comply with A.R.S. Title 41, Chapter 6, Article 10 and any rules promulgated by the Office of Administrative Hearings.
  - b. For all other regulatory authorities, the notification and hearing shall comply with the procedures adopted by a county board of supervisors as required by A.R.S. § 36-183.04(E).

- 5. If no written notice of appeal is timely filed, the order shall become final without further proceedings.
- C. The REGULATORY AUTHORITY DISTRICT shall inspect the FOOD ESTABLISHMENT 24 hours after service of the order to determine whether the PERMIT/LICENSE HOLDER has complied with the order. If the REGULATORY AUTHORITY DISTRICT determines upon inspection that the PERMIT/LICENSE HOLDER has not ceased the activity and abated the nuisance, the REGULATORY AUTHORITY DISTRICT shall cause the nuisance to be removed, regardless of whether the PERMIT/LICENSE HOLDER is appealing the order.
- **D.** If the PERMIT/<u>LICENSE</u> HOLDER fails or refuses to comply with the order after a hearing has upheld the order or after the time to appeal the order has expired, the <u>REGULATORY AUTHORITY DISTRICT</u> May file an action against the PERMIT/<u>LICENSE</u> HOLDER in the superior court of the county in which the violation occurred, requesting that a permanent injunction be issued to restrain the PERMIT/<u>LICENSE</u> HOLDER from engaging in further violations as described in the order.

### 8-11-404.12 Resumption of Operations

If operations are discontinued as specified under § 8-8-404.11 or otherwise according to LAW, the PERMIT/<u>LICENSE</u> HOLDER shall obtain approval from the <u>REGULATORY</u> <u>AUTHORITY</u> <u>DISTRICT</u> before resuming operations.

#### 8-11-404.13 Examination and Condemnation

Samples of food or drink may be taken and examined by the Health Officer REGULATORY AUTHORITY DISTRICT as often as he/she deems necessary for the detection of unwholesomeness or adulteration. The Health Officer REGULATORY AUTHORITY DISTRICT shall, at his/her discretion, may seize, condemn and forbid the sale, or cause to be removed or destroyed, food or drink which he/her deems unwholesome, adulterated, or misbranded as defined under Arizona Revised Statute 36-904 and 36-907. For any seizure the REGULATORY AUTHORITY DISTRICT shall follow the procedures stated in Arizona Revised Statute 36-910.

### Violation of Critical (Priority Item or Priority Foundation) Items

### 8-11-405.11 Timely Correction

- (A) Except as specified in  $\P$  (B) of this section, a PERMIT/<u>LICENSE</u> HOLDER shall at the time of inspection correct a violation of a PRIORITY ITEM OR PRIORITY FOUNDATION ITEM THAT ARE DESIGNATED AS CRITICAL VIOLATIONS ON THE INSPECTION FORM of this Code and implement corrective actions for a HACCP PLAN provision that is not in compliance with its CRITICAL LIMIT (*CRITICAL*).
- (B) Considering the nature of the potential HAZARD involved and the complexity of the corrective action needed, the REGULATORY AUTHORITY DISTRICT may agree to or specify a longer time frame, not to exceed 10 calendar days after the inspection, for

the PERMIT HOLDER to correct violations of a *PRIORITY ITEM OR PRIORITY FOUNDATION* ITEM or HACCP PLAN deviations.

### 8-11-405.12 Verification and Documentation of Correction

- (A) After observing at the time of inspection a correction of a violation of a PRIORITY ITEM OR PRIORITY FOUNDATION ITEM THAT ARE DESIGNATED AS CRITICAL VIOLATIONS ON THE INSPECTION FORM, or a HACCP PLAN deviation, the REGULATORY AUTHORITY DISTRICT shall enter the violation and information about the corrective action on the inspection report.
- (B) As specified in this code, after receiving notification that the PERMIT/<u>LICENSE</u> HOLDER has corrected a violation of a PRIORITY ITEM OR PRIORITY FOUNDATION ITEM THAT ARE DESIGNATED AS CRITICAL VIOLATIONS ON THE INSPECTION FORM OF HACCP PLAN deviation, or at the end of the specified period of time, the <u>REGULATORY AUTHORITY DISTRICT</u> shall verify correction of the violation, document the information on an inspection report, and enter the report in the <u>REGULATORY AUTHORITY</u> DISTRICT.

### Non-Critical (Core Item) Violations

### 8-11-406.11 Time Frame for Correction

- (A) Except as specified in  $\P$  (B) of this section, the PERMIT/<u>LICENSE</u> HOLDER shall correct CORE ITEMS (<u>DESIGNATED AS NON-CRITICAL ITEMS ON THE INSPECTION FORM</u>) by a date and time agreed to or specified by the <u>REGULATORY AUTHORITY DISTRICT</u> but no later than 90 calendar days after the inspection.
- (B) The REGULATORY AUTHORITY DISTRICT may approve a compliance schedule that extends beyond the time limits specified under  $\P$  (A) of this section if a written schedule of compliance is submitted by the PERMIT HOLDER and no health HAZARD exists or will result from allowing an extended schedule for compliance.

## <u>Ceasing Operation, License/Permit/Certification Suspension, Revocation & Hearings</u>

## 8-11-407.11 Ceasing Operation, License/Permit/Certification Suspension, Revocation & Hearings

- (A) A person violating any provision of this Regulation is guilty of a Class 3 misdemeanor.
- (B) The REGULATORY AUTHORITY DISTRICT may suspend or revoke a FOOD ESTABLISHMENT LICENSE if the PERMIT/LICENSE HOLDER:
- 1. Violates this Article or Arizona Revised Statute §36-601 *Public Nuisances Dangerous to Public Health*, or

- 2. Provides false information on a PERMIT/ LICENSE application.
- (C) Revocation Authority of a Permit, License, or Certificate shall be conducted as follows:
  - 1. If the REGULATORY AUTHORITY DISTRICT is the Department, the hearing shall be conducted in accordance with Arizona Revised Statute Title 41, Chapter 6, Article 10 and any rules promulgated by the Office of Administrative Hearings;

    2. If the REGULATORY AUTHORITY DISTRICT is a local health department or public health services DISTRICT to which the duty to comply with Arizona Revised Statute Title 41, Chapter 6, Article 10 has been delegated, the hearing shall be conducted in accordance with Arizona Revised Statute 41-1092 through 41-1092.12 and any rules promulgated by the Office of Administrative Hearings; and 3. For all other REGULATORY AUTHORITIES, a PERMIT/LICENSE revocation or suspension hearing shall be conducted in accordance with the procedures adopted by a county board of supervisors as required by A.R.S. § 36-83.04(E).

### (D) Suspension of a Permit, License or Certificate

1. If the REGULATORY AUTHORITY DISTRICT finds that conditions in a food service establishment present such a severe and imminent health hazard as to require emergency action, and incorporates a finding to that effect in its order, summary suspension of the establishment's permit, license or certificate may be ordered pending proceedings for revocation or other action. Upon suspension pursuant to this Subsection, the holder of the permit license, or certificate may immediately move to vacate the suspension order and the REGULATORY AUTHORITY DISTRICT shall hear such motion within five (5) days. In no event may a summary suspension remain in effect for more than twenty-five (25) days.

### (E) Revocation Procedures for a Permit, License, or Certificate

- 1. The REGULATORY AUTHORITY DISTRICT may, after providing opportunity for hearing, revoke a permit, license, or certificate for serious or repeated violations of any of the requirements of this code or for interference with the REGULATORY AUTHORITY DISTRICT in the performance of duty.
- 2. Prior to revocation, the REGULATORY AUTHORITY DISTRICT shall notify, in writing, the holder of the permit, license, or certificate, or the person in charge, of the specific reason(s) for which the permit, license, or certificate is to be revoked and that the permit, license, or certificate shall be revoked at the end of the twenty (20) days following service of such notice unless a written request for hearing is filed with the REGULATORY AUTHORITY DISTRICT by the holder of the permit, license, or certificate becomes final. If a request for a hearing is timely filed, the hearing shall be held within twenty (20) days of receipt of the request.
- (F) Ceasing Operations and Reporting by a License/Permit Holder- A PERMIT/LICENSE HOLDER shall immediately discontinue operations and notify the REGULATORY AUTHORITY DISTRICT if an IMMINENT HEALTH HAZARD may exist because of an emergency such as a fire, flood, extended interruption of electrical or water service, SEWAGE backup, misuse of POISONOUS OR TOXIC MATERIALS, onset of an apparent communicable disease outbreak, gross insanitary occurrence or condition, or other circumstance that may endanger public health. A PERMIT/LICENSE HOLDER need not discontinue operations in an area of an establishment that is unaffected by the IMMINENT HEALTH HAZARD.

### (G) Suspension of a Permit, License or Certificate

- 1. The Chief health Officer or his/her designee may suspend or revoke a license if the license holder:
  - a. Violates this Code or ARS 36-601, or
  - b. Provides false information on a license application.
  - 2. If the REGULATORY AUTHORITY DISTRICT finds that conditions in a licensed facility present such a severe and imminent health hazard as to require emergency action, and incorporates a finding to that effect in its order, summary suspension of the facility's license, permit or certificate may be order pending proceedings for revocation or other action. Upon suspension pursuant to this Section, the holder of the license, permit, or certificate may immediately move to vacate the suspension order and the Chief Health Officer or his/her designee shall hear such motion within five (5) days. In no event, may a summary suspension order remain in effect for more than twenty-five (25) days.

### (H) Revocation of a Permit, License or Certification

- (A) The REGULATORY AUTHORITY DISTRICT may, after providing opportunity for hearing, revoke a license, permit, or certificate for serious or repeated violations of any of the requirements of this Code or for interference with the REGULATORY AUTHORITY DISTRICT in performance of duty.
- (B) Prior to revocation, the REGULATORY AUTHORITY DISTRICT shall notify, in writing, the holder of the license, permit, or certificate, or the person in charge of the specific reasons for which the license, permit, or certificate shall be revoked at the end of the twenty (20) days following service of such notice unless a written request for a hearing is filed with the Chief Health Officer or his/her designee by the holder of the license, permit or certificate becomes final. If a request for a hearing is timely filed, the hearing shall be held within twenty (20) days of receipt of the request.
- (I) Services of Notices A notice provided for in this Section of the Code is properly served when it is delivered to the holder of the license, permit, or certificate or person in charge, or when it is sent by registered mail or certified mail, return receipt requested, to the last known address of the holder of the license, permit, or certificate. A copy of the notice shall be filed in the records of the REGULATORY AUTHORITY DISTRICT. The notice shall comply with the provisions of ARS 41-1092.
- (J) Hearings Hearings held pursuant to the provisions of this Code shall be conducted in accordance with the requirements of Arizona Revised Statute 41-1092.
- (K) Application after Revocation Whenever a revocation of a license, permit, or certificate has become final, the holder of the revoked license, permit or certificate may make written application of a new license, permit, or certificate.

# 8-11-5 Prevention of Foodborne Disease Transmission by Employees

### 1. 11-501 Investigation and Control

### **Investigation and Control**

## 8-11-501.11 Obtaining Information: Personal History of Illness, Medical Examination, and Specimen Analysis

The REGULATORY AUTHORITY DISTRICT shall act when it has reasonable cause to believe that a FOOD EMPLOYEE or CONDITIONAL EMPLOYEE has possibly transmitted disease; may be infected with a disease in a communicable form that is transmissible through FOOD; may be a carrier of infectious agents that cause a disease that is transmissible through FOOD; or is affected with a boil, an infected wound, or acute respiratory infection, by:

- (A) Securing a confidential medical history of the FOOD EMPLOYEE or CONDITIONAL EMPLOYEE suspected of transmitting disease or making other investigations as deemed appropriate; and
- (B) Requiring appropriate medical examinations, including collection of specimens for laboratory analysis, of a suspected FOOD EMPLOYEE or CONDITIONAL EMPLOYEE.

## 8-11-501.12 Restriction or Exclusion of Food Employee, or Summary Suspension of Permit/<u>License/Certificate</u>

Based on the findings of an investigation related to a FOOD EMPLOYEE or CONDITIONAL EMPLOYEE who is suspected of being infected or diseased, the REGULATORY AUTHORITY DISTRICT may issue an order to the suspected FOOD EMPLOYEE, CONDITIONAL EMPLOYEE or PERMIT/LICENSE HOLDER instituting one or more of the following control measures in accordance with the Arizona Communicable Diseases Code AAC Chapter 6, Article 3:

- (A) RESTRICTING the FOOD EMPLOYEE or CONDITIONAL EMPLOYEE;
- (B) EXCLUDING the FOOD EMPLOYEE or CONDITIONAL EMPLOYEE; or
- (C) Closing the FOOD ESTABLISHMENT by summarily suspending a PERMIT to operate in accordance with LAW.

## 8-11-501.13 Restriction or Exclusion Order: Warning or Hearing Not Required, Information Required in Order

Based on the findings of the investigation as specified in § 8-8-501.10 and to control disease transmission, the REGULATORY AUTHORITY DISTRICT may issue an order of RESTRICTION OF EXCLUSION to a suspected FOOD EMPLOYEE or the PERMIT/LICENSE HOLDER without prior warning, notice of a hearing, or a hearing if the order:

- (A) States the reasons for the RESTRICTION or EXCLUSION that is ordered;
- (B) States the evidence that the FOOD EMPLOYEE or PERMIT HOLDER shall provide in order to demonstrate that the reasons for the RESTRICTION or EXCLUSION are eliminated;
- (C) States that the suspected FOOD EMPLOYEE or the PERMIT HOLDER may request an appeal hearing by submitting a timely request as provided in LAW; and
- (D) Provides the name and address of the REGULATORY AUTHORITY DISTRICT representative to whom a request for an appeal hearing may be made.

### 8-11-501.14 Removal of Exclusions and Restrictions

The <u>REGULATORY AUTHORITY</u> <u>DISTRICT</u> shall release a FOOD EMPLOYEE, OR CONDITIONAL EMPLOYEE from RESTRICTION or EXCLUSION according to LAW and the conditions specified under § 8-2-201.13.

RP & meg 8/14/2014